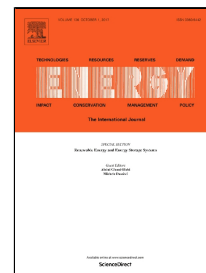


Accepted Manuscript

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PII: S0360-5442(17)31669-9
DOI: 10.1016/j.energy.2017.09.148
Reference: EGY 11642
To appear in: *Energy*
Received Date: 29 July 2017
Revised Date: 28 September 2017
Accepted Date: 30 September 2017

Please cite this article as: Amir H. Tarighaleslami, Timothy G. Walmsley, Martin J. Atkins, Michael R.W. Walmsley, James R. Neale, Total Site Heat Integration: Utility Selection and Optimisation Using Cost and Exergy Derivative Analysis, *Energy* (2017), doi: 10.1016/j.energy.2017.09.148

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Highlights

- A new Total Site Heat Integration utility optimisation method is developed
- The new method is based on iterative derivative analysis of the objective functions
- Objective functions are Utility Cost, Exergy Destruction, and Total Cost
- A new Total Site targeting and optimisation software spreadsheet tool is introduced
- Three industrial case studies achieve between 0.6 to 4.6 % reduction in Total Cost

Total Site Heat Integration: Utility Selection and Optimisation Using Cost and Exergy Derivative Analysis

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Abstract

This paper presents a new Total Site Heat Integration utility temperature selection and optimisation method that can optimise both non-isothermal (e.g. hot water) and isothermal (e.g. steam) utilities. None of the existing methods addresses both non-isothermal and isothermal utility selection and optimisation incorporated in a single procedure. The optimisation affects heat recovery, the number of heat exchangers in Total Site Heat Exchanger Network, heat transfer area, exergy destruction (ED), Utility Cost (UC), Annualised Capital Cost (CC), and Total Annualised Cost (TC). Three optimisation parameters, UC, ED, and TC have been incorporated into a derivative based optimisation procedure where derivatives are minimised sequentially and iteratively based on the specified approach. The new optimisation procedure has been carried out for three different approaches as the combinations of optimisation parameters based on the created derivative map. The merits of the new method have been illustrated using three case studies. These case studies represent a diverse range of processing types and temperatures. Results for the case studies suggest the best derivative optimisation approach is to first optimise UC in combination with ED and then optimise TC. For this approach, TC reductions between 0.6 to 4.6 % for different case studies and scenarios are achieved.

Keywords: Total Site Heat Integration, Optimisation, Utility Temperature, Exergy Destruction, Total Annualised Cost, Utility Cost.

30 Nomenclature

31 Roman

32	A	heat transfer area (m ²)
33	a	cost coefficient
34	b	cost coefficient
35	c	cost coefficient
36	C _p	specific heat capacity (kJ/kg°C)
37	H	enthalpy (MW)
38	j	interest rate (%)
39	\dot{m}	mass flow rate (kg/s)
40	n	investment return duration (y)
41	OP	operating period (h/y)
42	PP	power price (NZD/MWh)
43	Q	utility target (MW)
44	S	entropy (MW/°C)
45	T	temperature (°C)
46	T*	shifted temperature (°C)
47	T**	double shifted temperature (°C)
48	UP	utility price (NZD/MWh)
49	W	power target (MW)
50	X	exergy (MW)

51

52 Greek

53	Δ	difference between two states
54	Σ	summation of parameters

55

56 Subscripts

57	0	reference
58	c,ut(i)	cold utility for utility (i)
59	Cold	cold
60	d	destruction
61	gen	generation
62	h,ut(i)	hot utility for utility (i)
63	Hot	hot
64	i	counter
65	min	minimum
66	s	step
67	Sink	sink
68	Source	source
69	x	exergy

70

71 Abbreviations

72	BCC	Balanced Composite Curve
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73	CC	Annualised Capital Cost
74	CHP	Combined Heat and Power
75	ChW	chilled water
76	CW	cooling water
77	ED	exergy destruction
78	GCC	Grand Composite Curve
79	HEN	heat exchanger network
80	HOL	hot oil loop
81	HW	hot water
82	HPS	high pressure steam
83	HR	Heat Recovery
84	HTHW	high temperature hot water
85	ISCC	Interplant Shifted Composite Curves
86	LPS	low pressure steam
87	LTHW	low temperature hot water
88	MINLP	mixed integer non-linear programming
89	MP	Mathematical Programming
90	MPS	medium pressure steam
91	SUGCC	Site Utility Grand Composite Curve
92	SWG	Shaft Work Generation
93	TC	Total Annualised Cost
94	TS	Total Site
95	TSHI	Total Site Heat Integration
96	TSHR	Total Site Heat Recovery
97	TSP	Total Site Profile
98	TW	tempered water
99	UC	Utility Cost
100	UTSI	Unified Total Site Integration
101	UTST	Unified Total Site Targeting
102	VHPS	very high pressure steam
103		

104 1 Introduction

105 Total Site Heat Integration (TSHI) is a proven tool for engineers to plan and make strategic
106 decisions regarding energy optimisation for entire processing sites [1]. TSHI integrates several
107 individual processes to recover heat indirectly via a common utility system, which offers
108 additional inter-process Heat Recovery (HR) through consumption and generation of utilities.
109 Dhole and Linnhoff [2] introduced a TSHI graphical targeting method based on the concept of
110 a site's heat source and heat sink profiles. Klemeš et al. [3] developed a systematic method
111 to apply TSHI to large industrial sites. HR options may be illustrated using the Total Site
112 Profiles (TSP) [4]. Improvements have been proposed to these conventional TSHI methods to
113 obtain more realistic utility and HR targets such as process specific minimum temperature
114 difference [5], stream specific minimum temperature difference [6], and integration and
115 management of renewable energy into TS [7].

116 Selection of the number of utility levels and the associated temperatures are important
117 degrees of freedom to maximise HR. The earliest optimisation based on TSHI is presented by
118 Makwana et al. [8] for retrofit and operations management of existing Total Site (TS), and
119 Mavromatis and Kokossis [9] who present a model to modify targeting procedure and
120 optimise utility networks for operational variations. Zhu and Vaideeswaran [10] developed a
121 systematic method for operational optimisation, retrofits, grassroots design and
122 debottlenecking of TS energy systems. Since these early studies, researchers have applied
123 both Mathematical Programming (MP) and graphical methods to attempt to optimise the
124 selection of utility temperatures.

125 Minimising Total Annualised Cost (TC) as the main objective function presents an acute trade-
126 off between investment (capital cost) and operational (mostly utility) costs. Several studies
127 have applied MP based methods to optimise the utility temperatures. Shang and Kokossis
128 [11] proposed a methodology to optimise steam levels under different operational scenarios
129 using a boiler and turbine hardware model. The study developed a transshipment model to
130 represent a TS system and used the location of steam levels, the overall fuel requirement, the
131 cogeneration potential and the cooling utility demand as major decision variables to minimise
132 Utility Cost (UC) by applying a multi-period MILP model. Prashant and Perry [12] used an
133 MINLP model to determine the cost optimal location and number of steam levels to meet the
134 process heating and cooling demands. Sun et al. [13] showed that at the Site Pinch region

135 there is no Shaft Work Generation (SWG) potential. They also showed that by adding new
136 steam mains within or away from the Site Pinch can significantly improve boiler steam saving,
137 high temperature utility targets ($>120\text{ }^{\circ}\text{C}$), and SWG. Later they proposed a practical approach
138 based on extended site composite curves to provide realistic utility targets [14]. The method
139 only allows for boiler feedwater preheating, steam superheating in steam generation, steam
140 desuperheating for process heating, and condensate HR from steam consumption. However,
141 the method doesn't take other non-isothermal utilise into account. Nemet et al. [15]
142 proposed a new TS optimisation model including the selection of utility pressure levels for
143 intermediate utilities to optimise TC considering future energy prices. The model also
144 included thermal and hydraulic parameters, such as pipeline layout design, pipe design, and
145 insulation thickness and heat losses, when synthesising the MINLP problem through the
146 trade-off between capital and operating cost.

147 Another approach to utility temperature optimisation is graphical based methods. Song et al.
148 [16] developed a new graphical method called Interplant Shifted Composite Curves (ISCC) to
149 target the maximum HR for indirect HI between two plants without basic changes, such as
150 infrastructure improvements, in the existing Heat Exchanger Network (HEN). The ISCC
151 method selects streams with the potential to participate in the TS, and determines maximum
152 feasible HR as well as minimises the flow rate of the heat transfer medium. However, the
153 method has not been applied to industrial clusters with different level of utilities. Boldyryev
154 et al. [17] developed a method to decrease capital cost by minimising heat transfer area for
155 HR on TS using different utility levels. In their method, heat transfer area is reduced by
156 selection of the appropriate temperature of intermediate utilities. Minimum heat transfer
157 area depends on slopes of TSP in each enthalpy interval.

158 TSHI has various methods in the literature for optimising the number and temperatures of
159 utility levels for steam (i.e. isothermal) utility systems, and new methods based on
160 optimisation of non-isothermal (i.e. hot water or hot oil) utilities. Tarighaleslami et al. [18]
161 proposed heuristics to optimise selection of non-isothermal utilities based on the Unified
162 Total Site Targeting (UTST) method [19] to maximise the amount of HR and SWG, which was
163 followed by a detailed synthesis and analysis of HEN with focus on the utility heat exchanger
164 network [20]. Recently, Song et al. [21] presented a modified MINLP model with an objective
165 of TC to determine the final inter-plant HEN configurations.

166 Exergy analysis has often been proposed by many researchers for optimisation of process HI.
167 Parker [22] introduces a fast and easy algorithm for the energy-capital trade-off in a HEN, but
168 in this method the effect of the capital trade-off on the utility system was not taken into an
169 account. Dhole [23] combines PA and exergy analysis to for a multiple utility optimisation
170 problems. The method showed reducing the exergy destruction (ED) in a HEN will ultimately
171 benefit the power generation in the utility plant. An Exergy Grand Composite Curve was used
172 to minimise the exergy losses in the HEN and can be constructed from the GCC by converting
173 the temperature axis into Carnot factor. Linnhoff and Dhole [24] presented a method that
174 combines PA and exergy analysis to optimise low temperature processes. The method allows
175 the engineer to specify a refrigeration system while increasing its exergy efficiency. Dhole and
176 Linnhoff [2] manipulated utility temperatures to assess ED in TS cogeneration targets. Hui and
177 Ahmad [25] proposed a four steps heuristic based method for multiple utility optimisation of
178 TSs. They used exergy analysis for steam costing that can act as interface between the utility
179 plant and the processes energy-capital trade-off. Khoshgoftar Manesh et al. [26] performed
180 exergo-economic and exergo-environmental evaluation of the coupling of a gas-fired steam
181 power plant with a TS utility system. Hackl and Harvey [27] expanded the use of exergy
182 analysis in the TS to target shaft work in sub-ambient and cryogenic processes. Farhat et al.
183 [28] attempted to increase HR between plants by combining TS and exergy analysis. They
184 performed classical HR optimisation via HENs. However, they did not consider optimisation
185 regarding UCs.

186 There is a gap in the literature with regards to simultaneous optimisation of both isothermal
187 and non-isothermal utility that considers the trade-off between UC and Annualised Capital
188 Cost (CC). Exergy has been discussed as an option for optimisation assessments but, in the
189 case of utility temperature optimisation beyond turbines, it has not been applied as a tool for
190 utility optimisation. Cost and exergy analysis may also be combined with derivative analysis,
191 as demonstrated by Walmsley et al. [29], to create a new method for optimising utility
192 temperature selection.

193 The aim of this paper is to develop a new derivative method to optimise the selection of both
194 isothermal and non-isothermal utility supply and target temperatures in TSHI. The main goal
195 is a reduction in TC, which comprises CCs and UCs, which is proportional to fuel consumption
196 in the TS as indicated by utility targets. Depending on the approach, minimization of the UC

197 and/or ED targets may be considered as the initial objective functions in the optimisation
198 procedure while TC is the ultimate objective function, as is discussed in Section 3. The method
199 is primarily for grassroots design, but may also be beneficial for retrofit design studies as an
200 initial step. A new software tool has been developed based on the new Unified TSHI method
201 [19], which covers both isothermal (e.g. steam) and non-isothermal utility (e.g. hot water).
202 Case studies of a Kraft Pulp Mill, a Petrochemical Complex and a Dairy Factory have been
203 investigated to illustrate the method and demonstrate its merits.

204

205 2 The Opportunity of Total Site Utility Temperature Optimisation for 206 Maximising Heat Integration Targets

207 2.1 Total Site Utility Temperature Optimisation

208 In PI techniques, the most important objective is to minimise TC by balancing the trade-off
209 between fuel consumption utility demand and capital investments. The appropriate utility
210 temperature selection can lead to lower UCs using the less expensive utility, increased HR at
211 the TS level, increased cogeneration, and/or decreased refrigeration work consumption. Each
212 utility generally has a different unit price. Typically, the lowest temperature cold utility and
213 the highest temperature hot UC have a higher unit price than those with temperatures closer
214 to Total Site Pinch Temperature range. Another approach for utility optimisation is to
215 maximise the use of less expensive utilities in place of more expensive ones. HR may also be
216 optimised to minimise TC. In this regard, exergy analysis in terms of ED has potential to be
217 applied for utility temperature selection, although utility pricing does not always follow
218 exergy changes.

219 To minimise TC, those utilities that have the potential to optimise Total Site Heat Recovery
220 (TSHR), power generation/consumption, and fuel consumption must be identified. At the first
221 stage, the designer should recognise whether any utility is optimisable in the TS. An
222 optimisable utility refers to any utility that has the capacity to be generated and consumed
223 within the TS, or a utility that has potential to generate shaft work through a turbine in the
224 utility system. In this context, two categories may be defined for utility target temperatures,
225 i.e. fixed (hard) temperatures and soft temperatures. Soft utility target temperatures refer to
226 target temperatures that are non-essential to be achieved that may be changed by varying

227 utility heat capacity flow rates. With a soft target temperature, it becomes difficult to use a
228 utility for TSHR because as it is generated and consumed, the final temperature of the utility
229 is uncertain. Return utility flows from multiple processes may then be mixed together
230 resulting in an unknown average temperature. A higher quality utility is needed to heat or
231 cool the return utility flow to the intended supply temperature of the reverse utility (e.g. a
232 hot utility loses heat to become a cold utility). Hard utility target temperatures refer to
233 temperature constraints that must be met. These utility temperatures have an opportunity
234 to be optimised to increase HR.

235 TSP in Figure 1 can be divided into three different regions. The process heat deficit region sits
236 above the hottest TSP source temperature, which is derived from the Grand Composite
237 Curves (GCC) in each process (or plant) before the TSP is constructed. The process heat surplus
238 region is below the coldest TSP sink temperature and is again derived from the GCCs. The
239 region in between may be in process heat deficit or surplus depending on the balance
240 between utility generation and consumption. Those utilities that occur within this middle
241 region, which may be generated and consumed, are optimisable to maximise TSHR, Utility C
242 and D in Figure 1.

243 When Combined Heat and Power (CHP) generation is exploited, more complex utility options
244 are available. Rejected heat from gas turbines and/or boilers with steam turbines may be used
245 to generate or supply hot utility, e.g. steam. In such systems, the utilities that are in the upper
246 region of Figure 1 may provide the potential for SWG through a turbine. These hot utilities
247 can also be considered as optimisable to maximise shaft work, e.g. Utility B. Similarly, for
248 processes which require sub-ambient utility in the lower region of Figure 1, the cold utility
249 requires compressors in refrigeration cycles to generate the needed cooling, Utility F. As a
250 result, the appropriate utility temperature selection, which is considered as optimisable, may
251 lead to minimum work consumption.

252 In short, any utility that is either connected to a turbine, linked to a refrigeration cycle, or
253 both generated/consumed, is a candidate for temperature optimisation.

254 UC can be calculated considering hot utility, cold utility, and power generation/consumption
255 prices and targets. Equation 1 presents the UC calculation method.

$$256 \quad UC = \left(\sum (UP_{h,ut(i)} \times Q_{h,ut(i)}) + \sum (UP_{c,ut(i)} \times Q_{c,ut(i)}) - (PP_{gen} \times W_{gen}) \right) \times OP \quad (1)$$

257 Where UP is utility price, Q is utility target, PP is power price, W is power target, and OP is
 258 operating period of the plant. Subscripts h,ut is hot utility, c,ut is cold utility, and gen is
 259 generation. The final term is an offset but not total power cost.

260 Total Annualised Cost (TC) is calculated using UC and CC as presented in Equation 2.

$$261 \quad TC = UC + CC \quad (2)$$

262 Where CC only includes heat exchangers area and infrastructure costs are not considered in
 263 this paper.

264

265 2.2 The Role of Exergy Analysis in the Total Site Utility Temperature Optimisation

266 To help select utility temperature levels in the TS, exergy and ED may be analysed. Since there
 267 is no chemical reaction, separation or mixing in the utility mains, only physical exergy needs
 268 consideration [30].

269 Exergy is defined as maximum theoretical useful work potential, i.e. shaft work or electrical
 270 work, obtainable as two systems interact to equilibrium [31]. Exergy analysis can, therefore,
 271 provide insights to process optimisation evaluations. Heat transfer through finite
 272 temperature difference always generates entropy and any process that generates entropy
 273 always destroys exergy. As a result, ED (X_d) is proportional to the entropy generated (S_{gen}) as
 274 in Equation 3.

$$275 \quad X_d = T_0 S_{gen} \geq 0 \quad (3)$$

276 Where T_0 is the reference temperature. As it can be seen ED is a positive quantity for any
 277 actual process and becomes zero for a reversible process.

278 Marmoleji-Correa and Gundersen [32] summarised a simple method to determine the
 279 temperature based physical exergy of a process flow, as shown in Equation 4.

$$280 \quad X = \dot{m}c_p \left[T_0 \left(\frac{T}{T_0} - \ln \frac{T}{T_0} - 1 \right) \right] = \dot{m}c_p T_X \quad (4)$$

281 Exergy can be calculated using Equation 4 when the specific heat capacity has been assumed
 282 constant with respect to temperature in the range from T to reference T_0 . The factor in the
 283 square bracket is called exergetic temperature (T_x) and has units of Kelvin. Exergetic
 284 temperature is a function of stream temperature in K and the selected zero state
 285 temperature, T_0 , in K. This equation determines the change in exergy as a process flow heats
 286 or cools from its supply to its target temperature.

287 Figure 2 shows the exergy potential of a single heat exchanger where the hot stream as a heat
 288 source has an exergy relative to the T_0 , and the cold stream as a heat sink has a lower exergy
 289 relative to the T_0 . For the ED, it can be said that:

$$290 \quad X_d = X_{Source} - X_{Sink} \quad (5)$$

291 The same concept applies to a process plant. Figure 3 illustrates utility-process and process-
 292 process EDs on a Balanced Composite Curve (BCC). BCCs are particularly useful to
 293 demonstrate the effects of multiple utilities, multiple Pinch Temperatures and the driving
 294 force in the HEN of a process. Non-isothermal utilities are normally shown as a diagonal
 295 segment in enthalpy-temperature plots while isothermal utilities are shown as a horizontal
 296 segment. It is not always easy to distinguish non-isothermal utilities, such as hot water, on a
 297 BCC because it often composites with the process streams [33]. However, BCC is still a useful
 298 tool to provide a clear visualisation for ED of heat transfer within a processing system.

299 In Figure 3, three different regions can be recognised: (a) utility source-process sink ED, (b)
 300 process source-process sink ED, and (c) process source-utility sink ED. Each of these regions
 301 presents exergy transfer and destruction within the process based on the available exergy
 302 sources and sinks. As a result, total exergy destruction of the plant can be demonstrated by
 303 Equation 6.

$$304 \quad X_d = \sum X_{Source} - \sum X_{Sink} \quad (6)$$

305 Figure 4 shows how ED applies to a TS. Figure 4a illustrates the ED region in the TSP. Figure
 306 4b shows that by shifting utility temperatures, ED has been increased for small regions on
 307 both sides of TSP while it has decreased for most other regions. In Figure 4b, shifted utility
 308 temperature levels are illustrated in solid lines and original utility temperature levels from
 309 Figure 4a are illustrated in dashed lines. In summation, total exergy destruction has been

310 reduced because of the utility temperature change. Equation 3 can be applied to analyse TS
 311 which determines utility-process ED for entire TS due to heat transfer.

312 Figure 4c shows the work generation potential using the Site Utility Grand Composite Curve
 313 (SUGCC). When the HR increases (solid utility lines), power generation often decreases. While
 314 in the Figure 4d, the same concepts of ED reduction apply. Shifting utility temperatures
 315 towards the Total Site Pinch region shows an effect on ED resulting in increased HR across the
 316 TS and slightly higher power generation for this example. There is a complex trade-off
 317 between power generation, HR, and ED that must be considered when analysing the selection
 318 of utility temperatures.

319 The smaller temperature difference between the hot and cold available utilities in the TS may
 320 offer lower ED and a reduction in UCs through improved HR. Improved temperature selection
 321 in the TS may provide the opportunity to reduce energy consumption within the TS as the
 322 result of a decrease in ED (i.e. shifting utility temperatures towards the Total Site Pinch will
 323 cause a reduction in ED). There is a trade-off between hot and cold utility temperature
 324 difference in the TS and total heat transfer area, which affects CC and finally TC. TC is normally
 325 the final objective function in the optimisation of TS targets. To select utility temperatures, a
 326 temperature range may be considered for each required utility.

327

328 3 Method

329 3.1 Overview

330 Utility supply and target temperatures can be selected by using the derivative of the objective
 331 function. Derivatives provide a direction to change utility temperatures and improve the key
 332 TS metrics. Three different approaches are investigated to find the best sequential
 333 combination of derivative objective functions in the optimisation procedure.

- 334 • Approach 1: Minimise the derivative of the TC function with respect to temperature,
 335 which may be approximated numerically using Equation 7.

$$336 \frac{dTC}{dT} \cong \frac{TC(T_i \pm \Delta T) - TC(T_i)}{\Delta T} \quad (7)$$

337 Where subscript, i is representing each individual utility temperature for either supply or
 338 target temperature (hot or cold sides of the utility) and ΔT is a small change in temperature
 339 (step change).

340 In this approach, the TC derivative is minimised given the initial utility temperature selection.
 341 One of the challenges with this method is, TC functions are discontinuous functions due to
 342 changes of the number of utility and number of heat exchangers. This means the function
 343 contains numerous local minima.

344

- 345 • Approach 2: Minimise the derivative of the UC, then sequentially minimise the
 346 derivative of the TC (UC+TC). UC derivative may be presented as:

$$347 \frac{dUC}{dT} \cong \frac{UC(T_i \pm \Delta T) - UC(T_i)}{\Delta T}$$

348 (8)

349 This approach includes a two-step process: first, minimise the derivative of UC iteratively,
 350 then, second, minimise the derivative of TC. But the UC function tends to be more continuous
 351 but still can have local minima in the form of flat regions. This was demonstrated recently by
 352 Tarighaleslami et al. [18].

353

- 354 • Approach 3: Minimise the derivative of the UC iteratively with the derivative of ED,
 355 then sequentially minimise the derivative of the TC (UC+ED+TC). Where ED derivative
 356 can be presented as:

$$357 \frac{dX_d}{dT} \cong \frac{X_d(T_i \pm \Delta T) - X_d(T_i)}{\Delta T} \quad (9)$$

358 The third approach, similar to the second approach, includes a two-step process: first,
 359 minimise the derivative of UC iteratively and, when constant (flat), minimise the derivative of
 360 ED, then, second, minimise the derivative of TC. It is important to understand that UC
 361 functions tend to be continuous with many flat sections where a change in temperature has
 362 no impact on UC. In this region, it becomes necessary to apply the derivative of ED as the
 363 objective, which is not flat. The logic for initially minimising UC with ED is to help select
 364 temperatures that are more likely in the proximity of the global optimum, from which starting

365 point a TC minima may be located. The TC local minimum is not guaranteed to be the global
366 optimum.

367 3.2 Detailed Method and Software Tool Development

368 An Excel™ spreadsheet software tool has been developed over the past several years based
369 on conventional and new Unified Total Site Integration (UTSI) approaches. The UTSI software
370 was recently extended to include the improved TSHI method of Tarighaleslami et al. [19] as
371 well as the new utility optimisation procedure. Figure 5 presents the detailed utility
372 optimisation procedure. New steps have been added to the TSHI targeting procedure to
373 complete utility selection and optimisation procedure for any available TSHI method.

374 There are a few important reasons why the new Unified Total Site Targeting (UTST) method
375 of Tarighaleslami et al. [19] is applied in this study as opposed to conventional TSHI. UTST
376 performs utility targeting at the process level using the GCC. This method considers more
377 constraints around meeting supply and target temperatures of utilities, especially for non-
378 isothermal utilities, within individual processes. As a result, the UTST method restricts any
379 inter-dependency of utility use between processes, which is important for non-isothermal
380 utilities as well as non-continuous processing clusters that often operate independently with
381 different schedules. By adding this new constraint, the calculated targets become more
382 achievable and realistic.

383 *Step 1: Objective function derivatives calculation*

384 A derivative map can be constructed using the framework presented in Table 1 for each utility.
385 The first column presents the temperature ranges for hot and cold sides of each utility while
386 optimising utility temperatures. Eight different options can be considered as either hot, cold
387 or both hot and cold sides of the utility may change. The temperature step ΔT_s represents the
388 amount of change in the utility temperature for each iteration in the procedure. The smaller
389 temperature step, the less convergence time and the more accurate temperature selection.
390 However, it may be trapped in local optimum as opposed to converging in an overall optimum
391 in the HR function. Therefore, for each of the main objective functions, eight different subset
392 rows have been defined, as it is shown in Table 1. In other words, supply and target
393 temperatures of each utility are monitored separately. However, according to temperature
394 ranges and the nature of the utility, the temperature step may vary.

395 The next three columns represent one of the objective function derivatives as presented in
396 section 3.1, where subscript i is representing each individual temperature point at either
397 supply or target temperatures of the utility.

398 *Step 2: Objective function selection*

399 In this step, initially, the objective function can be selected then in each iteration, the selected
400 objective function (or the objective function which is in the iteration) goes to the related
401 direction A or B in Figure 5. This step can lead optimisation procedure for a different
402 combination of objective functions. Two question boxes can lead the procedure back to Step
403 2 or Step 5 if the iteration is not the first iteration.

404 *Step 3: Selection of appropriate value from the derivative map*

405 The most negative value, i.e. a reduction in cost, utility, or ED, for the objective function is
406 located on the derivative map, which shows the highest potential for improvement, and
407 identifies the utility, its temperature and the direction that it should be changed. The utility
408 corresponding to this value must be selected in this step.

409 *Step 4: Utility temperature re-selection*

410 After identifying the best utility temperature to change, whether utility generation turns to
411 utility consumption or vice versa, ΔT_s must be divided by half and the shift backwards or
412 forwards to converge to the optimum; i.e. new ΔT_s can be added or subtracted to the utility
413 temperature. After changing the utility temperature, the process is re-targeted according to
414 the TSHI targeting method which is used, and the derivative map is re-calculated. This
415 procedure may be repeated unless the result converges.

416 After the first iteration, the optimisation procedure may lead to step 5:

417 *Step 5: Objective function check*

418 The value obtained for the objective function (UC or ED) from the derivative map should be
419 checked. If the value is negative it means there is a potential to improve the objective function
420 by increasing or decreasing its supply/target temperature by ΔT_s . Therefore, the procedure
421 goes back to Step 3; otherwise, it should be checked that if ED is the optimised objective
422 function and/ or if it is targeted that ED be an objective function. The answer may lead the
423 procedure either to Step 6 or Step 7.

424 *Step 6: ED derivative check*

425 In this step, ED is to be checked. The ED negative values represent the potential of further
426 improvement. Therefore, if the corresponding value to the most negative ED value in the
427 other objective function, i.e. UC, is equal to zero or negative, then the utility temperature still
428 can be improved.

429 *Step 7: TC objective function check*

430 This step is similar to step 5 and 6, but this time the value obtained for the TC column from
431 the derivative map should be checked. The negative value means there is a potential to
432 improve the objective function by increasing or decreasing its supply/target temperature by
433 ΔT_s . For negative values go to Step 3, otherwise, there will not be any more potential to
434 improve selected utility temperature, which means all the utility temperatures are optimal.

435 There are several advantages of this new method compared to the other methods. The exergy
436 analysis is based on exergetic temperatures, which have a linear relationship to exergy flow.
437 Previous TSHI exergy targeting methods were based on converting temperature to Carnot
438 factor and plotting an efficiency-enthalpy diagram. The new method is a derivative based
439 technique that can be programmed while conventional methods are heuristic based [25],
440 which are difficult to automate. In the specific case of Hui and Ahmed [25], only some GCC
441 segments are collected for TSHI, which can lead to significantly reduced HR. Hui and Ahmed
442 [25] also based the pricing of utility on exergy as opposed to actual prices as done in this
443 paper. Furthermore, the TSHI targeting method [19] used as part of the optimisation is
444 improved from conventional approaches [3]. Finally, none of the other methods considers
445 non-isothermal utility optimisation within the same procedure as isothermal utilities.

446

447 **4 Utility Temperature Optimisation Results for Three Industrial Case** 448 **Studies**

449 Three case studies have been considered to illustrate the derivative optimisation procedure,
450 namely: the Södra Cell Värö Kraft Pulp Mill plant [34], a Petrochemical Complex [19] and a
451 large Dairy Factory in New Zealand [19].

452 Table 2 presents TS characteristics of each case study considered.

453 Capital and energy costs are estimated in New Zealand dollars (NZD). Energy cost for utilities
 454 is estimated to be NZD 5 /MWh for cooling utilities, NZD 30 /MWh for heating utilities, NZD
 455 40 /MWh for chilled water (ChW), and NZD 100 /MWh for power generation. To calculate the
 456 CC for all case studies, investment return duration (n) has been set to 10 years with 7 %
 457 interest rate (j). It has been assumed that plate and frame heat exchangers are chiefly
 458 required in the dairy factory and shell and tube heat exchangers for the pulp mill and
 459 petrochemical case studies. Heat exchanger cost can be calculated based on required heat
 460 exchanger area according to Equation 10 [35] and cost parameters are taken from Statistics
 461 New Zealand Infoshare [36] data as is shown in Table 3. Note that to calculate the total CC,
 462 infrastructure cost such as civil, steel structure, and piping costs are not considered.

$$463 \quad CC = (a + (b \times A^c)) \times \left(\frac{j \times (1 + j)^n}{(1 + j)^n - 1} \right)$$

464 (10)

465 Where A is the heat transfer area in m^2 , and a , b , and c are cost coefficients and exponent
 466 relating to the heat exchanger type, as given in Table 3.

468 4.1 Case Study I: Södra Cell Värö Kraft Pulp Mill plant

469 Södra Cell Värö Kraft Pulp Mill plant in southern Sweden [34] has been chosen as the first case
 470 study. Initial utility streams as a base case for the optimisation procedure, are taken from
 471 Tarighaleslami et al. [19] to cover the required temperature ranges in TSHI as shown in Table
 472 4. The Very High Pressure Steam (VHPS) which enters to the turbine is taken at 450 °C and 90
 473 bar_g [18]. Shaft work targets are based on the SUGCC in conjunction with the Medina-Flores
 474 and Picón-Núñez turbine model [37]. All utilities presented in Table 4 except cooling water
 475 have been considered as an optimisable utility according to the described definition in the
 476 method section as it is clear in Table 4.

477 Figure 6 illustrates a comparison of utility targets of the base case, in dashed lines, compared
 478 to the optimised case in solid lines using original utility temperatures as a starting point in
 479 both TSP and SUGCC.

480 Targeting has been repeated considering three different approaches. Table 5 compares the
 481 optimised temperatures obtained by applying optimisation procedure. Table 6 demonstrates

482 targeting results for three different optimisation criteria for the case study. It shows 43.1 MW
483 of TSHR, 37.1 MW of SWG, NZD 14,618,951 /y UC, 77 heat exchanger units, and NZD
484 16,408,482 /y TC.

485 The optimised case, UC+ED+TC criteria, shows a 4.1 % increase in TSHR, 1.0 % increase in
486 SWG, reduction of one heat exchanger unit, and a 4.51 % decrease in TC compared to other
487 two criteria which have lower TC reduction. As can be seen in Table 6 for all three different
488 cases, SWG and UC are identical. However, ED increases in the third case while TC has been
489 reduced. This is due to LTHW optimal temperature (57 °C) that increases temperature driving
490 force that led the total required heat transfer area to be decreased while total heat
491 exchangers reduced by one unit. TC decreases up to 4.5 %.

492

493 4.2 Case Study II: Petrochemical Complex

494 This case study demonstrates the advantages of the implementation of the new optimisation
495 method to plants that typically operate at high temperature ranges. The plant utilities are
496 presented in Table 7. SWG is not considered in this case study.

497 As can be seen in Figure 7a, Medium Pressure Steam (MPS) and LPS are considered as an
498 optimisable utility. In the Figure 7 base case utility targets, in dashed lines, has been compared
499 with optimised targets in solid lines using original utility temperatures as a starting point for
500 both TSP and SUGCC.

501 The case study has been targeted and repeated for all three different criteria. The initial
502 utilities used as starting point and the result optimised utilities in each criterion are presented
503 in Table 8. Targeting results are presented in Table 9. In this case, optimisation based on TC
504 as an individual objective function has a lower reduction in TC (-2.52 %) while other two
505 criteria show identical TC reduction (-3.36 %). This means that when the TS is optimised
506 considering UC as the objective function, the optimal temperatures are used as the starting
507 point for the next optimisation step where TC is the objective function. The dual optimisation
508 function approach requires fewer iterations and enables an improved target to be achieved.
509 However, in this case, the benefit of including ED in the procedure is negligible since the
510 UC+TC approach and UC+ED+TC approach achieve the same final results.

511

512 4.3 Case study III: New Zealand Dairy Processing Factory

513 A large dairy factory in New Zealand has been chosen for the last case study and details are
514 illustrated in Table 2. All processes in the factory, which is considered as TS, have recently
515 been investigated and integrated to industry best practice. However, further improvements
516 have been achieved by using UTST method [19]. Table 10 presents initial utilities which are
517 used in the plant. As it is illustrated in Table 10 only LTHW has the conditions to be optimisable
518 utility.

519 Figure 8a shows TSP comparison between the Base Case targets using original utility
520 temperatures as a starting point, in dashed lines, and optimised targets in solid lines using the
521 same starting points. As can be seen hot utility targets, utility heat surplus, are identical
522 before and after optimisation but in cold utility side, utility heat deficit, LTHW has been
523 slightly improved. The similar comparison is illustrated for SUGCCs in Figure 8b which shows
524 TSHR has been increased about 100 kW.

525 Surprisingly, Tables 11 and 12 show that the optimisation results of all three criteria are
526 identical in this case study. This might be due to a couple of reasons, first, the LTHW is a non-
527 isothermal utility that has only 9.5 % of total heat load in both heat surplus and heat deficit
528 sides of TS which after optimisation is fully balanced. This means the utility has the exact
529 amount of generation and consumption as shown in Figure 8. Second, as mentioned above
530 the plant is highly efficient as a consequence of recent optimisation planning and also TS
531 targets are now more realistic and accurate based on UTST method [19]. However, the
532 optimisation targets could still decrease TC by 0.62 % and increase TSHR by 5.0 % while
533 increasing number of heat exchangers units by one.

534

535 5 Additional Analysis of the Södra Cell Värö Kraft Pulp Mill

536 5.1 The Effect of the Utility Price on Optimal Utility Temperature Selection

537 The utility price plays a significant role in the TC. It may vary site to site and/or location to
538 location. In this section, the effect of the utility price on the optimisation procedure has been
539 studied. The optimisation procedure has been repeated for 5 different hot utility prices (25,
540 30, 35, 40, and NZD 45 /MWh) in the Kraft Pulp Mill case study. In all cases of different hot
541 utility prices, identical utility optimal temperatures were achieved for all optimisable utilities

542 in the TS as shown in Figure 9. This means the optimal utility temperatures are weakly
543 dependent on the utility price for the utility price range that has been studied.

544 Figure 10 illustrates the changes of the UC and TC based on the optimisation results, and the
545 TC saving in each case with the different hot utility unit price. For each unit price, the
546 optimisation result has been compared to its original unit price based on the case study's
547 targets. As it can be seen in Figure 10, by increasing the hot utility price in the plant, the
548 reduction in the UC and TC may decrease based on the initial results. However, the net annual
549 cost saving increases from NZD 664,574 /y, which is a 7.1 % cost reduction for NZD 25 /MWh
550 to NZD 960,804 /y, which is 2.6 % cost reduction for NZD 45 /MWh.

551

552 5.2 The Effect of the Number of Utility Mains on Optimal Utility Temperature 553 Selection

554 The number of utility mains can greatly affect TSHR, utility and CCs as well as TC. In this
555 additional analysis, only four utility mains have been chosen for the Kraft Pulp Mill plant
556 compared to the previous five utility mains to quantify the impact on TC. HTHW and LTHW
557 have merged together as a single Hot Water (HW) utility. Optimised utility temperatures for
558 the new scenario are presented in Table 13.

559 The new scenario of four utility mains has been targeted with and without optimisation.
560 Results are presented in Table 14. After optimisation for the four utility mains case, TC has
561 decreased by 4.59 %, which offers NZD 773,406 /y of TC savings. As a percentage, this
562 reduction is not significantly higher than the previous analysis using five utility mains including
563 HTHW and LTHW. In terms of absolute TC, the optimised four utility mains case is 2.6 % higher
564 than the optimised five utility mains case, NZD 406,031 /y (Table 6) In future work, the TC
565 trade-off will include other capital costs, such as piping and civil works infrastructure, to
566 correct choose between four or five utility mains.

567

568 5.3 Sensitivity Analysis of Optimisation Method

569 A sensitivity analysis has been carrying out for the Kraft Pulp Mill case study to determine
570 how parameters such as the temperature starting point and the temperature step size may

571 affect optimisation procedure and its results. At the first stage, two sets of different starting
572 utility temperatures, Cases 1 and 2 in Table 15, have been selected to be applied to the
573 presented procedure. Results have been compared with the optimised results from Section
574 4.1 based on the original utility temperature as a Base Case.

575 Table 16 presents the TS targets for the all three optimised cases from Table 15. The
576 optimisation procedure converges to similar optimal temperatures for the three cases with a
577 couple of exceptions. The optimised hot side temperature of the LTHW in Case 1 differs from
578 the Base Case, which very slightly lowers the TC target. In Case 2, HPS does not converge to
579 the same temperature as the other cases, which affects its TS target. SWG decreases by 2.7 %
580 and TC increases by 14 % compared to the Base Case.

581 Appropriate selection of the initial utility temperatures is important. Utility temperatures may
582 be selected by experience and in conjunction with viewing the TSP where the shape provides
583 valuable information about potential utility mains temperatures. As can be seen in Figure 6,
584 the heat sink profile has a flat region around 157 °C and a steep slope in temperature range
585 immediately below 157 °C. If an isothermal utility, i.e. LPS, temperature is chosen below
586 157 °C, the optimal temperature may not converge above the region's higher boundary. As a
587 result, a logical initial temperature for LPS is >157 °C, as selected in the Base Case.

588 Different step sizes have been considered to study the sensitivity of the presented
589 optimisation procedure. The procedure has been carried out using initial 16 °C step size. It
590 has been repeated for 0.1, 1.0, 8.0, and 24.0 °C. Table 17 shows the optimal temperatures for
591 different step sizes. The original temperatures are considered as the utility temperature
592 starting points and targets are repeated for each step size. As it can be seen in Table 17, for
593 8.0 °C and 24.0 °C step size, the same optimal temperature can be achieved. For the 1.0 °C,
594 only cold side of HTHW converged 1.8 °C lower than the optimal case. For the very small step
595 size (0.1 °C) final temperatures did not converge as it may be due to the local optimums of
596 the optimisation function.

597 Table 18 presents TS targets deviation from the initial 16 °C optimal temperature results after
598 optimisation carried out using different step sizes. Only the deviation of the 0.1 °C step size
599 can be taken into an account as it is not converging the optimal utility temperature. It means,
600 it is not easy to adjust utility temperatures by very small amounts due to operational
601 uncertainties such as heat loss and hydraulic difficulties. Therefore, from both Table 17 and

602 18 it can be said that step size does not have a direct effect on optimisation procedure;
603 however, smaller step sizes may not present accurate results due to unpredicted optimums
604 in objective functions. On the other hand, larger step sizes can cover a wide range of objective
605 function in the mathematical procedure; thus, larger step sizes may present more accurate
606 results.

607

608 6 Conclusions

609 A new improved Total Site Heat Integration utility temperature selection and optimisation
610 procedure has been demonstrated using three industrial case studies. None of the existing
611 optimisation and utility temperature procedures addressed non-isothermal utility selection
612 and optimisation incorporated isothermal utilities in the same procedure. The concept of the
613 optimisable utility and three optimisation parameters such as Utility Cost (UC), Exergy
614 Destruction (ED), and Total Annualised Cost (TC) have been included in the procedure. Results
615 show that TC slightly improves when UC derivatives are considered in the optimisation
616 compared to the case with considering only TC derivatives. However, the best optimal results
617 are based on minimising the derivative of the UC iteratively with the derivative of ED, then
618 sequentially minimise the derivative of the TC where TC decreases for three case studies in
619 the range of 0.5 to 4.6 %.

620 Variation of utility prices in different plants may affect the TC. Results show that hot utility
621 prices from 25 to NZD 45 /MWh had many minimal effect optimal utility temperature section.
622 However, the optimal temperature may not be affected by utility prices. Changing the
623 number of the utility mains can affect the TS targets as well as UC, CC and TC. Kraft Pulp Mill
624 case study results revealed that lower hot utility price shows a higher proportion of TC
625 reduction, while quantitatively, it has lower TC savings per annum. However, all options must
626 be studied to find the best combination of the utilities. The optimisation procedure has a very
627 low objective function deviation from optimal results as only very small temperature step
628 sizes may show about 1 % deviation from optimal results. Presented optimisation method
629 converges any chosen starting points to an identical optimal temperature. However, due to
630 the temperature function of each case, the arrangement of Total Site Profiles must be
631 considered. Smaller temperature step size may accelerate the optimisation procedure when

632 it halves at any iteration while optimisation procedure is in progress. Also, larger step sizes
633 may cover a wider range of temperature function; therefore, the chances to converge on
634 lower optimums may be reduced.

635 The optimisation procedure has been examined via developed software tool based on Unified
636 Total Site targeting method presented in authors' previous work. The procedure can be
637 applied for both retrofit and grassroots design in an industrial plant as all temperatures
638 converge to an identical optimal temperature in each utility.

639

640 Acknowledgements

641 This research has been supported by the EU project "Sustainable Process Integration
642 Laboratory – SPIL", project No. CZ.02.1.01/0.0/0.0/15_003/0000456 funded by EU "CZ
643 Operational Programme Research, Development and Education", Priority 1: Strengthening
644 capacity for quality research, in a collaboration agreement with the University of Waikato,
645 New Zealand.

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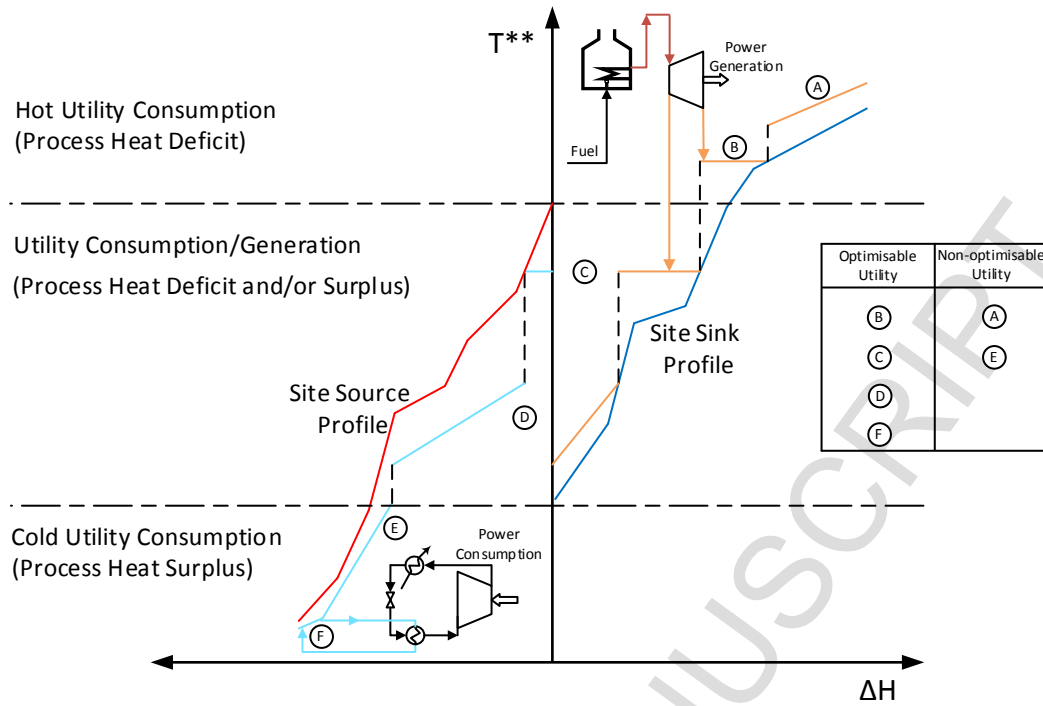
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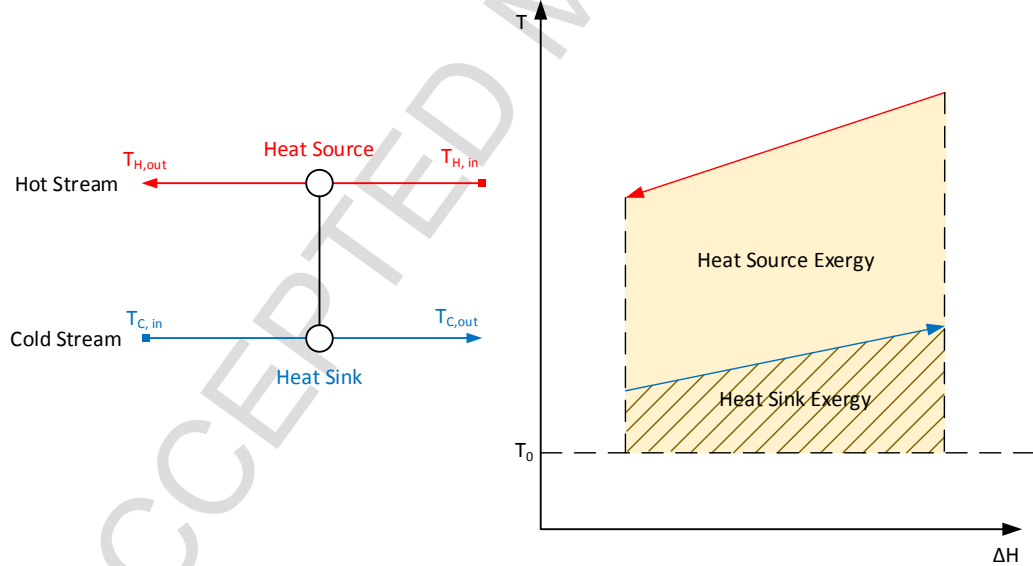


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Figure 1: Possibility of utility to be optimised in a typical TSP.

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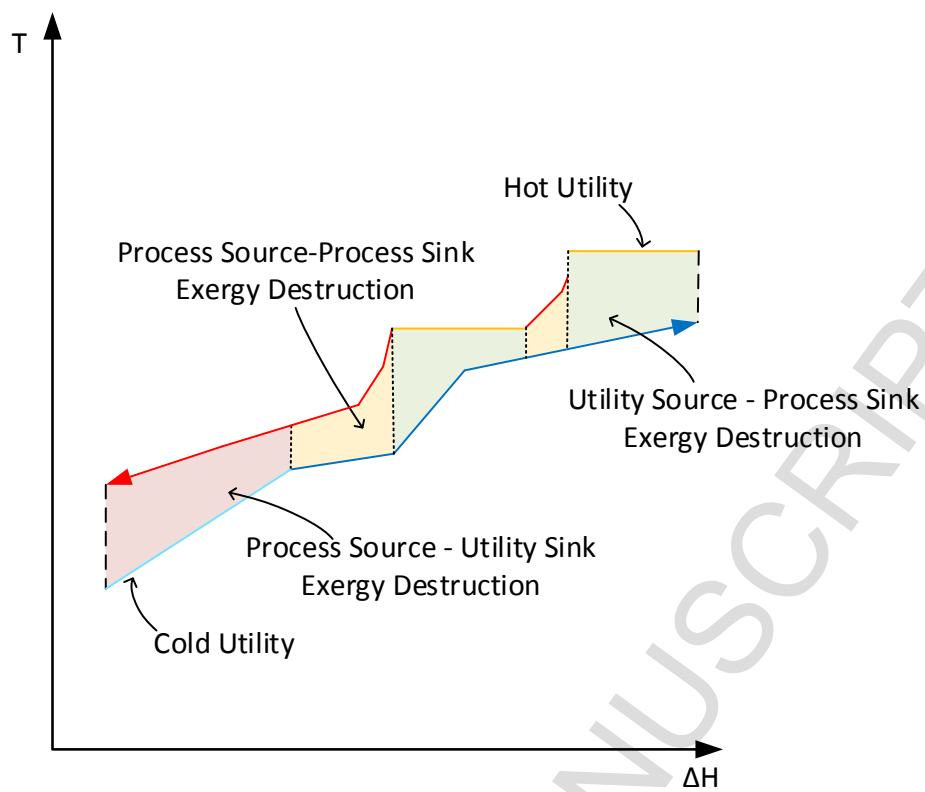


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Figure 2: Exergy analysis of a single heat exchanger

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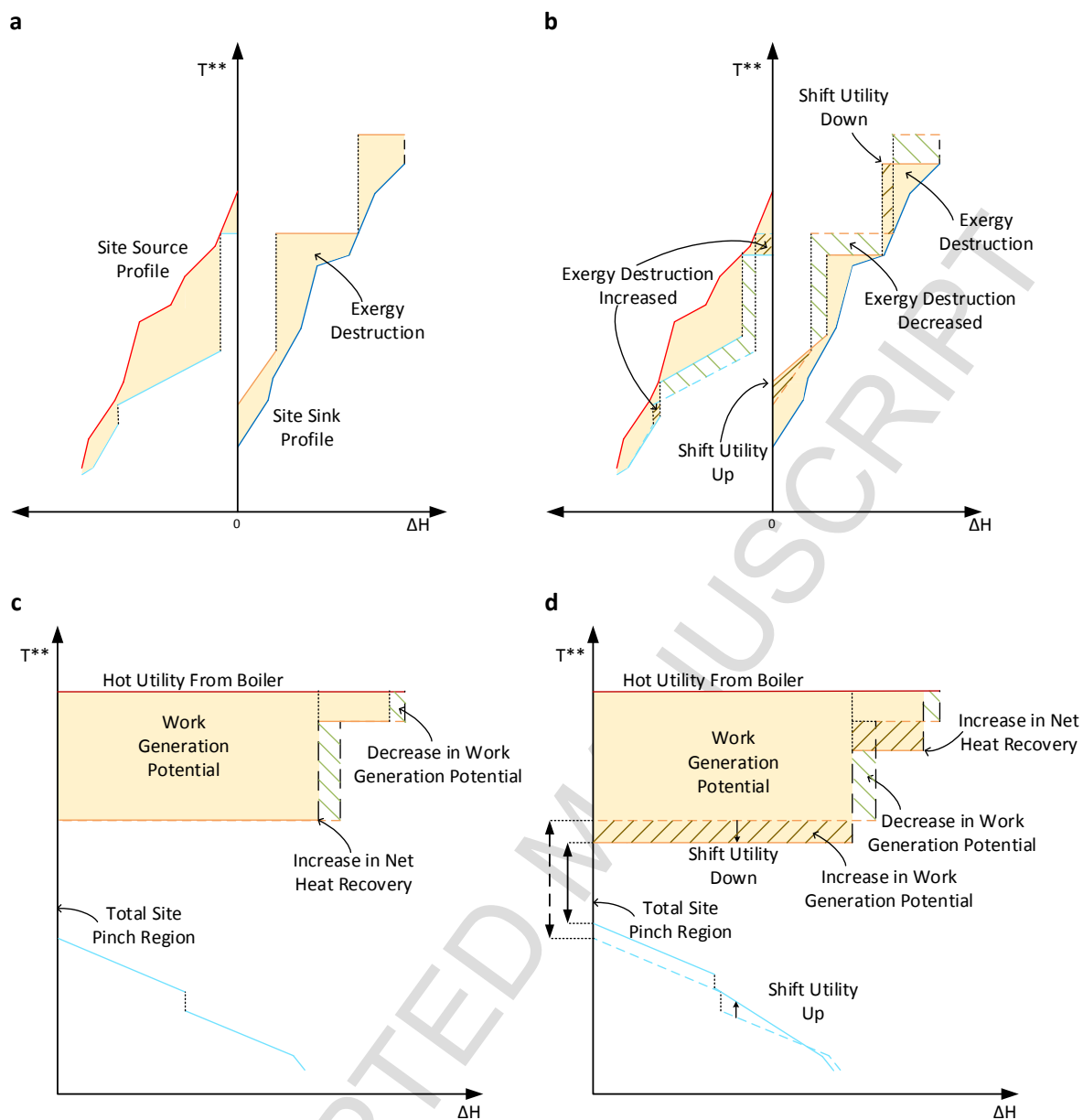


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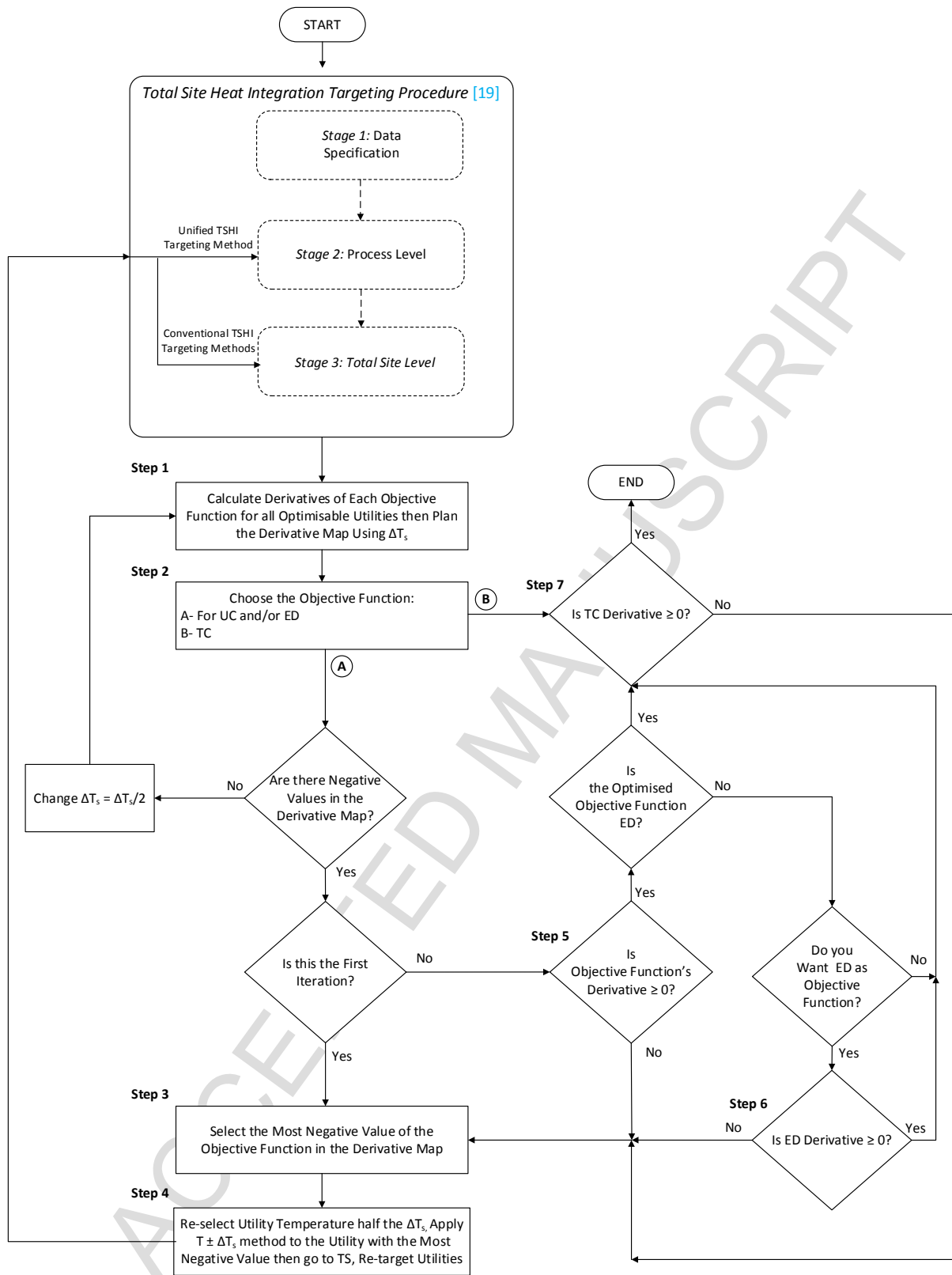
Figure 3: Utility-Process and Process-Process ED in a single process BCC.



755

756 Figure 4: a) Total ED in a typical TSP; b) Total exergy destruction as results of utility shifts; c) Typical SUGCC HR
 757 and power generation trade-off; d) Complex trade-off between power generation, HR, and ED after utility
 758 shifts

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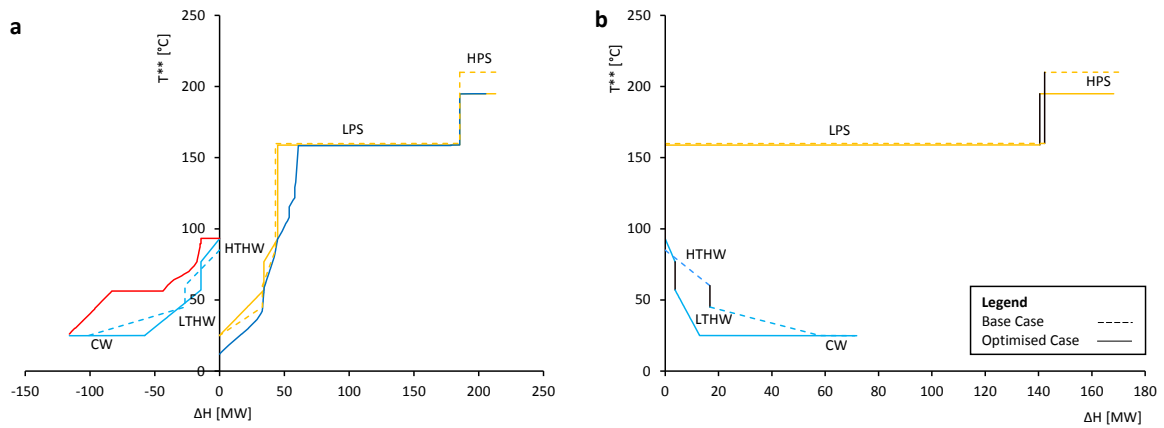


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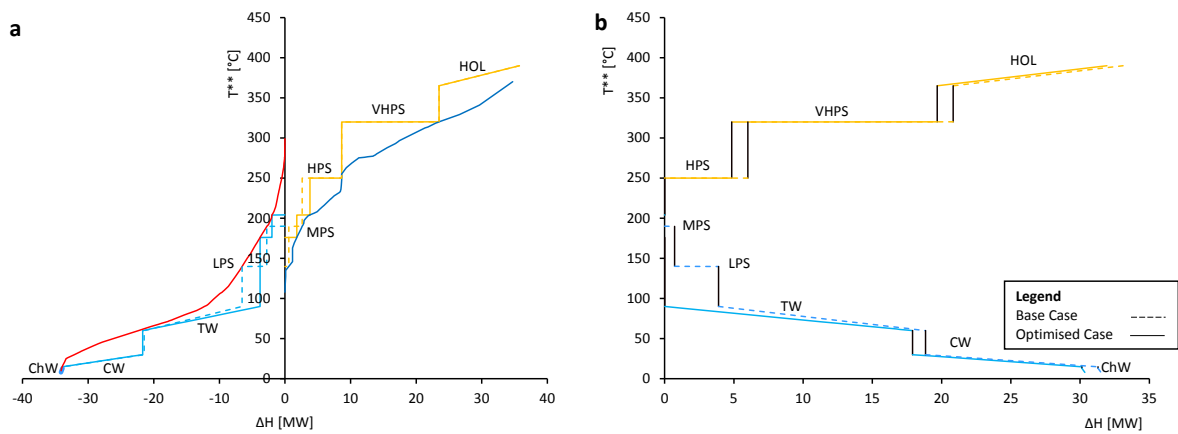
Figure 5: Optimisation procedure for Unified TSHI method.



763

764 Figure 6: Comparison of the base case and optimised case a) TSP and; b) SUGCC, for Kraft Pulp Mill case study.

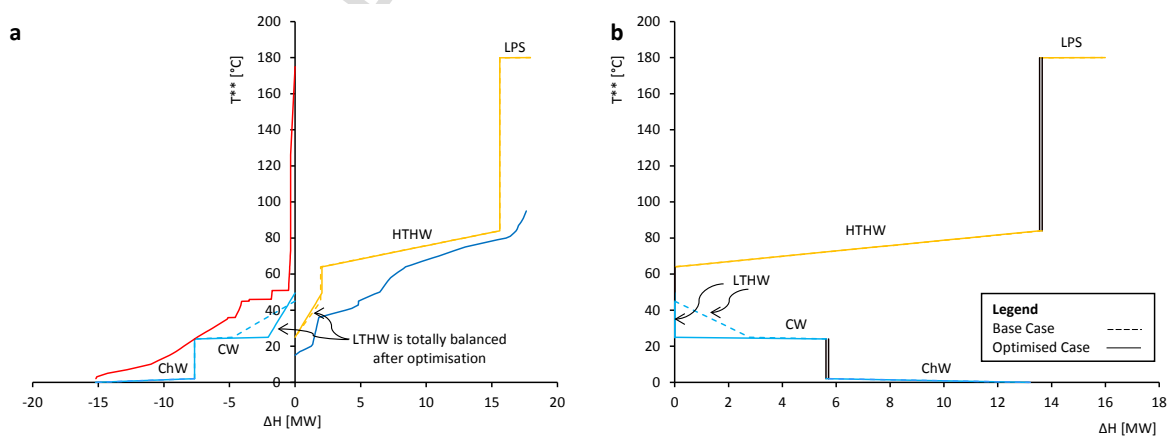
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767 Figure 7: Comparison of the base case and optimised case a) TSP and; b) SUGCC, for Petrochemical Complex
768 case study.

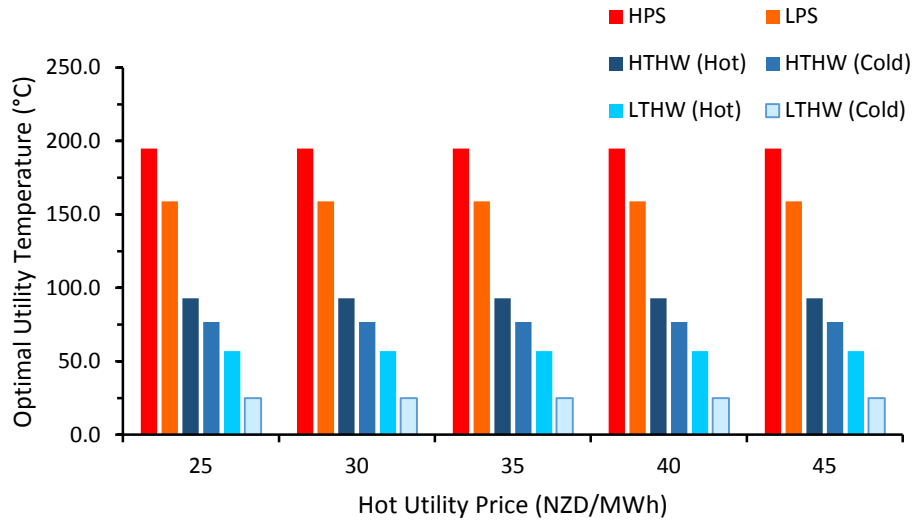
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771 Figure 8: Comparison of the base case and optimised case a) TSP and; b) SUGCC, Dairy Factory case study.

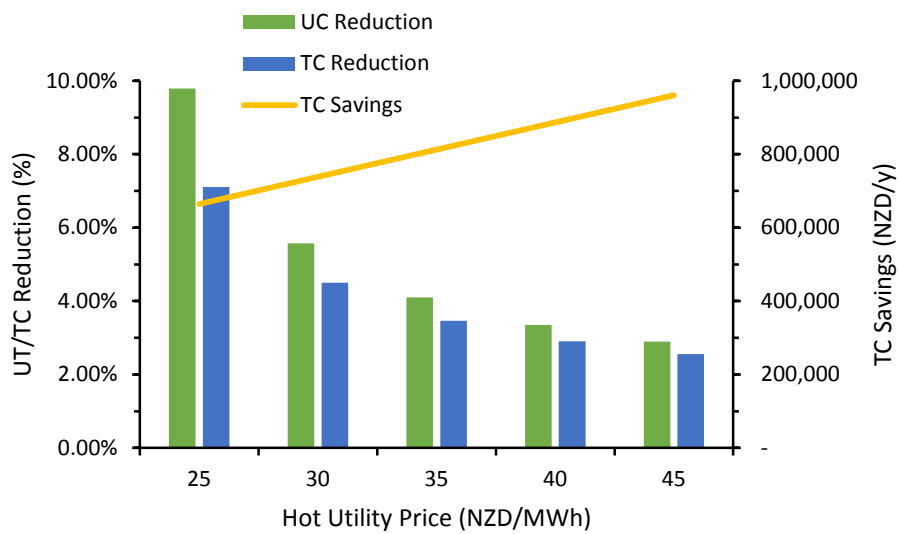
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774 Figure 9: The effect of hot utility price on optimal utility temperatures for optimisable utilities in the Kraft Pulp
 775 Mill Case study.

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778 Figure 10: Changes in the percentage of UC and TC reduction, and TC savings for different hot utility prices in
 779 the Kraft Pulp Mill Case study.

Table 1: A general framework to construct a derivative map for a utility.

Temperature Ranges	Objective Function Derivative		
$T_{\text{Cold}}, T_{\text{Hot}}$ (°C)	Utility Cost $\frac{dUC}{dT}$ (NZD/°C)	Exergy Destruction $\frac{dX_d}{dT}$ (kW/°C)	Total Annualised Cost $\frac{dTC}{dT}$ (NZD/°C)
$T_{c,i}, T_{h,i} + \Delta T_s$	$\frac{UC(T_{c,i}, T_{h,i} + \Delta T_s) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i}, T_{h,i} + \Delta T_s) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i}, T_{h,i} + \Delta T_s) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i}, T_{h,i} - \Delta T_s$	$\frac{UC(T_{c,i}, T_{h,i} - \Delta T_s) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i}, T_{h,i} - \Delta T_s) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i}, T_{h,i} - \Delta T_s) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i} + \Delta T_s, T_{h,i}$	$\frac{UC(T_{c,i} + \Delta T_s, T_{h,i}) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i} + \Delta T_s, T_{h,i}) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i} + \Delta T_s, T_{h,i}) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i} - \Delta T_s, T_{h,i}$	$\frac{UC(T_{c,i} - \Delta T_s, T_{h,i}) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i} - \Delta T_s, T_{h,i}) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i} - \Delta T_s, T_{h,i}) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i} + \Delta T_s, T_{h,i} + \Delta T_s$	$\frac{UC(T_{c,i} + \Delta T_s, T_{h,i} + \Delta T_s) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i} + \Delta T_s, T_{h,i} + \Delta T_s) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i} + \Delta T_s, T_{h,i} + \Delta T_s) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i} + \Delta T_s, T_{h,i} - \Delta T_s$	$\frac{UC(T_{c,i} + \Delta T_s, T_{h,i} - \Delta T_s) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i} + \Delta T_s, T_{h,i} - \Delta T_s) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i} + \Delta T_s, T_{h,i} - \Delta T_s) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i} - \Delta T_s, T_{h,i} + \Delta T_s$	$\frac{UC(T_{c,i} - \Delta T_s, T_{h,i} + \Delta T_s) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i} - \Delta T_s, T_{h,i} + \Delta T_s) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i} - \Delta T_s, T_{h,i} + \Delta T_s) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$
$T_{c,i} - \Delta T_s, T_{h,i} - \Delta T_s$	$\frac{UC(T_{c,i} - \Delta T_s, T_{h,i} - \Delta T_s) - UC(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{X_d(T_{c,i} - \Delta T_s, T_{h,i} - \Delta T_s) - X_d(T_{c,i}, T_{h,i})}{\Delta T_s}$	$\frac{TC(T_{c,i} - \Delta T_s, T_{h,i} - \Delta T_s) - TC(T_{c,i}, T_{h,i})}{\Delta T_s}$

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Table 2: Total Site characteristics for each case study.

Case study	No. of processes	No. streams available in TS	ΔT_{\min} (°C)	Operating Period (h/y)
Kraft Pulp Mill Plant	10	64	10	8,300
Petrochemical Complex	8	60	20	8,600
Dairy Factory	15	79	5	5,500

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Table 3: CC parameters for Shell and Tube, and Plate and Frame heat exchangers.

Heat Exchanger Type	a	b	c
Shell and Tube	0	5,870	0.57
Plate and Frame	4,265	649	1.00

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Table 4: Initially required utilities for Kraft Pulp Mill case study.

Utility Name	Utility Type	T_{Cold} (°C)	T_{Hot} (°C)	Pressure Range (bar _g)
HPS	Hot		210.0	15
LPS	Hot		160.0	9
HTHW	Hot	85.0	60.0	
LTHW	Cold	25.0	45.0	
CW	Cold	25.0	*	

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*Soft utility temperature

789

790 Table 5: Optimised utility temperatures comparison for different three criteria in Kraft Pulp Mill case study.

Optimisation Criteria	Isothermal Utility		Non-Isothermal Utility				
	HPS	LPS	HTHW		LTHW		CW
	T _{Hot} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Cold} * (°C)
Original Utilities	210.0	160.0	85.0	60.0	45.0	25.0	25.0
TC	194.9	158.9	93.0	76.8	46.0	25.0	25.0
UC+TC	194.9	158.9	93.0	76.8	46.0	25.0	25.0
UC+ED+TC	194.9	158.9	93.0	76.8	57.0	25.0	25.0

791 *Soft utility target temperature (T_{Hot})

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793 Table 6: Utility targets comparison for different three criteria in Kraft Pulp Mill case study.

Optimisation Criteria	Heat Exchanger Unit Target	TSHR Target	SWG	ED	UC	TC	Change
	#	kW	kW	kW	NZD/y	NZD/y	%
Original Utilities	77	43,061	37,027	19,095	14,618,951	16,408,482	-
TC	76	44,845	37,384	19,107	13,804,364	15,675,136	-4.47
UC+TC	76	44,845	37,384	19,107	13,804,364	15,675,136	-4.47
UC+ED+TC	76	44,845	37,384	20,242	13,804,364	15,669,850	-4.51

794

795 Table 7: Initially required utilities for Petrochemical Complex case study.

Utility Name	Utility Type	T _{Cold} (°C)	T _{Hot} (°C)	Pressure Range (bar _g)
HOL	Hot	390.0	365.0	
VHPS	Hot		320.0	65
HPS	Hot		250.0	15
MPS	Hot		190.0	9
LPS	Hot		140.0	5
TW	Cold	60.0	90.0	
CW	Cold	15.0	30.0	
ChW	Cold	8.0	13.0	

796

798 Table 8: Optimised utility temperatures comparison for different three criteria in Petrochemical Complex case
799 study.

Optimisation Criteria	Isothermal Utility				Non-Isothermal Utility							
	VHPS	HPS	MPS	LPS	HOL		TW		CW		ChW	
	T _{Hot} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)
Original Utilities	320	250	190	140	390	365	90	60	30	15	13	8
TC	320	250	214	180	390	365	90	60	30	15	13	8
UC+TC	320	250	204	176	390	365	90	60	30	15	13	8
UC+ED+TC	320	250	204	176	390	365	90	60	30	15	13	8

800

801 Table 9: Utility targets comparison for different three criteria in Petrochemical Complex case study.

Optimisation Criteria	Heat Exchanger Unit Target	TSHR Target	ED	UC	TC	Change
	#	kW	kW	NZD/y	NZD/y	%
Original Utilities	139	2,633	5,759	9,895,506	10,751,421	-
TC	131	3,488	5,964	9,638,319	10,480,799	-2.52
UC+TC	134	3,796	5,967	9,545,481	10,390,653	-3.36
UC+ED+TC	134	3,796	5,967	9,545,481	10,390,653	-3.36

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803 Table 10: Initially required utilities for Dairy Factory case study.

Utility Name	Utility Type	T _{Cold} (°C)	T _{Hot} (°C)	Pressure Range (bar _g)
LPS	Hot		180.0	10
HTHW	Hot	84.0	64.0	
LTHW	Hot	45.0	25.0	
CW	Cold	24.0	*	
ChW	Cold	0.0	2.0	

804 *Soft utility temperature

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806

807 Table 11: Optimised utility temperatures comparison for different three criteria in Dairy Factory case study.

Optimisation Criteria	Isothermal Utility	Non-Isothermal Utility						
	LPS	HTHW		LTHW		CW	ChW	
	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Cold*} (°C)	T _{Hot} (°C)	T _{Cold} (°C)
Original Utilities	180	84	64	45	25	24	2	0
TC	180	84	64	49.5	25	24	2	0
UC+TC	180	84	64	49.5	25	24	2	0
UC+ED+ TC	180	84	64	49.5	25	24	2	0

808 *Soft utility target temperature (T_{Hot})

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Table 12: Utility targets comparison for different three criteria in Dairy Factory case study.

Optimisation Criteria	Heat Exchanger Unit Target	TSHR Target	ED	UC	TC	Change
	#	kW	kW	NZD/y	NZD/y	%
Original Utilities	97	1,952	2,125	4,454,612	4,873,609	-
TC	98	2,501	2,201	4,435,662	4,843,602	-0.62
UC+TC	98	2,501	2,203	4,435,662	4,843,602	-0.62
UC+ED+ TC	98	2,501	2,203	4,435,662	4,843,602	-0.62

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Table 13: New required utility set for Kraft Pulp Mill case study.

Utility	Isothermal Utility		Non-Isothermal Utility		
	HPS	LPS	HW		CW
	T _{Hot} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Cold*} (°C)
New Utilities	210.0	160.0	75.0	25.0	25.0
New Utility Optimal Temperatures	194.9	158.9	72.3	25.0	25.0

813 *Soft utility temperature

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815

816 Table 14: Utility targets comparison for four utility mains case and its optimised targets based on UC+ED+TC
 817 criteria in Kraft Pulp Mill case study.

Optimisation Criteria	Heat Exchanger Unit Target	TSHR Target	SWG	ED	UC	TC	Change %
	#	kW	kW	kW	NZD/y	NZD/y	
New Utilities	73	39,135	37,703	23,536	15,198,152	16,849,345	-
UC+ED+TC	72	39,354	38,705	22,931	14,303,060	16,075,881	-4.59

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819 Table 15: Optimised utility temperatures comparison for different cases in Kraft Pulp Mill case study.

Start Point Temperatures	Isothermal Utility			Non-Isothermal Utility			
	HPS	LPS	CW	HTHW		LTHW	
	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Cold} (°C)
Base Case	210.0	160.0	25.0	85.0	60.0	45.0	25.0
Case 1	230.0	160.0	25.0	90.0	70.0	40.0	25.0
Case 2	210.0	140.0	25.0	90.0	70.0	35.0	25.0
Base Case Optimised	194.9	158.9	25.0	93.0	76.8	57.0	25.0
Case 1 Optimised	194.9	158.9	25.0	93.0	76.8	49.0	25.0
Case 2 Optimised	162.0	138.9	25.0	93.0	76.8	49.0	25.0

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821 Table 16: Comparison of optimised objective functions with the base case in Kraft Pulp Mill case study.

Start Point Temperatures	TSHR kW	SWG kW	ED kW	UC NZD/y	TC NZD/y	Change %
Base case	44,845	37,384	20,242	13,804,364	15,669,850	-4.51
Case 1	44,845	37,384	20,196	13,804,364	15,672,299	-4.48
Case 2	44,845	36,399	21,064	16,923,756	18,688,636	13.90

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Table 17: Optimised utility temperatures for different step sizes.

Step Size (°C)	Isothermal Utility			Non-Isothermal Utility			
	HPS	LPS	CW	HTHW		LTHW	
	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Hot} (°C)	T _{Cold} (°C)
0.1	201.3	158.9	25.0	90.9	60.5	45.0	25.0
1.0	194.9	158.9	25.0	93.0	74.6	57.0	25.0
8.0	194.9	158.9	25.0	93.0	76.9	57.0	25.0
16.0*	194.9	158.9	25.0	93.0	76.9	57.0	25.0
24.0	194.9	158.9	25.0	93.0	76.9	57.0	25.0

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*Step applied in initial case study analysis

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Table 18: Deviation from TS targets for different step sizes compared to initial 16 °C step.

Step Size (°C)	TSHR Deviation %	SWG Deviation %	ED Deviation %	UC Deviation %	TC Deviation %
0.1	-1.3	0.0	0.0	0.8	0.8
1.0	-0.4	0.1	0.2	0.1	0.1
8.0	0.0	0.0	0.0	0.0	0.0
24.0	0.0	0.0	0.0	0.0	0.0

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