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# The role of control in risk perception on rural roads

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**Abstract**

Risk perception plays an important role in driver behaviour, particularly for speed choice. Risk perception studies use a range of techniques from on-road data collection to ratings of still photos, however participants' ratings differ depending on the study methodology, possibly due to their perception of control. To explore this we conducted a multiple methods study to investigate drivers' perceptions of risk on rural roads. One group of participants drove (Drivers, n=13) a 180km route along rural roads (accompanied by a research assistant) and provided verbal risk ratings at thirteen locations of interest. A second group (Passengers, n=10) provided ratings at the same points when travelling as a passenger in a vehicle (driven by a research assistant). The third group (Observers, n=14) were shown videos of the same rural roads (filmed from the drivers' perspective) and also provided risk ratings at the same locations. A week later participants were invited to the laboratory to review the video footage and comment on factors that contributed to the risk ratings. Overall, the Observers gave the highest risk ratings and Drivers the lowest. The Observers also provided twice the number of comments to justify their risk rating compared to the other two groups. The results suggest that control, and on-road experience play a significant role in how perceptions of driving risk are formed and the degree of risk experienced. These findings also bring into question the accuracy of using video-based tasks to assess drivers' risk perception (and speed choice), particularly if the findings are used to inform on-road safety interventions.

Keywords: illusion of control; passenger; on-road; video-based; perceived risk; speed

## 34 1. Introduction

35 The role of risk as an important factor in driver behaviour has been the subject of extensive  
36 discussion and debate. Some researchers have suggested that individuals adjust their driving  
37 to maintain a zero level risk (e.g., Summala, 1988; Vaa, 2014) whereas others (Wilde, 1998;  
38 Fuller et al., 2008) suggest that each driver has ‘preferred’ or ‘target’ levels of risk and their  
39 driving behaviour is altered to ensure an acceptable level of risk is maintained. There is  
40 evidence to support this proposition; for example Fuller et al. (2008) presented participants  
41 with a series of video clips of three different road types at increasing speeds and asked them  
42 to provide ratings of subjective risk (as well as task difficulty and collision risk) at the end of  
43 each clip. They found a positive linear relationship between subjective risk and speed (i.e.  
44 higher speeds were rated as more risky). Other studies suggest that the linear relationship  
45 between risk and speed only occurs once a certain threshold is reached. That is, ratings of  
46 subjective risk are low up to a certain speed, but once this speed is exceeded, risk ratings  
47 increase alongside increases in speed (Lewis-Evans & Rothengatter, 2009; Lewis-Evans, de  
48 Waard & Brookhuis, 2011). A threshold effect has also been reported for headway time, with  
49 risk ratings increasing once headways were below 2 seconds (Lewis-Evans, de Waard &  
50 Brookhuis, 2010). In on-road or simulator studies where participants were asked to provide  
51 ratings of perceived risk and then drive the same road at a speed of their choosing, roads with  
52 higher risk ratings tended to be driven at lower speeds (Charlton & Starkey, 2016). Drivers  
53 also showed better speed limit compliance on roads with higher ratings of subjective risk  
54 (Yao et al., 2019).

55 The research described above, and studies conducted by others have shown that drivers form  
56 subjective judgements about risk as they drive (e.g., Groeger & Chapman, 1996; Pelz &

57 Krupat. 1974; Watts & Quimby, 1980), and this influences their on-road driving behaviour.  
58 Until recently however, the relationship between drivers' perceptions of risk and the  
59 objective level of risk on a particular road was poorly understood. To address this Charlton et  
60 al (2014) investigated the relationship between drivers' perceived level of risk across a  
61 number of rural state highways with the objective risk of those roads (using road protection  
62 scores from the KiwiRAP database; Waible, Tate & Brodie, 2012). Findings indicated that  
63 generally drivers' perceived ratings of risk corresponded well with the levels of objective  
64 risk. That is, roads with high objective risk were perceived as high risk and those with low  
65 objective risk were rated as low risk. Curves, hills, road width, and median barriers explained  
66 80% of the variance in the participants' ratings of perceived risk. Interestingly though,  
67 discrepancies between perceived and objective risk were apparent in some situations; the risk  
68 of curves and narrow roads were over-rated as compared to the objective risk, but  
69 intersections, roadside poles and ditches were under-rated. Given the link between perceived  
70 risk and speed choice, the underestimation of risk in certain situations is concerning and  
71 further research to better understand factors that contribute to perceptions of risk is  
72 warranted.

73 The finding that drivers' perceptions of risk are generally correlated with the objective risk of  
74 the road is reassuring and suggests that lab-based or on-road studies assessing drivers'  
75 perception of risk are a useful tool to advance our understanding of driver behaviour.  
76 However it is important to be aware of the limitations of these approaches. Charlton et al.,  
77 (2014) used a multi-method approach to assess the relationship between actual and perceived  
78 risk (ratings were gathered in response to stills, videos presented in a driving simulator, and  
79 on-road driving). The findings revealed some interesting differences in the relative magnitude  
80 of perceived risk across the different presentation modes. More specifically, the risk ratings  
81 of the photos were significantly higher compared to those of the video, which in turn were

82 higher than those from the on-road study. As yet, the reasons for these differences are  
83 unclear. It may be that the increased cognitive demands of the on-road drive left the  
84 participants with fewer attentional resources to accurately evaluate the risk at any given  
85 moment, whilst the cognitive demands of the video- and photo-based rating tasks were lower,  
86 allowing more attentional resources to be directed towards the risk perception task.

87 As well as differences in the cognitive demands of the tasks, participants' perception (or in  
88 the case of the on-road study, ability) to control the situation differed across the three tasks.

89 This relates to the concept of *illusion of control* (Langer, 1975) which McKenna (1993)

90 suggested could lead to people believing that when they have personal control over a

91 situation, the likelihood of a positive outcome is better than average. Thus, a person may take

92 more risks when they are in control of a situation because they believe their skill, ability or

93 performance would be better than others. To test this hypothesis, Horswill & McKenna

94 (1999) asked participants to provide ratings of gap acceptance, following distance, overtaking

95 and speed choice whilst watching video footage of a drive filmed from the drivers'

96 perspective. Half of the participants were asked to imagine that they were the driver of the

97 vehicle (i.e., in control) and the remainder were asked to imagine they were the passenger (no

98 control). Analyses revealed that the 'drivers' chose significantly higher speeds (9.97 km/h

99 faster than the video footage) than the 'passengers' (6.32 km/h faster than the video). These

100 findings were interpreted as supporting the concept of an illusion of control, and that risk

101 taking behaviour was influenced by participants' perceived control.

102 The current study was undertaken to investigate the role of control in drivers' subjective

103 ratings of risk. We obtained risk ratings at pre-determined locations from three groups of

104 participants with different levels of control over the vehicle. Participants were allocated to

105 one of three groups: 1) the driver in a car (i.e., in full control), 2) the passenger in a car (in

106 partial control as they could communicate with the driver and influence their behaviour) or 3)  
107 an observer of video footage collected from the same driving route (no control). Within a  
108 week of their risk rating session, participants were invited to attend the laboratory  
109 individually for a post-drive interview. They viewed video footage of their drive and  
110 provided verbal explanations about the kinds of things they noticed that made them give their  
111 risk rating.

## 112 2. Method

### 113 2.1 Participants

114 Three groups of participants ('Drivers', 'Passengers' and 'Observers') were recruited via  
115 notices posted around the University of Waikato, local companies and businesses (n=10-14  
116 per group). Data from two participants were excluded from the Driving group, one due to  
117 poor weather conditions during the drive and one due to failure of the in-car recording  
118 devices, and one in the Observer group who failed to follow instructions from the  
119 experimenter. The demographic characteristics of the participants included in the analyses are  
120 presented in Table 1.

121 Each of the participants in the Driver and Passenger groups received a \$40 voucher at the end  
122 of the drive and another \$10 voucher at the post-drive interview session. Those in the  
123 Observer group received \$10 for each of their 2 sessions. Ethical approval for the recruitment  
124 and test protocols was received from the School of Psychology Research Ethics Committee at  
125 the University of Waikato.

126

127 Table 1. Demographic characteristics of participants in the Driver, Passenger and Observer  
128 groups.

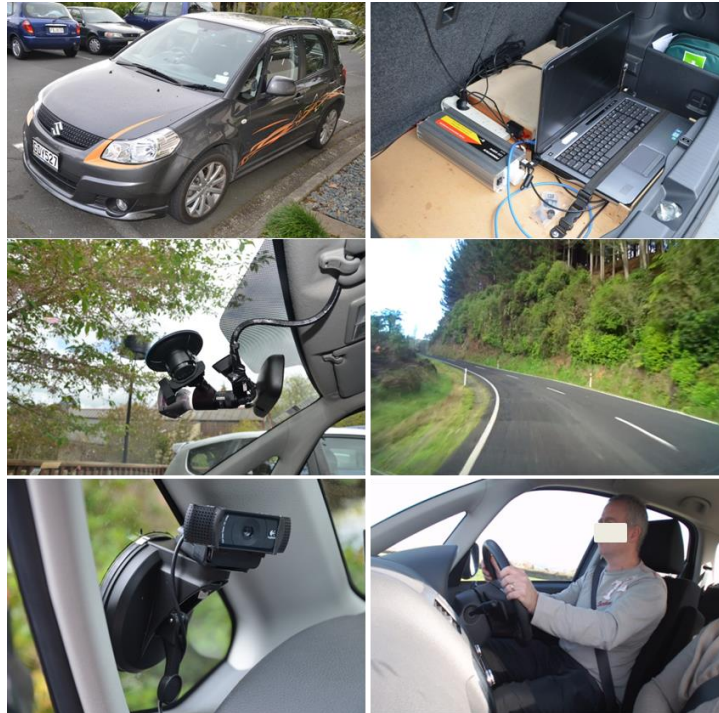
Driver (n=13)	Passenger (n =10)	Observer (n=14)	Test Statistic (F or $X^2$ )
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<b>Age in years</b>				
<b>Mean (SD)</b>	41.2 (8.43)	34.2 (5.6)	35.07 (7.84)	$F(2,34)=3.14, p=.056, \eta_p^2=.16$
<b>Gender</b>				
<b>Male n (%)</b>	7 (54%)	5 (50%)	6 (43%)	$X^2(2)=.336, p=.85$
<b>Ethnicity</b>				
<b>NZ European</b>	9 (69)	4 (40)	7 (50)	
<b>Maori</b>	0 (0)	2 (20)	2 (14.)	
<b>Other</b>	4 (31)	4 (20)	5 (36)	$X^2(4)=3.44, p=.49$
<b>Licensure in years</b>				
<b>Mean (SD)</b>	22.6 (10.09)	14.1 (6.74)	19.6 (8.30)	$F(2,34)=2.80, p=.08, \eta_p^2=.14$
<b>No crashes (lifetime)</b>				
<b>Mean (SD)</b>	1.15 (1.72)	1.00 (2.16)	1.14 (1.29)	$F(2,34)=0.28, p=.97, \eta_p^2=.01$

129

## 130 2.2 Apparatus

131 A 2012 Suzuki SX4 vehicle (see top left Figure 1) was fitted with two video cameras (HD  
132 quality, equipped with a standard lens,  $f=55$  mm); the first was attached via suction cups to  
133 the front windscreen (middle left Figure1), recording the road scene ahead (middle right  
134 Figure 1); the second was attached to the small window on the passenger side of the vehicle  
135 (bottom left Figure 1) to record the driver's behaviour (bottom right Figure 1). A laptop  
136 computer generated a tone to prompt the participant (Driver or Passenger) to provide a verbal  
137 risk rating at predetermined GPS coordinates (points of interest) along the route. The  
138 computer also synchronised the video files from the two cameras and stored them (top right  
139 Figure 1).



140

141 Figure 1. The car used in the current study. A Suzuki SX4 (top left) was equipped with a  
142 computer to sound a tone at predetermined GPS locations (top right). A camera was fitted the  
143 front windscreen (middle left) to record the road ahead (middle right), and to the passenger  
144 window (bottom left) to record the driver (bottom right).

145

### 146 2.3 Video stimuli

147 For participants in the Driver and Passenger groups, 35 sec sections of the video (including  
148 audio) containing the locations of their risk ratings (25 seconds before the tone to 10 seconds  
149 after) were extracted from the full video of their drive. The videos from the drive were  
150 preceded by two practice clips (the same for all participants) to familiarise the participants  
151 with the task.

152 For the Observer group, we prepared a set of edited videos containing each of the locations of  
153 interest (preceded by 2 practice clips). The set was derived from video collected whilst  
154 Passengers gave on-road risk ratings and the car was driven by a research assistant. Footage  
155 from each location was selected to ensure there was minimal other traffic and dry weather.



156 The participants viewed the edited videos of the 13 locations of interest on a flat-panel  
157 display screen (93cm x 52cm, 1920 x 1080 pixels) from a distance of 2.3m during a post-  
158 drive interview within a week of the initial session (Figure 2).

159



160

161 Figure 2. The room layout for the post-drive interview

#### 162 *2.4 Route Selection*

163 The route (approximately 180km round trip) was a subset of the roads used in Charlton et al.  
164 (2014). It was selected from the NZ state highway system close to the University of Waikato  
165 and included roads with differing characteristics such as lane width, shoulder width,  
166 horizontal and vertical curves, and roadside objects such as guard rails, light poles, or  
167 drainage ditches. The route included roads with a range of objective risk ratings (determined  
168 by the Road Protection Score from KiwiRAP, the New Zealand Road Assessment  
169 Programme: <http://www.kiwirap.co.nz/index.html>). Road Protection Scores (RPS) are  
170 calculated using a complex formula incorporating traffic volumes and ratings taken every  
171 100m for 13 road features (lane width, shoulder width, terrain (gradient), horizontal  
172 alignment, run-off road risk score, head-on risk score, intersection risk score, left roadside  
173 risk score, right roadside risk score, roadside hazard offset left, roadside hazard offset right,  
174 roadside hazard severity left, and roadside hazard severity right), which have been shown to

175 influence three crash types (run-off road, head-on and intersection) (Waibl, Tate, & Brodie,  
 176 2012). The RPS scores of the 13 locations and a brief description of each location are  
 177 presented in Table 2 (Note: Higher RPS scores indicate higher risk and are rated for every  
 178 100m of road). The trip took approximately 2- 2.5 hrs and drivers/passengers were given a  
 179 break at the mid-point.

180

181 Table 2. RPS scores and description of each of the sections of road where participants  
 182 provided risk ratings.

Location	RPS	Description
1	12.24	Narrow seal, narrow shoulder, ditch
2	9.07	Transition to road with standard seal and shoulder, wide verge
3	9.32	Narrow seal, standard shoulder, narrow verge, power poles
4	14.26	Standard seal, wide verge, gentle curves
5	11.27	Narrow seal, verge, curves
6	7.23	Standard width bridge
7	9.05	Narrow seal, ditch on left, gentle rise
8	5.74	Narrow seal, bank on left, gentle downhill
9	18.69	One lane bridge
10	16.42	Narrow seal, no shoulder, downhill curves, bank on right
11	12.02	Narrow seal, no shoulder, downhill curves, banks left and right
12	11	Narrow seal and shoulder, banks left and right
13	18.35	Seal narrowing, no shoulder

183

#### 184 2.5 Procedure

185 For the Driver and Passenger groups, the purpose of study was explained upon arrival at the  
 186 laboratory, the participant was shown a map of the route, any questions the participant had  
 187 were answered, and the participant was then asked to sign a consent form and complete a

188 demographic and driving history questionnaire. Participants in the Driver group were asked  
189 to comply with all normal road rules and regulations and drive as they would in their own car.  
190 Participants were then taken on a short test drive (15-20 mins) to familiarise them with the  
191 vehicle and the tone that prompted them to provide a verbal risk rating between 1 and 10. The  
192 instructions to the participants were to report how safe or unsafe they felt as the driver with  
193 the “Safe” end (1) of the scale referring to feeling completely at ease such as while being at  
194 rest or parked while “Unsafe” (10) referred to feeling extremely threatened or in immediate  
195 danger of being involved in a serious accident. The verbal ratings of risk were recorded  
196 (using pen and paper) by the research assistant in the vehicle in addition to being captured as  
197 part of the video recording of each drive.

198 The procedure for the Passenger group was similar. They accompanied the research assistant  
199 on a short drive to familiarise them with the tone that prompted them to provide a risk rating.  
200 This was followed by a drive of the full route. The research assistant drove in a safe manner,  
201 at or below the posted speed limit and complied with all road rules. For this group, the verbal  
202 risk ratings provided by the passenger following the tone were transcribed from the  
203 audio/video recording of the drive on completion of the drive (the research assistant was  
204 driving and could not make a note of the rating). Participants in the Driver and Passenger  
205 groups were asked to keep conversation with the research assistant to a minimum (unless they  
206 had questions about the route) and the radio was turned off throughout the drive. There were  
207 two scheduled rest stops on the route.

208 For the Observer group, the purpose of study was explained to the participant, any questions  
209 they had were answered, and the participant was then asked to sign a consent form and  
210 complete a demographic and driving history questionnaire. They were seated in a  
211 comfortable chair facing the display screen and the researcher explained that they would be

212 shown fifteen video clips (including 2 practice clips), and during each clip a tone would  
213 prompt them to provide a verbal rating of how risky they felt the road was using the same  
214 scale as the other conditions (i.e., 1 = safe to 10 = unsafe).

215 At the end of the risk rating sessions, arrangements were made for each participant to come  
216 back to the laboratory within 1 week to explore why participants gave the risk ratings they  
217 did. During the second session participants were told that they would be shown two practice  
218 video clips, followed by clips from their drive at the locations where they were prompted to  
219 provide risk ratings (the Observer group saw the same footage as in the first session), to help  
220 them recall their recent trip (or previous session in the case of the Observers). Each clip was  
221 preceded by a 5 second on-screen countdown and ended with a blank screen. At the end of  
222 each clip participants were given the opportunity to change their original risk ratings (or leave  
223 them unchanged) and comment on road features that contributed to their ratings. These  
224 interview sessions took approximately one hour and were recorded (audio and video) for  
225 subsequent analysis. The participants' comments were reviewed and scoring categories  
226 relating to road features (i.e., curves, visibility, terrain, narrow road, signs, straight road,  
227 bridge, road markings, junction, banks, weather, shoulders, road surface, poles and ditches)  
228 or aspects of driver behaviour (traffic, speed, lane position) were derived by two scorers. The  
229 number of comments in each category were counted, regardless of whether participants  
230 mentioned the particular feature as justifying a high or low risk rating (the focus was on  
231 identifying features that informed risk ratings generally, rather than focusing on high risk  
232 features only).

233

## 234 *2.6 Data analysis*

235 Prior to analyses, data were checked for outliers, accuracy and variability. First we carried

236 out a 2 (session) x 3 (group: (Driver; full control, Passenger; partial control, Observers; no  
237 control) x 13 (location) mixed MANOVA to determine if the different locations gave rise to  
238 different risk ratings across the three groups. Further analyses explored the pattern of risk  
239 ratings for the locations with and without between group differences in risk ratings. We then  
240 examined the types of road features that participants reported as contributing to their ratings  
241 of risk, with comparison being made between the Drivers, Passengers and Observers. The  
242 final analyses explored the comments from the three groups for locations with and without  
243 differences in risk ratings.

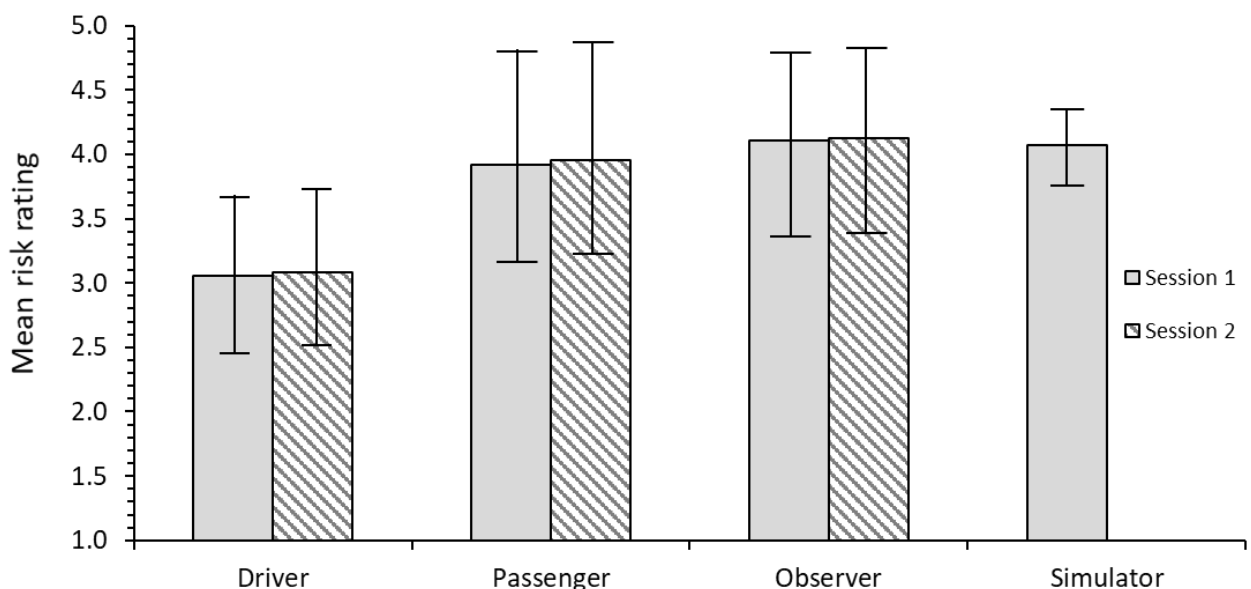
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### 245 **3. Results**

246 Figure 3 presents the average risk ratings for each group from sessions 1 and 2. The average  
247 risk ratings obtained from video (containing the same locations) presented in a driving  
248 simulator to a larger group of 69 participants (from Charlton et al., 2014) are also shown for  
249 comparison purposes. [The larger group of participants were seated in the driving simulator  
250 watching video footage of the roads, steering the car in the appropriate direction whilst  
251 providing continuous risk ratings using a thumbwheel mounted on the steering wheel.] As  
252 can be seen in Figure 1, the mean risk ratings from the session 1 and session 2 were similar  
253 within each group. Interestingly, the risk ratings from the Passenger and Observer groups  
254 were similar to those obtained from the Driving Simulator.

255 To explore differences in the risk ratings, a 2 (session) x 3 (group: Driver, Passenger,  
256 Observer) x 13 (location) mixed MANOVA was conducted. The analysis revealed a  
257 significant group x location interaction ( $F(24, 46)=2.91, p=.001, \eta_p^2=.60$ ), and significant  
258 main effects of location ( $F(12,23)=15.29, p<.001, \eta_p^2=.89$ ) and group ( $F(2,34)=3.25, p=.050,$   
259  $\eta_p^2=.16$ ). The main effect of session ( $F(1,34)=0.62, p=.44, \eta_p^2=.02$ ) was not statistically

260 significant (as shown in Figure 3), nor were the other interactions (session x group  
 261  $F(2,34)=0.11, p=.90, \eta_p^2=.01$ ; session x location  $F(12,23)=1.31, p=.28, \eta_p^2=.41$ ; session x  
 262 location x group  $F(24,46)=0.55, p=.94, \eta_p^2=.22$ ). Bonferroni adjusted post-hoc analyses  
 263 revealed that the Drivers' average risk rating was significantly lower than the ratings given by  
 264 the Observers ( $p=.021$ ) and marginally significantly lower than the risk ratings provided by  
 265 the Passengers ( $p=.075$ ). There were no statistically significant differences between the  
 266 Passengers and Observers ( $p=.698$ )  
 267

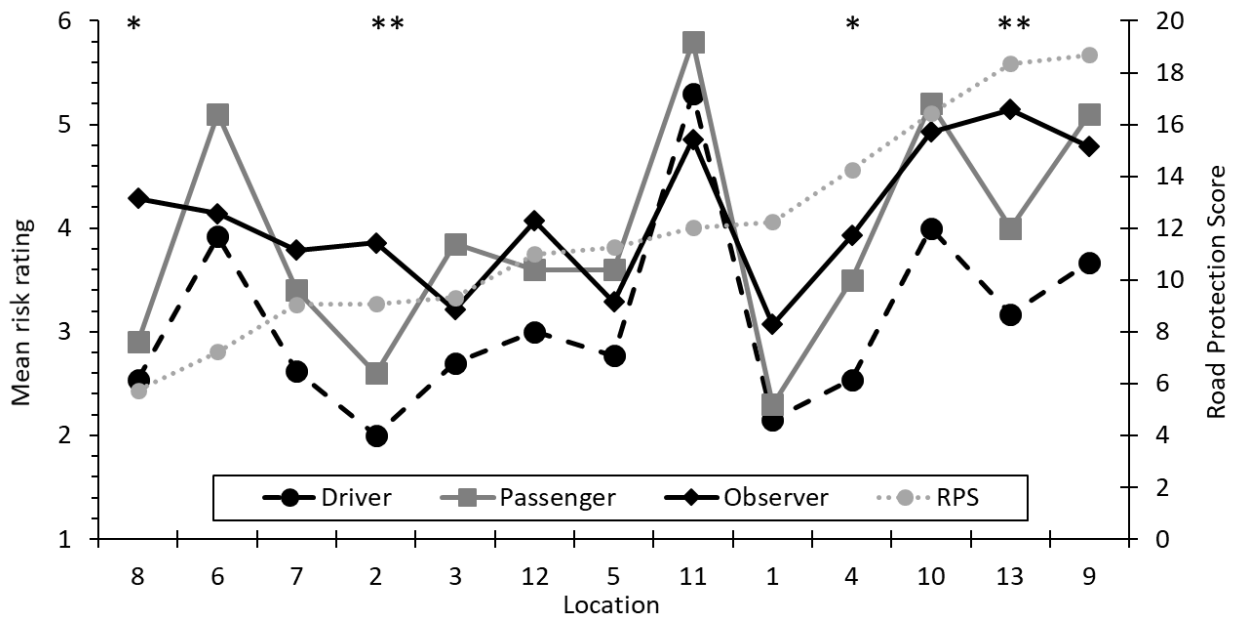


268  
 269 Figure 3. The mean risk ratings during session 1 and session 2 for Drivers, Passengers and  
 270 Observers. The average risk rating from the same locations presented in a driving simulator  
 271 video (Charlton et al., 2014) is shown for comparison purposes. Data are presented as mean  
 272 and 95% confidence intervals.

273  
 274 As there were no significant differences in risk ratings across session, subsequent analyses  
 275 used the ratings obtained during the first session for each location as these were collected  
 276 during the on-road test for the Driver and Passenger groups and had the greatest ecological  
 277 validity. Figure 4 shows the mean risk ratings by group for each location ordered by RPS  
 278 score. The figure shows that participants' risk ratings do not follow the same pattern as the

279 RPS scores. Pearson's correlations between the RPS at each location and mean risk ratings  
 280 for each group were not statistically significant (RPS v Observers  $r(13)=.53, p=.063$ ;  
 281 Passengers  $r(13)=.37, p=.209$ ; Drivers  $r(13)=.28, p=.352$ ).

282

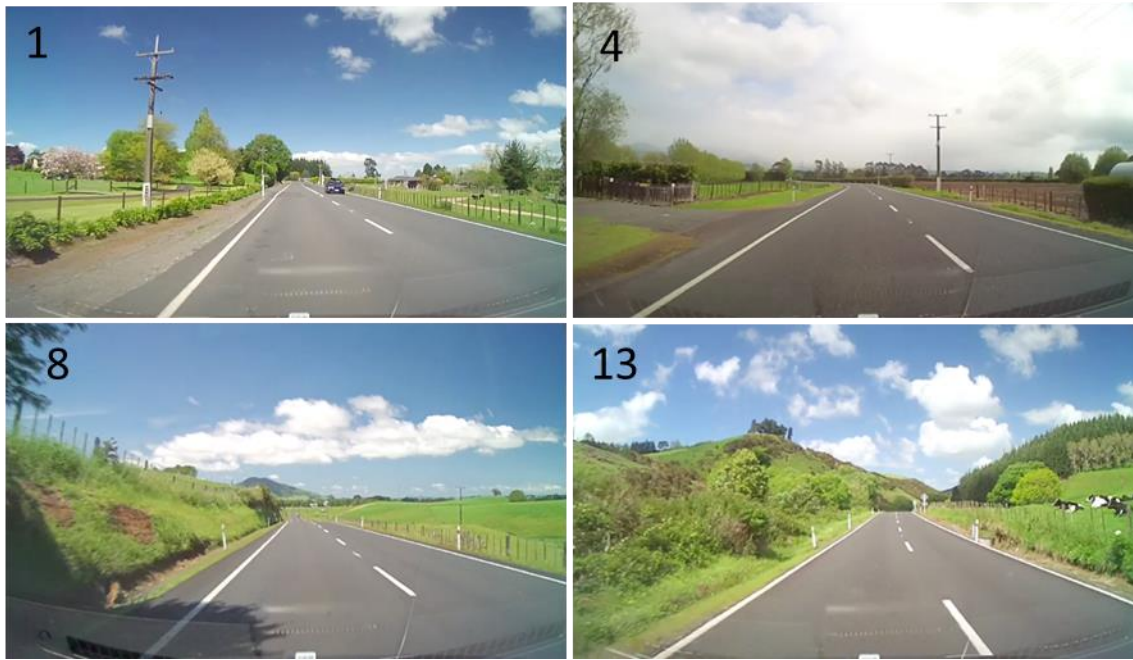


283

284 Figure 4. Mean risk rating in order of increasing RPS score for Drivers, Passengers and  
 285 Observers at each location. Significant between-group differences are indicated with \*  $p < .05$ ,  
 286 \*\*  $p < .01$ .

287 To further explore the significant group x location interaction identified from the MANOVA,  
 288 a series of univariate ANOVAs were conducted on the risk ratings for each location. The  
 289 univariate  $F$ 's indicated statistically significant between-group differences for four locations  
 290 (Location 2,  $F(2,34)=9.33, p = 001, \eta_p^2 = .354$ ; Location 4  $F(2,34)=4.90, p = 013, \eta_p^2 = .224$ ;  
 291 Location 8,  $F(2,34)=4.90, p = 014, \eta_p^2 = .224$  and Location 13,  $F(2,34)=6.51, p = 004, \eta_p^2$   
 292  $=.277$ . The Observers gave significantly higher risk ratings compared to the Drivers at each  
 293 of these locations (Bonferroni corrected  $ps < .017$ ), and significantly higher ratings than the

294 Passengers at Location 2 ( $p=.035$ ). There were no statistically significant differences between  
295 the Drivers and the Passengers at these locations (all  $ps >.05$ ). The locations showing  
296 significant differences between the groups were all straight roads with good forward visibility  
297 (Figure 5). By contrast the locations that included horizontal curves, hills, poor forward  
298 visibility and narrower lanes did not show any significant group differences.



299

300 Figure 5. Stills from the four locations showing significant differences in risk rating across  
301 the groups.

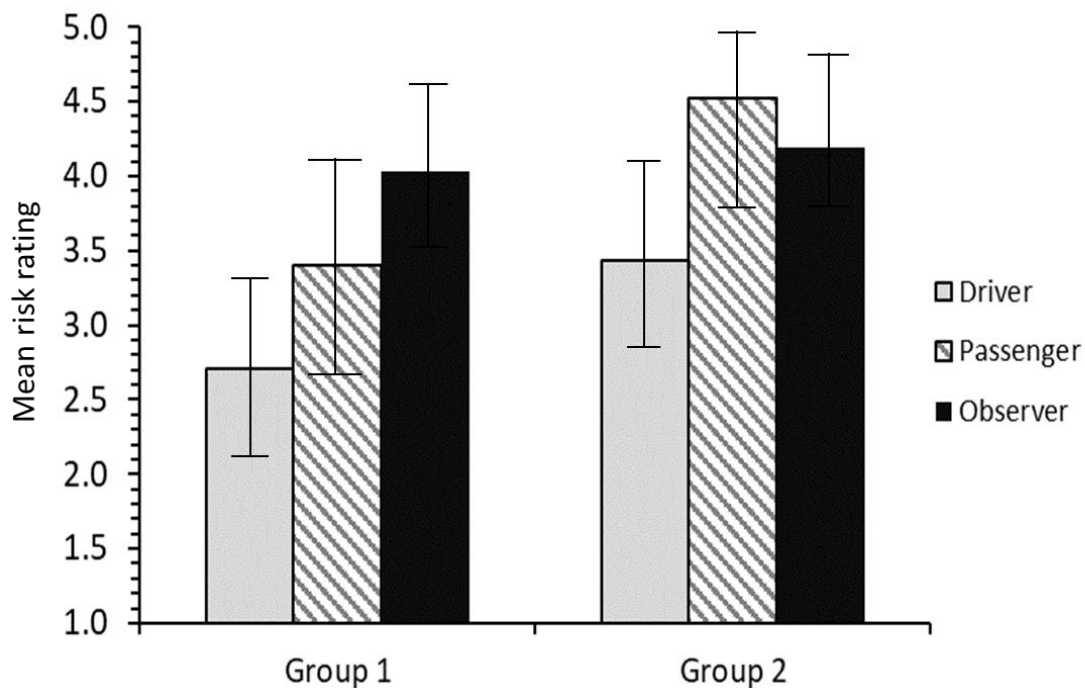
302

303 To further characterise these patterns in the data, two additional summary risk measures were  
304 calculated for each group, one for the four locations showing a significant difference between  
305 the three participant groups (Group 1), and the other for nine locations where there were no  
306 significant group differences (Group 2). As shown in Figure 6 the pattern of risk ratings  
307 across these two location types were somewhat different. A 2 (location type) x 3 (group)  
308 mixed ANOVA revealed a significant location type x group interaction ( $F(2,34)=8.75, p =$   
309  $001, \eta_p^2 =.340$ ), as well as significant main effects for location type ( $F(1,34)=50.37, p < 001,$



310  $\eta_p^2 = .597$ ) and group ( $F(2,34)=3.51, p = .041, \eta_p^2 = .171$ ). Post hoc tests (Bonferroni adjusted)  
 311 showed that overall the Drivers risk ratings were significantly lower than the Observers  
 312 ( $p=.017$ ) and marginally significantly lower than the Passengers ( $p=.058$ ). When looking at  
 313 the change in risk ratings, Drivers and Passengers gave significantly lower risk ratings for  
 314 locations with group differences (Group 1) compared to the more challenging locations  
 315 (Group 2) (Drivers  $p=.004$ ; Passengers  $p<.001$ ). Interestingly, the risk ratings for the  
 316 Observers did not differ significantly across the two location types ( $p=.145$ ).

317



318

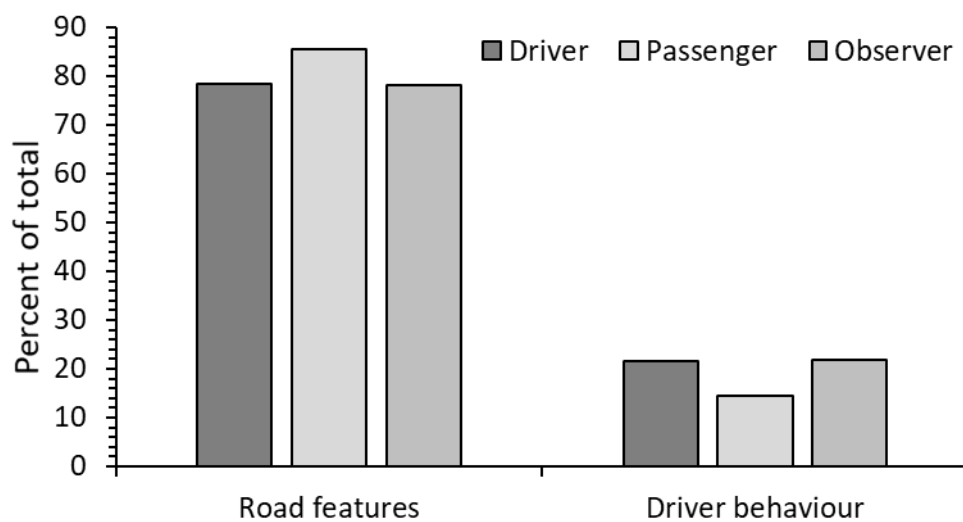
319 Figure 6. The mean risk ratings for Drivers, Passengers and Observers at locations with  
 320 (Group 1) and without (Group 2) significant group differences in risk ratings. Data are  
 321 presented as the mean and 95% confidence intervals

322

323 The next part of the analyses focused on the features that the participants reported as  
 324 contributing to their risk ratings. In terms of the total number of features reported, the

325 Observers described more than twice as many features (per participant) as compared to the  
 326 Driver or Passengers (Drivers=21.5, Passengers=22.9, Viewers=39.7). The most commonly  
 327 mentioned features were related to the road (visibility, curves, terrain, road width, terrain,  
 328 junctions, signs and roadside vegetation). Participants also mentioned factors relating to  
 329 driver behaviour, including other traffic, speed and the lane position of the vehicle. The  
 330 percentage of comments from the Driver, Passengers and Observers in each of these  
 331 categories is summarised in Figure 7. There was no significant difference between the groups  
 332 ( $F(2,36)=2.478, p=.098, \eta_p^2=.121$ ).

333



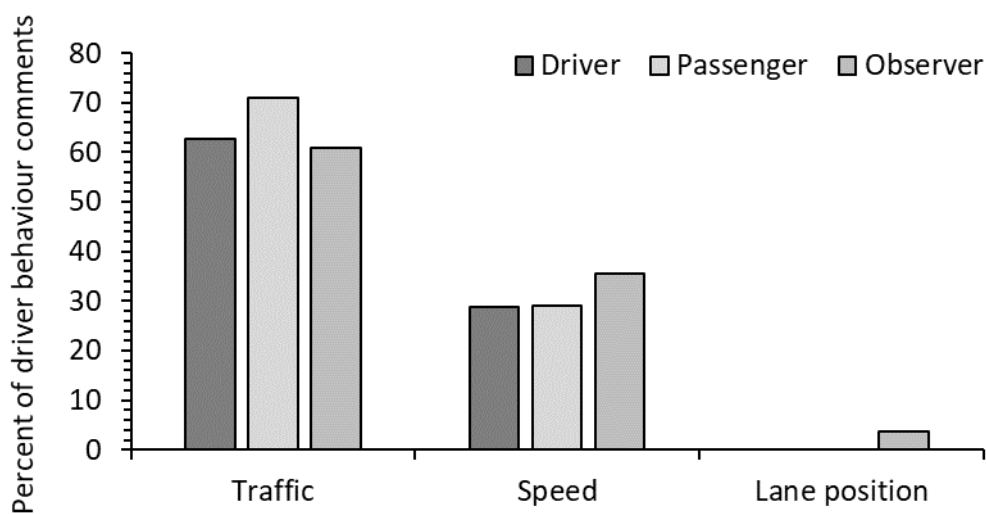
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335 Figure 7. The percentage of comments relating to road features and driver behaviour for the  
 336 Drivers, Passengers and Observers. Data are presented as a percentage of the total comments  
 337 for each group.

338

339 To further explore how driver behaviour related to perception of risk, these comments were  
 340 further divided into those relating to traffic (i.e., presence of other cars, and uncertainty about  
 341 the behaviour of the driver), speed (of the driver and other vehicles on the road) and the lane

342 position of the car (Figure 8). Passengers made the greatest percentage of comments about  
 343 other traffic, and Observers made the greatest percentage of comments about speed, and the  
 344 lane position of the vehicle. There was no significant difference in the percentage of  
 345 comments made by each group in relation to traffic ( $F(2,36)=0.727, p=.490, \eta^2=.039$ ) or  
 346 speed ( $F(2,36)=1.625, p=.211, \eta^2=.083$ ). Comments about lane position were only made by  
 347 those in the Observer group and this difference was statistically significant ( $F(2,36)=4.73,$   
 348  $p=.015, \eta^2=.208$ ).



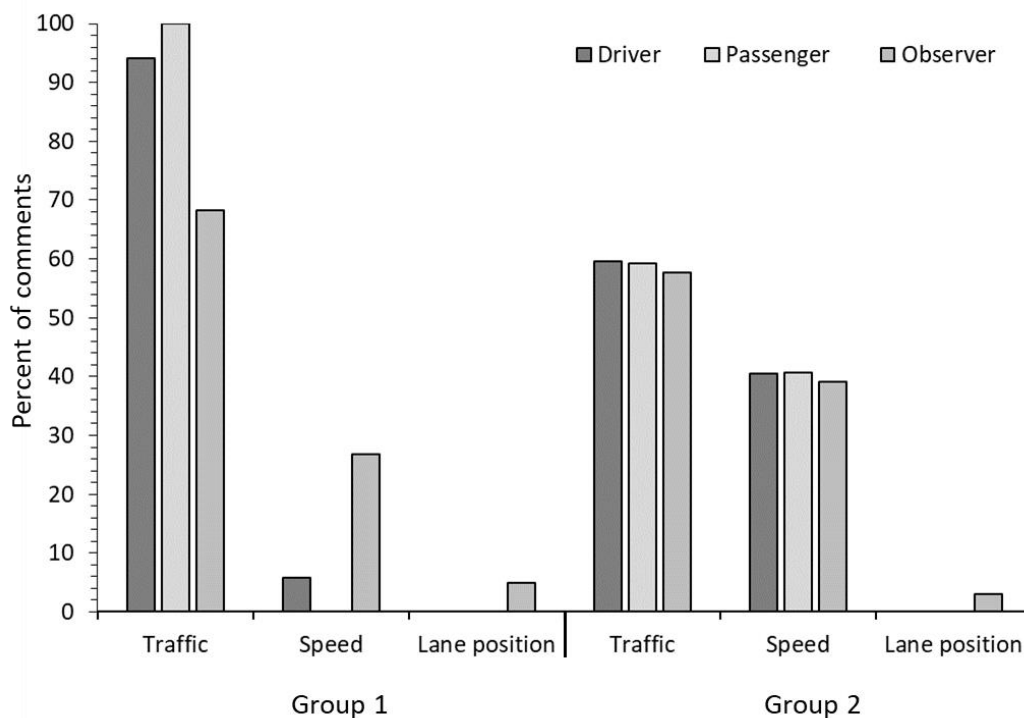
349

350 Figure 8. The percentage of comments about traffic, speed and lane position for the Drivers,  
 351 Passengers and Observers. Data are presented as a percentage of the total comments about  
 352 driver behaviour for each group.

353

354 Next we examined participants' comments about the four locations that showed significant  
 355 group differences in the risk ratings across the three groups (Group 1), and the locations that  
 356 did not show group differences (Group 2). The percentage of comments relating to road  
 357 features was similar for Group 1 (Driver, 78.9%; Passenger 86.4%, Observer 77.2%) and  
 358 Group 2 (Driver 77.3%, Passenger 83.3%. Observer 77.2%). Figure 9 shows the percentage  
 359 of comments about traffic, speed and lane position for the two types of locations. Group 2

360 locations generated a similar percentage of comments about traffic and speed from Drivers,  
 361 Passengers and Observers. In contrast, for the Group 1 locations (where there were  
 362 significant group differences in risk ratings), the percentage of comments relating to traffic  
 363 was higher than Group 2. In addition, the Observers made a greater percentage of comments  
 364 about speed compared to the Drivers and Passengers. It is interesting to note that the  
 365 Passengers made no comments about speed at the Group 2 locations.



366

367 Figure 9. The percentage of comments about traffic, speed and lane position for the Drivers,  
 368 Passengers and Observers for the two types of location. Data are presented as a percentage of  
 369 the total comments about driver behaviour for each group.

370

#### 371 **4. Discussion**

372 The current study was undertaken to investigate the role of control in drivers' subjective  
373 ratings of risk. Risk ratings were obtained from three groups of participants with different  
374 levels of control over the vehicle (Drivers with full control, Passengers with partial control  
375 and Observers with no control). Participants reviewed video footage of the drive a week later  
376 and provided verbal comments on the types of things that influenced their risk rating.

377 Analyses of the average ratings across the whole route revealed that Drivers' perceptions of  
378 risk were significantly lower than those of the Observers (and marginally lower than the  
379 ratings provided by the Passengers). Interestingly though, the risk ratings were not  
380 significantly different at every location; the significant differences between the Observers and  
381 Drivers were apparent on straight roads with good forward visibility, rather than the more  
382 challenging locations with hills and curves. Overall the findings support the suggestion that  
383 feelings of control (or in this case actual control) appear to be important in perceptions of risk  
384 on the road (Horswill & McKenna, 1999; Groeger & Chapman, 1996; Fuller, 2005). The  
385 lower risk ratings given by the Drivers may be a result of them being in control and altering  
386 their driving behaviour to maintain an acceptable level of risk (Wilde, 1998; Fuller et al.,  
387 2008), whereas the Passengers and Observers were unable to directly alter how the vehicle  
388 was being driven. Whilst we did not record driver behaviour at the risk rating locations, it is  
389 likely that one mechanism by which the Drivers maintained their preferred level of risk was  
390 by altering their speed. Previous research has shown that roads with higher risk ratings tend  
391 to be driven at lower speeds (Charlton & Starkey 2016), and drivers are more likely to  
392 comply with the posted speed limits (Yao et al, 2019).

393 Whilst the Drivers were able to modify their driving behaviour, and hence their subjective  
394 feelings of risk, there was still variability in the risk ratings across the 13 locations (Figure 4),

395 indicating that factors other than speed also contributed to the risk ratings. Wide straight  
396 roads with good visibility showed the greatest between group differences in ratings of  
397 perceived risk whereas narrow, winding roads failed to show this. Closer examination of the  
398 data (Figure 6) showed that Drivers and Passengers rated the narrow, winding roads as higher  
399 risk compared to the wider, straight roads, while the ratings of the Observers were similar  
400 across both road types. The reasons underlying the increased ratings of perceived risk for the  
401 Driver and Passenger groups may be somewhat different. A driver faced with narrow,  
402 winding road is placed in a situation where the task demands (of driving) are much higher  
403 than on a wide straight road, and this increase may translate into higher ratings of perceived  
404 risk. This interpretation is in agreement with the Risk Allostasis Theory which suggests that  
405 each individual has a preferred range of risk and their driving behaviour alters to maintain  
406 risk within this range (Fuller et al., 2008). The level of perceived risk at any given moment  
407 varies a result of factors including driving task demands, motivation and perceived capability.  
408 The situation for the passenger is somewhat different however as their increased ratings of  
409 perceived risk are likely to be due to lack of control. They are entirely reliant upon the driver  
410 to safely navigate the rather challenging road ahead with no direct control over the speed or  
411 position of the vehicle. In some situations this may even lead the front seat passenger in a  
412 vehicle to use their foot as though they had access to a brake pedal. The fact that the ratings  
413 from participants viewing the videos did not differ by location type is also interesting and  
414 may be due to the mode of presentation (which failed to give an accurate 'feel' for the road),  
415 or the fact that their risk ratings were consistently higher.

416 The fact that the narrow, winding roads were rated as higher risk is not unexpected and  
417 supports earlier findings indicating that the presence of curves (Kanellaidis & Dimitropoulos,  
418 1994), narrow roads and bridges (Watts & Quimby, 1980) resulted in higher risk ratings. The  
419 current findings are also in in keeping with those of Charlton et al. (2014) who reported that

420 curves, hills and road width explained a significant proportion of the variance in participants'  
421 ratings of perceived risk. Interestingly, the majority (over 80%) of the participants'  
422 explanation for their risk ratings focused on road-related features, rather than factors that  
423 could be modified by the driver. Closer examination of the comments about driver behaviour  
424 revealed no significant differences between the Drivers, Passengers and Observers in relation  
425 to traffic or speed. This is somewhat surprising given that altering speed is one of the key  
426 ways to modify feelings of risk. This may, in part, be because the risk ratings were all fairly  
427 low (below the mid-point on the scale) and the speeds were not excessive; in all cases the  
428 roads were driven at or below the posted speed limit. Whilst some studies (Fuller et al., 2008)  
429 have found a linear relationship between risk and speed, others have reported that this  
430 relationship is only evident at higher speeds, once a particular threshold is exceeded (Lewis-  
431 Evans & Rothengatter, 2009; Lewis-Evans, de Waard & Brookhuis, 2010; Lewis-Evans, de  
432 Waard & Brookhuis, 2011). In the context of the present study, it is possible that participants'  
433 perception of risk were at an acceptable level, and below the threshold needed for participants  
434 to report that inappropriate speed contributed to their risk ratings. Overall it seems that when  
435 roads are driven at speeds at or below the posted speed limit, road-related factors are the key  
436 thing identified by drivers as contributing to their ratings of risk.

437 The findings from this study support the suggestion that control plays a role in drivers'  
438 perception of risk on the road. Interestingly though, participants did not identify speed as a  
439 key contributor to their risk ratings, suggesting other factors also play a role. The study has a  
440 number of limitations that could be addressed in future research. The first session was much  
441 longer for the Drivers and the Passengers as compared to the Observers. Future work using a  
442 shorter route would mean that lab-based observation sessions could use the whole route  
443 rather than a series of short excerpts. Further research could incorporate ratings confidence or

444 capability of oneself (as the driver) or of the driver (from the passenger), collection of driver  
445 performance data, and on a wider range of roads (including urban locations).

446 Finally the current research highlights the importance of taking into account the ‘role’ of the  
447 participant (as a driver, a passenger or watching video footage) in driving studies. The  
448 greatest differences in the current study were between the Drivers and the Observers; with the  
449 Passenger risk ratings falling between the two. In addition, the Observers identified twice as  
450 many factors as contributing to their risk ratings compared with those in the on-road  
451 conditions. This suggests that the experience of driving or being driven on the roads of  
452 interest made a material difference to participants’ risk ratings as well as their explanations  
453 for those ratings. This highlights the need for caution when generalising findings from video-  
454 based rating tasks, particularly when they are used to inform road safety interventions.

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459



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