

Optimization of Thermo-mechanical Densification of Bamboo

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29 **Abstract**

30 Due to its reliability, strength, and ease of access, bamboo has become an attractive material
31 for engineering applications. However, heterogeneous properties and durability issues still
32 hinder the widespread use of bamboo as a building material. Thermo-mechanical treatment
33 is a method to decrease the heterogeneity of bamboo culms and enhance mechanical
34 properties and durability, but it may negatively impact dimensional stability. The objective
35 of this study was to achieve the minimum spring back, water absorption, and thickness
36 swelling for densified bamboo. Accordingly, the behavior of bamboo samples subjected to
37 different thermo-mechanical (TM) treatments using a two-step analysis was investigated. In
38 the first step, the optimum TM treatment for achieving the highest critical densification
39 degree (DD) without shear failure was determined. In the second step, the three key elements
40 of dimensional stability were studied for this optimum case. According to the first step
41 results, the maximum achievable DD in which no shear failure happens and the texture is not
42 disturbed is about 43.6%, and it can be obtained at 200°C with a compression rate of 2
43 mm/min. X-ray densitometry analysis confirmed that DD of around 50% achieved the highest
44 value of density, 1.30 g.cm⁻³. The results of step 2 revealed that the lowest values of spring
45 back, water absorption, and thickness swelling, 4.72%, 23.80%, and 17.70% respectively, for
46 densified bamboo occur when the densification process is conducted at 200°C and adopting
47 a compression rate of 6.7 mm/min. In conclusion, by manipulating and optimizing process
48 parameters, the dimensional stability and final quality of densified bamboo can be improved,
49 opening new opportunities for this class of material.

50 **Keywords:** Bamboo Densification; Thermo-Mechanical treatment; Dimensional Stability

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4 **62 1. Introduction**

5 **63** Bamboo, as a renewable and environmentally friendly resource with a history of
6 **64** thousands of years, has been considered very popular around the world for its nutritious,
7 **65** pharmaceutical, textile, and construction applications [1–5]. In the construction business,
8 **66** especially in tropical and sub-tropical regions, bamboo culms play an important role in the
9 **67** industry. From scaffolding and water piping to shuttering and reinforcements for concrete,
10 **68** bamboo as a strong, light, and versatile material is always available [6–8]. However, there
11 **69** are certain difficulties and drawbacks for using bamboos that have concerned researchers and
12 **70** engineers, such as lack of standardization, flammability, jointing, and durability [9–14]. To
13 **71** solve these difficulties, bamboo needs to undergo special treatments and processes.

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17 **72** Densification processes can be applied to use bamboo more efficiently as an industrial
18 **73** material in modern constructions. By densifying a material and hence increasing its density,
19 **74** mechanical strength can be considerably improved. For instance, by 20-100 % increment in
20 **75** density, the mechanical strength in bending increased by 15-100% for the bamboo species
21 **76** *Phyllostachys edulis* and *Dendrocalamus asper* [15–19]. The applied process and utilized
22 **77** parameters such as temperature, pressure, densification degree, and relaxation time
23 **78** determine the results obtained and the quality of the final product.

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27 **79** Thermo-Mechanical densification technique (TM) is one of the accepted
28 **80** environmentally friendly methods [18,20,21], in which bamboo is mechanically compressed
29 **81** in the radial direction at an elevated temperature with the aid of an initial moisture content
30 **82** (MC). Placing bamboo in such an environment makes it a viscoelastic material. At low
31 **83** temperatures, bamboo presents a high strength and modulus, which are gradually reduced by
32 **84** increasing the temperature. At temperatures above the glass transition temperature (T_g) of
33 **85** lignin, the mechanical behavior of bamboo changes to a rubbery state [22]. T_g is reversibly
34 **86** affected by the moisture content, in which higher moisture leads to lower T_g. Therefore,
35 **87** temperature and moisture content are the main parameters for the plasticization of bamboo
36 **88** [22,23].

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40 **89** Takagi et al. (2008) thermo-mechanically densified bamboo *Phyllostachys*
41 **90** *bambusoides* at different temperatures ranging from room temperature to 220 °C [16]. Their
42 **91** results showed that the highest temperature results in the highest density and flexural
43 **92** modulus. However, the highest flexural strength was achieved at 160°C. Although it is called
44 **93** thermo-mechanical process, chemical mechanisms will also be involved at temperatures
45 **94** above 160 °C. According to Matan 2007, due to the T_g dependency on the MC, applying a
46 **95** temperature in the range of 100-170 °C requires an approximate MC between 2-8 % to
47 **96** plasticize *D. asper* bamboo [22]. Kadivar et al. in 2019 stated that a low moisture content
48 **97** may cause cracking, while MCs above 15%, trap the water inside the bamboo cells and result
49 **98** in heterogeneous densification [18].

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53 **99** The mentioned parameters and several more factors, such as the compression rate,
54 **100** which is rarely mentioned in the literature, must be controlled during the process to achieve
55 **101** the desired quality. It is suggested that densification degree (DD) is one of the most important
56 **102** parameters of the process [15,23] since it is directly correlated to the mechanical properties.
57 **103** However, as expected, there is a limitation to this enhancement, which in this paper is called
58 **104** the critical densification degree (DD_{cr}). It is defined as the maximum DD of the material
59 **105** without shear failure. In a previous study, Semple et al. (2013) [15] found that the
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4 106 compression of 50% was optimal for *P. edulis* bamboo, whereas compression up to 33% of
5 107 the thickness caused excessive lateral displacement and shear damages to the tissue. Their
6 108 results reflected the effect of fixed parameters, i.e., processing at 170 °C, and applied steam
7 109 pressure of 775 kPa for 13.3 min. By changing each of these parameters, the optimum DD
8 110 might change. Theoretically, all of these effective parameters of the densification process are
9 111 involved and there is a knowledge gap about the correlation of DDcr and other parameters
10 112 such as temperature and rate of pressure.

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13 113 Relaxation time is another important parameter, which depends on DD, temperature,
14 114 and compression rate. In the case of wood, the stress relaxation curves above 100 °C are quite
15 115 different in shape from those below 100 °C, showing a rapid decrease in stress with
16 116 increasing temperature [20]. The incomplete relaxation time for the permanent fixation of
17 117 bamboo shape after densification causes strain recovery, called spring-back.

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20 118 Although there are several studies to optimize some of these parameters, there is no
21 119 consolidated information that considers all of the effective parameters and investigates their
22 120 correlation during processing. It should be noticed that optimized parameters vary with
23 121 species. Tanaka et al. (2006) [24] applied the same TM densification method on two different
24 122 bamboo species, Moso (*P. edulis*) and Madake (*P. bambusoides*) and achieved different
25 123 results.

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28 124 Almost all publications related to densified bamboo demonstrate that TM process
29 125 increases mechanical performance. However, the biggest challenge of densified bamboo is
30 126 about its dimensional stability, which is compromised by densification [18]. This study aimed
31 127 to obtain the optimum densification degree of bamboo that can be achieved without shear
32 128 failure under a specified temperature and compression rate. Moreover, an optimization
33 129 approach was used to identify the most appropriate processing parameters to achieve the best
34 130 dimensional stability (lowest spring back, water absorption, and swelling). Clarifying these
35 131 matters enriches the knowledge of bamboo densification by TM processes and opens new
36 132 opportunities to encourage the widespread adoption of structural densified bamboo products.

37 133 **2. Materials and Methods**

38 134 **2.1.Samples**

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41 135 The tests were carried out on samples of bamboo *Dendrocalamus asper* species (3-5
42 136 years old) harvested from an experimental field at the University of São Paulo Campus
43 137 (21°58'53.5"S 47°26'03.3"W). The samples have square dimensions of 30 mm in length and
44 138 width, and thickness between 10-13 mm (Figure 1). For all the specimens, 100% of their
45 139 wall thickness was used for the test. The whole thickness was used because this process is
46 140 thought to be applied on an industrial or pilot scale, so it is crucial to reduce the time and
47 141 steps of the process. If the samples pass through the thickneser before densification, some
48 142 parts of the material will be lost, reducing the material usage efficiency, and there will be two
49 143 processes to adjust the dimensions.

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52 144 Before the extraction of the samples, the bamboo poles were treated using a disodium
53 145 octaborate tetrahydrate (DOT) aqueous solution of 8% in a pilot-scale immersion tank, as
54 146 described in Gauss et al. 2020 [25]. According to Gauss et al. 2019, this chemical treatment
55 147 does not have a significant influence on the mechanical performance of bamboo [14]. The
56 148 reason for the treatment is to replicate the normal practice to guarantee the durability of

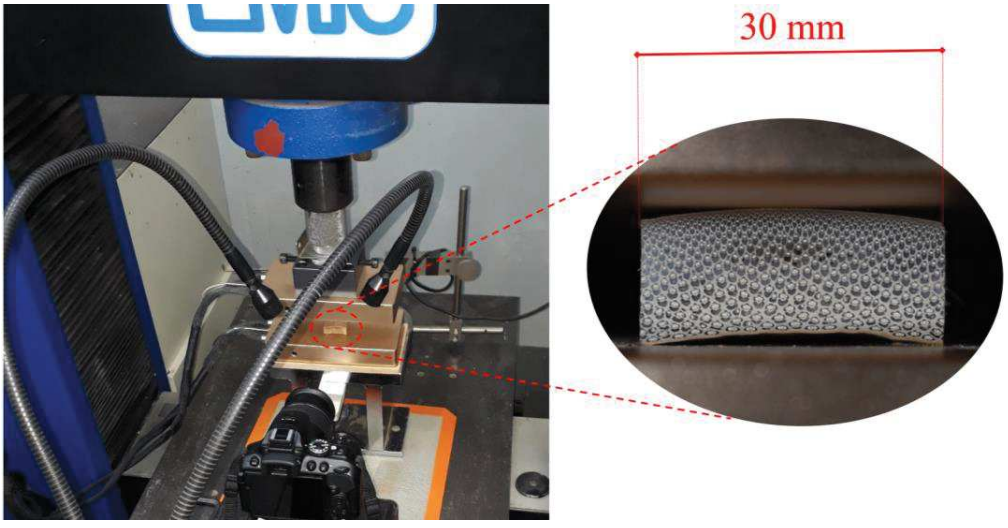
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149 bamboo. The bamboo samples had to be stored before the samples preparation for the
150 densification process and tests. Without any prophylactic treatment, bamboo is prone to
151 insect attack and degradation in a few weeks in tropical countries like Brazil.

152 2.2. Design of experiments

153 A new test apparatus (Figure.1) was adapted using the combination of a small press
154 that can be heated up to 200 °C, an EMIC universal testing machine to apply the load and
155 control the compression rate, and a camera for observing vertical and lateral deformation
156 during the densification process. This set-up simulates an open thermo-mechanical system
157 and enabled the collection of load-deformation data during hot pressing. Therefore, it is
158 possible to understand the mechanical behavior of bamboo while increasing pressure at
159 elevated temperatures.

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162 Figure 1- Experimental set-up for the densification process.

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164 The experiments have been performed in two steps to deal with the problems mentioned in
165 the introduction section; i.e. to specify the optimum densification degree of bamboo that can
166 be achieved without shear failure, and the most appropriate process conditions to achieve the
167 best dimensional stability of the samples.

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169 2.2.1. Experimental design for step 1

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171 In this step, the bamboo specimens were subjected to radial compression at different
172 temperatures and compression rates until the samples collapsed (Table 1). There were 9
173 experimental steps with 5 replicates, requiring a total of 45 densified bamboo samples, under
174 temperature variations of 30, 160, and 200°C, and compression rates of 2, 4, and 8 mm/min.
175 The force-deformation graphs were obtained for all cases. In addition, for each running test,

the camera recorded deformation in the radial and lateral directions versus the time. The active parameters in this step are temperature and compression rate with DDcr as the response. DDcr is defined as the DD in which lateral deformation and shear failure start to occur. The temperature and pressure rate are the parameters that are deemed to be optimized for achieving the highest DDcr. The densification degree (DD) was calculated according to Equation 1, where t_0 and t_1 are the thicknesses before and after densification, respectively.

$$DD = (t_0 - t_1) / t_0 \times 100\% \quad \text{Eq. 1}$$

2.2.2. Experimental design for step 2

In step 2, DD has been fixed according to the obtained results of step 1. After achieving the desired DD (at a specific displacement), this position was maintained for 1 hour to analyze the bamboo relaxation, which is related to the decrease of load with time. In this step, 9 experiments with 5 replicates were performed under temperature variations of 30, 160, and 200°C, and compression rates of 2, 4, and 8 mm/min. In addition to these samples, 16 random temperature treatments (100 and 140°C) and pressure rates (2 and 4 mm/min) were included in the model for verification purposes. Therefore, a total number of 61 bamboo specimens were tested and densified, and the responses are spring back (SB), water absorption (WA), and thickness swelling (TS), which need to be minimized. The variables' ranges and responses for each step are shown in Table 1.

Table 1 - TM parameter levels and responses for each step

Step	Variable						response		
	Temperature (°C)			Compression rate (mm/min)			DD (mm/mm %)		
Step 1							DD (mm/mm)		
Step 2	30	160	200	2	4	8	According to the results of step 1		
							WA	TS	SB

DD: Densification Degree, WA: Water absorption, TS: Thickness Swelling, SB: Spring Back

2.3. The density analysis by X-ray densitometry

To verify the step 1, and to see the influence of densification degree, bamboo samples densified to different densification degree and analysed by X-ray densitometry test. The control sample or un-densified (T1), densified to 30% (T2), densified to 50% (T3), and densified to 70% of DD (T4). A middle level of temperature, 160 °C, and a middle level of compression rate, 4 mm/min was chosen to densify the samples. The samples for densitometry analyses were prepared by gluing bamboo culm samples onto wooden supports and cutting them transversely (1.5 mm thickness) with a parallel double circular saw. The samples were then conditioned in a climatic chamber at $(20 \pm 5) ^\circ\text{C}$ and $(60 \pm 3) \%$ relative humidity until reaching a stable moisture content of 12%. The thin bamboo culm samples

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210 were then scanned with a calibration scale of cellulose acetate using X-ray densitometry
211 equipment (Faxitron MX20-DC12, Faxitron X-Ray, Illinois, USA). The bamboo culms
212 digital X-ray images were analyzed in 3 different positions by WinDendro® software
213 (Regent Instruments Inc.), producing micro density profiles and the mean, maximum, and
214 minimum density values of each treatment. Additionally, the bamboo digital X-ray
215 radiography was used to obtain the bamboo anatomical microstructure image. The software
216 MultiSpec allowed an accurate quantitative determination of the bamboo culms tissues, as
217 well as the anatomical modifications induced by the treatments. The analyzes were performed
218 according to the procedures at the Laboratory of Wood Anatomy, Identification and X-Ray
219 Densitometry, Department of Forest Sciences at the ESALQ/University of São Paulo, Brazil.
220 [26].

221 **2.4. Dimensional Stability**

222 For the spring-back test, the densified samples were placed in an environmental
223 chamber at $(25 \pm 5) ^\circ\text{C}$ and $(60 \pm 3) \% \text{RH}$ for four weeks. Then the spring-back factor was
224 calculated from:

$$SB = (t_2 - t_1) / t_1 \times 100\% \quad \text{Eq.2}$$

225 Where t_1 is the thickness immediately after densification and t_2 is the thickness after
226 conditioning the samples at the chamber.

227 The water absorption (WA) and thickness swelling (TS) tests were carried out based
228 on ASTM D1037-12 for the bamboo specimens before and after densification [25]. First, the
229 specimens were dried at $(105 \pm 2) ^\circ\text{C}$ before measuring the weight and volume. Afterwards,
230 they were fully immersed in water at $(22 \pm 1) ^\circ\text{C}$, and the weight and thickness changes were
231 measured after 1 h and 24 h. Subsequently, TS and WA were calculated from Equations (3)
232 and (4), respectively. Where m_0 and m_2 are the masses before and after the immersion of the
233 samples in water after 1 h and 24 h, respectively, and t_2 and t_3 are the thicknesses before and
234 after the test, respectively.

$$WA = (m_2 - m_0) / m_0 \times 100\% \quad \text{Eq.3}$$

$$TS = (t_3 - t_2) / t_2 \times 100\% \quad \text{Eq.4}$$

235 **2.5. Statistical analysis and optimization process**

236 MINITAB® Release 14 Statistical Software was used to perform the statistical
237 analysis of the results. Moreover, Analysis of variance (ANOVA) ($p < 0.05$) and Tukey's test
238 were applied to verify the effect of the treatment conditions.

239 Response Surface Methodology (RSM), which is a collection of mathematical and
240 statistical techniques, was used in the two steps to explore the interactions of significant
241 conditions and optimize the bamboo densification parameters. This technique is one of the
242 main functions for the design of experiments in Minitab software to analyze problems in
243 which a response of interest is influenced by several variables [27]. The relationship among
244 the responses and variables and the optimum range for each variable were demonstrated by

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245 the response surface and contour plots. In addition, a regression model has been used to
246 calculate the results. For step 2, since there is more than one response involved, the Multi
247 Response Optimization Method (MROM) was applied.

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249 3. Results and discussion

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251 3.1. The behavior of bamboo under hot compression

252 A camera recorded the 2D geometrical change of the samples during the densification
253 process. Since the length did not change during compression, only the width and thickness
254 of the samples were extracted from the videos. Two videos are presented as supplementary
255 material, one from the sample compressed at 30°C (Video 1), and the other from the sample
256 compressed at 200°C with a compression rate of 8 mm/min (Video 2). Figure 2 also presents
257 the step by step changes of the sample during the densification process at 160°C with a rate
258 of 8 mm/min.

259 The observation of the videos indicated that: the behavior of bamboo under transverse
260 compression at different temperatures was completely different. However, by changing the
261 compression rate, no significant difference can be seen in the videos. Also because of an
262 existing small curvature in the samples, at the beginning of the loading process, the bottom
263 part is under tension while the upper part is under compression. Therefore small flattening
264 occurs. In addition, it was seen that at room temperature, compaction at any compression rate
265 leads to a deep crack in the middle of the sample. It is possible to see this phenomenon in
266 video 1. However, increasing the compression temperature leads to a softer and smoother
267 material flattening, which prevents the cracks, as shown in video 2.

268 Figure 2b shows a sample densified at an elevated temperature; after pure flattening,
269 the bottom part of the sample completely touches the press plate. However, the top of the
270 specimen is still slightly curved and requires more pressure to fully contact the top press
271 plate. Although, increasing the load after pure flattening decreases the thickness, yet the
272 sample is not completely flat (Figure 2c). In this step, flattening combines with densification.
273 When the two surfaces are completely in contact with the press plates, the applied load causes
274 pure densification and thickness decrease until achieving the critical densification degree,
275 DD_{cr} (Figure 2d). After the DD_{cr} , increasing load induces lateral deformation, which is the
276 result of fiber detachment and eventually, the collapse of the bamboo structure. Moreover, it
277 is observed that the beginning of lateral deformation in the case of samples densified at room
278 temperature occurs in the inner layer (bottom of the samples), which is in tension. While at
279 elevated temperatures, samples start to expand in the middle section (see Figure 2e).

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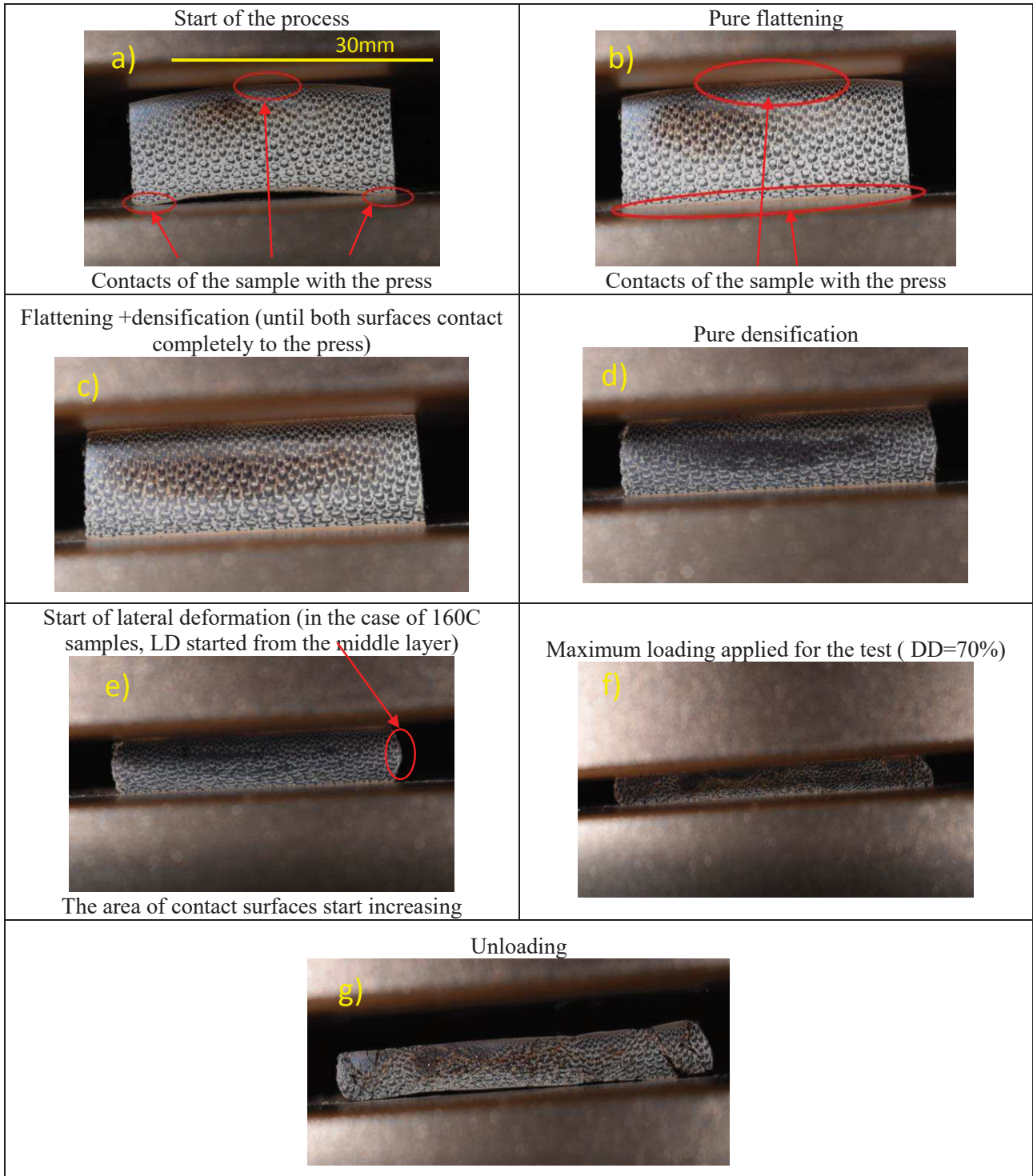


Figure 2 - Step by step changes of the sample during the densification process at 160°C

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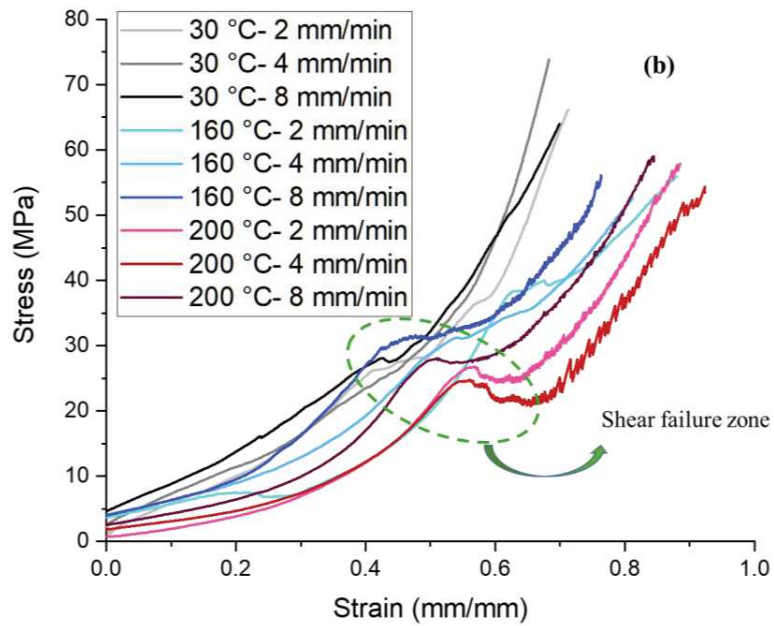
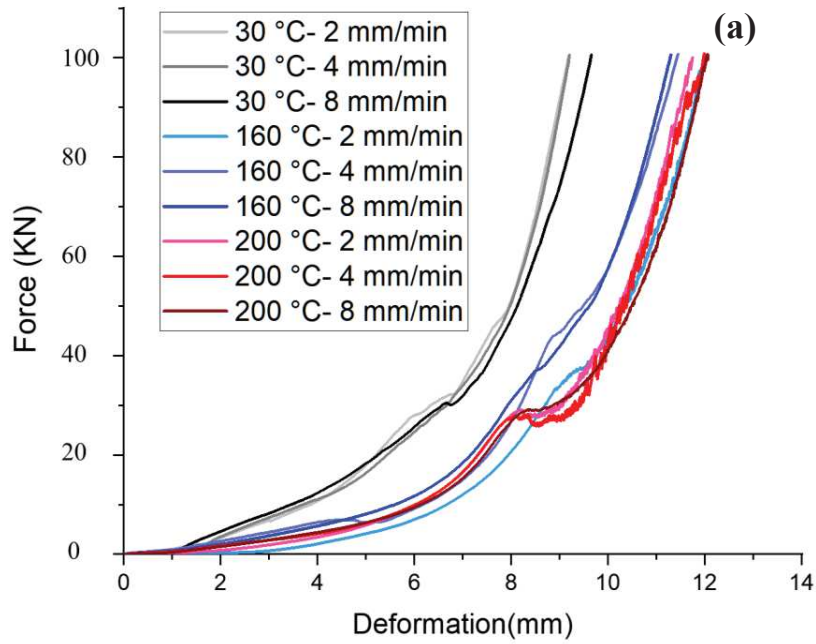
288 For each test of the first step, the applied force was plotted against the deformation
289 of the sample. Figure 3a demonstrates the load-displacement graph for 3 compression rates
290 (2, 4, and 8 mm/min), and 3 different temperatures (30, 160, and 200°C). According to the
291 obtained results, compression at room temperature requires higher loads compared to the
292 other two temperatures. This phenomenon is distinguishable in the graphs. However, the
293 compression rate has little effect on the load-deformation behavior, and it is almost negligible
294 in all cases. The initial sample curvatures have little influence on the curves since the
295 maximum curvature of the middle of the samples does not exceed 2 mm.

296 The effective area during the compression was calculated using a video tracker
297 software to obtain the stress-strain graphs. By using the point mass tracking technique, the
298 expansion width was correlated with time. Since the length difference was insignificant
299 before and after the process, the length variations were neglected to calculate the area changes
300 during compression. Dividing the force by area, stress/strain graphs were obtained, which
301 are presented in Figure 3b. In these graphs, the flattening part, which happens at the
302 beginning of loading, was excluded because it presents relatively high stress in the results
303 due to the small contact face.

304 As can be seen in the stress/strain graphs, the slope of the graphs, which represents
305 the modulus of elasticity, decreases with increasing the temperature. On the other hand, the
306 compression rate brings about two different and opposing phenomena regarding the modulus
307 of elasticity of the bamboo samples. First, when the compression rate is higher, the samples
308 do not have enough time to warm up, and the initial temperature profile is valid throughout
309 the samples' thicknesses, which would cause a higher rate of stress to strain ratio. However,
310 as the compression rate reduces, the samples can have more time to warm up and show more
311 elastic behavior, but it may also continuously dry the sample, which is considered a rising
312 factor for the elasticity modulus.

313 It can also be observed that shear failure starts in the strain range of 0.4-0.5, which is
314 equivalent to a densification degree of 40% to 50%. The higher the temperature and the lower
315 the compression rate, the higher the shear failure starting point.

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319 Figure 3 - Load-deformation (a) and stress-strain (b) graphs of the bamboo samples densified
 320 at different temperatures and compression rates.

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322 3.2.Optimization results of step 1

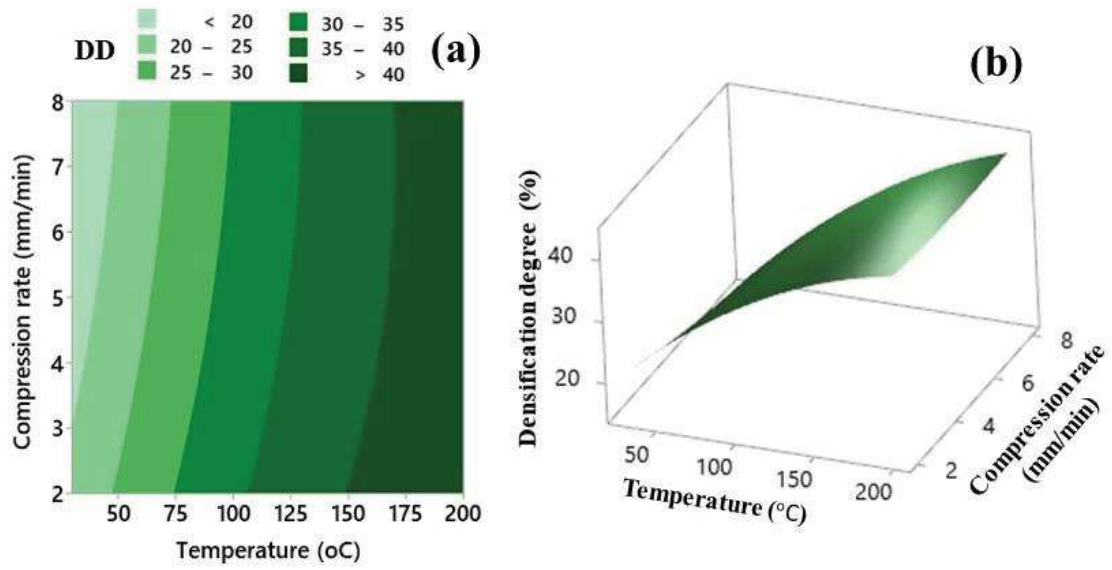
323 Figure 4 demonstrates the results of DDcr versus temperature and pressure rate. The
 324 contour plot (Figure 4a) shows the region with the highest DD in a darker color. This graph
 325 shows the best range of temperature and compression rate where the material can reach the

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326 highest DD without shear failure. It can be seen that with an increment of temperature,
327 densification degree increases remarkably. On the other hand, by increasing the compression
328 rate, a mild reduction in DD can be observed. The former phenomenon is related to the
329 increase of bamboo plasticity with temperature, while the latter is assumed to occur because
330 of lack of time for relaxing the material during compression.

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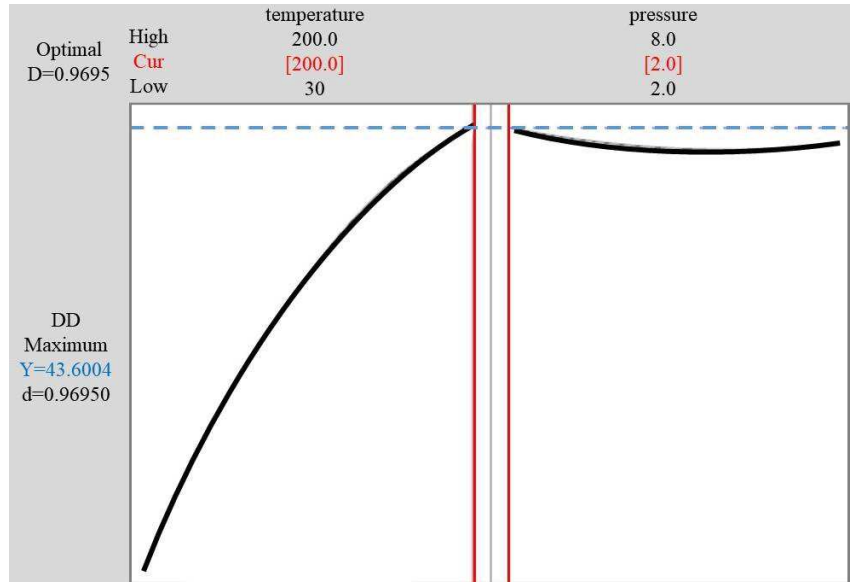
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334 Figure 4 - a) Contour plot and b) Surface plot of DD versus pressure rate and temperature,
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336 Variance analysis indicates that according to F-value, the temperature is more
337 significant than the compression rate. The mutual interaction of the temperature and
338 compression rate is not also comparable to the temperature. The R-sq value of this analysis
339 was 96.65, which shows good compliance with the model.

340 Figure 5 presents the optimization plot, stating that the maximum DD can be achieved
341 at 200°C with a compression rate of 2 mm/min. The relationship between these two variables
342 is presented in Figure 5, where the red lines indicate the optimum condition. According to
343 this statistical analysis, the maximum achievable DD is about 43.6 using the investigated
344 conditions.

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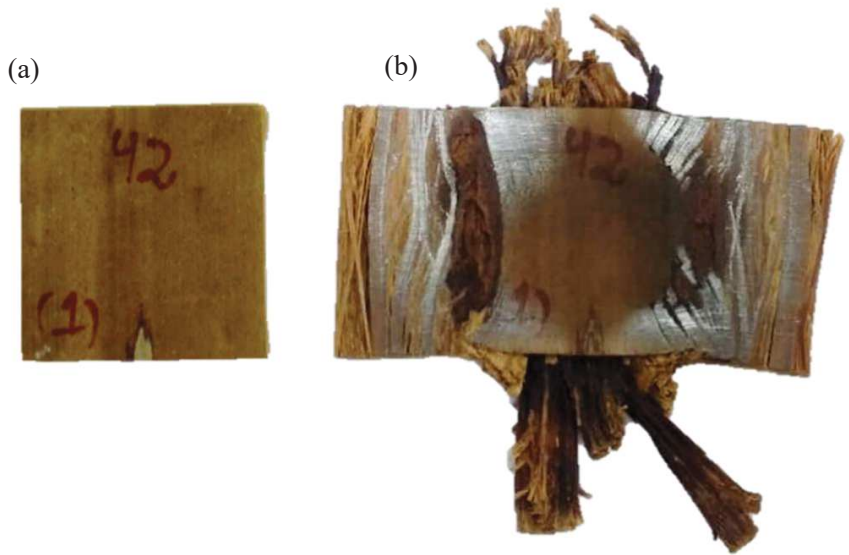
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Figure 5 - The optimization plot obtained in step 1.

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Although the DDcr increases with temperature, at a high compression rate, some of the samples exploded. Figure 6 shows the detachment of fibers out of the matrix at 200°C and a compression rate of 8 mm/min. When high compression rates are used, the water inside the material does not have enough time to exit the pores. With water trapped inside the vessels, high steam pressure is generated, causing an explosion.

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Figure 6 - Bamboo defibrillation caused by steam pressure, a) before densification, b) After densification

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6 362 **3.3. Density profile and microstructure by X-ray densitometry**

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8 363 Figure 7 demonstrates the values of average, maximum and minimum densities of
9 364 bamboo samples; T1 is the control sample, and T2, T3, and T4 are the samples densified to
10 365 nominal densification degrees of 30, 50, and 70%. The bamboo culm average density values
11 366 obtained by X-ray densitometry indicate no statistically significant difference between T1-
12 367 T4, with 0.74 and 0.92 g/cm³, respectively. The same for treatments T2-T3, with 1.22 and
13 368 1.30 g/cm³, respectively. However, T1-T2 and T2-T3 were statistically different. The
14 369 increases in the bamboo mean density of the T2, T3, and T4 treatments were 65, 76, and
15 370 24%, respectively, in relation to T1.

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18 371 For the treatments T1, T2, T3, and T4, the minimum mean density value of the
19 372 samples were 0.30, 0.69, 0.83, and 0.35 g/cm³, while the maximum mean density values were
20 373 1.17, 1.45, 1.60, and 1.31 g/cm³, respectively. The mean and minimum densities tend to
21 374 increase up to the treatment T3, followed by a drop in density for the T4 sample.
22 375 Nevertheless, there are no marked differences concerning the treatments for the maximum
23 376 mean density of the samples.

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27 377 The microdensity profiles along the thickness of the samples make it possible to
28 378 understand the effect of the treatments, and the corresponding thickness reduction, on the
29 379 modifications of the anatomical microstructure. The T1 (control) shows a significant
30 380 variation in microdensity from the outer to the inner layers due to the cellular tissue
31 381 composition, whether fiber sheath (support, denser tissue), vascular (sap flow, lighter tissue),
32 382 or parenchyma (storage, medium tissue). It should also be noted that in the culm inner to the
33 383 outer layer, there is a gradual decrease of mean microdensity due to the dimensions and
34 384 percentage of cellular tissue.

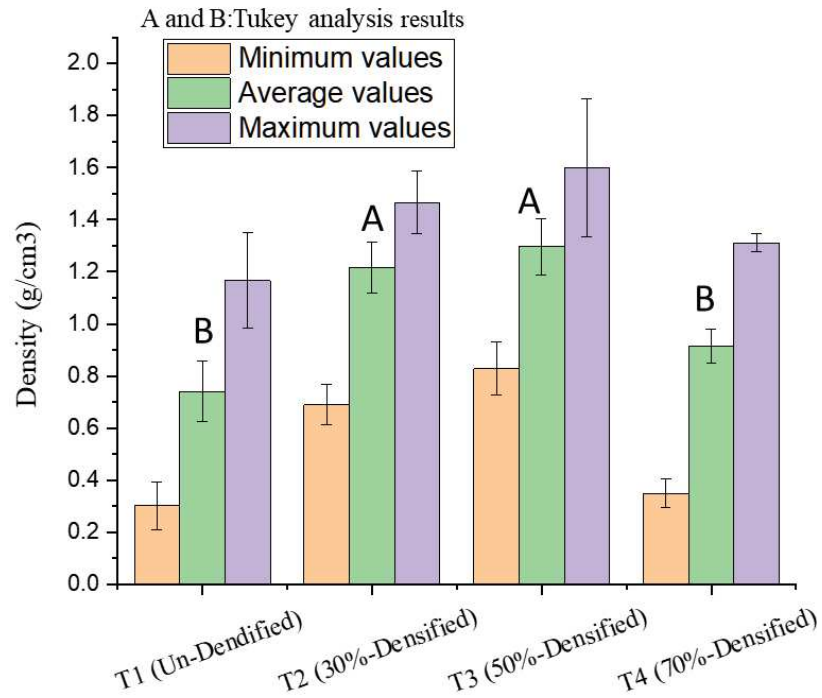
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37 385 With the T2 treatment (30% DD) the variation in microdensity along the bamboo
38 386 culm thickness is maintained, although presenting a subtle alteration in the bamboo tissue
39 387 microstructure: reduction in the space of the parenchyma (5%) and vascular tissues (3%),
40 388 resulting in greater percentage (8%) and proximity of the fiber bundles. The bamboo average
41 389 density increase was 65%.

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44 390 The T3 treatment (50% DD) showed significant changes in the microdensity profile:
45 391 lower density values in the outer and inner layers. This difference is more significant and
46 392 accentuated in the inner layer due to the greater predominance of soft tissues (vascular and
47 393 parenchyma) in relation to hard tissues (fiber sheath). It is also observed a reduction between
48 394 the minimum and maximum densities and an increase in the mean density, which implies
49 395 improved homogeneity and uniformity along with the bamboo thickness. Quantitative
50 396 analyzes for this treatment indicate an increase of 13 % of the hard tissues (fiber bundles),
51 397 and a reduction of 13% of the soft tissues (vascular and parenchyma), with a 76% increase
52 398 in density and 50% thickness reduction. The results showed that T3 seems to be the most
53 399 appropriate treatment to improve the bamboo culm properties, which can be confirmed by
54 400 the correlation with other physical and mechanical properties.

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59 401 The T4 was subject to more severe conditions, inducing significant changes in the
60 402 sample's microdensity profile compared to T1: an abrupt drop in density in the innermost
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403 layers (soft tissues) and an increase in the outermost layers (hard tissues). There was also an
404 increase in the difference between the minimum and maximum densities. The analyzes
405 indicate a mean density increase of only 24%. Additionally, a collapse of the bamboo
406 anatomical microstructure was observed, resulting in an undifferentiated and compact mass
407 due to the pressing of the bamboo tissues and the formation of micro-cracks.



408
409 Figure 7 - The values of average, maximum, and minimum densities of bamboo (Same letters
410 (A or B) mean there is no statistical difference among treatment conditions).

411
412 In Figure 8, the microdensity profiles are represented. The yellow lines show the 3
413 positions of the bamboo samples that were analyzed. It is possible to observe the variations
414 of the anatomical microstructure of the samples in the different treatments. The model of
415 variation in the microdensity of bamboo samples demonstrates the effect of treatments. In
416 this Figure, the outer and inner layers indicate the origin and the end of the microdensity
417 profile. The red horizontal lines indicate the corresponding average densities of each
418 condition. As a reference, the length of these lines represents the thickness (12.9 mm) of the
419 T1 sample. The red star included in Figure 9d corresponds to the culm region with increased
420 density and the corresponding one in Figure 9, of the anatomical microstructure of the T4
421 culms.

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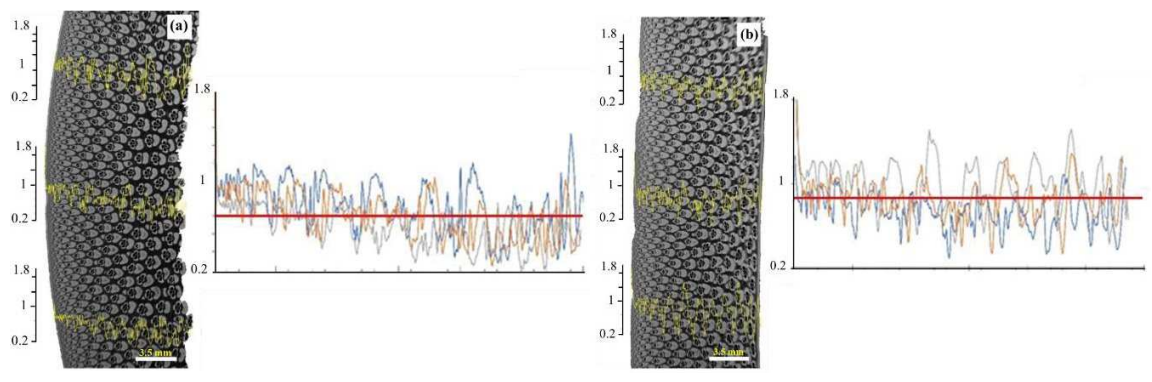
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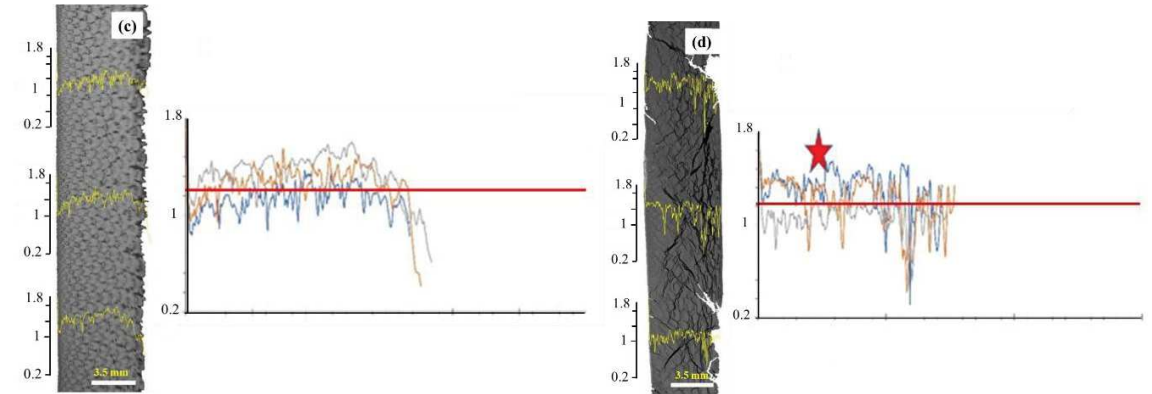
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36 429 Figure 8 - Bamboo culm microstructure and microdensity profiles (yellow lines show the
37 430 position): tratamiento T1 controle (a); T2 30% DD (b); T3 50% (c); T4 70% DD (d). Scale:
38 431 3.5 mm

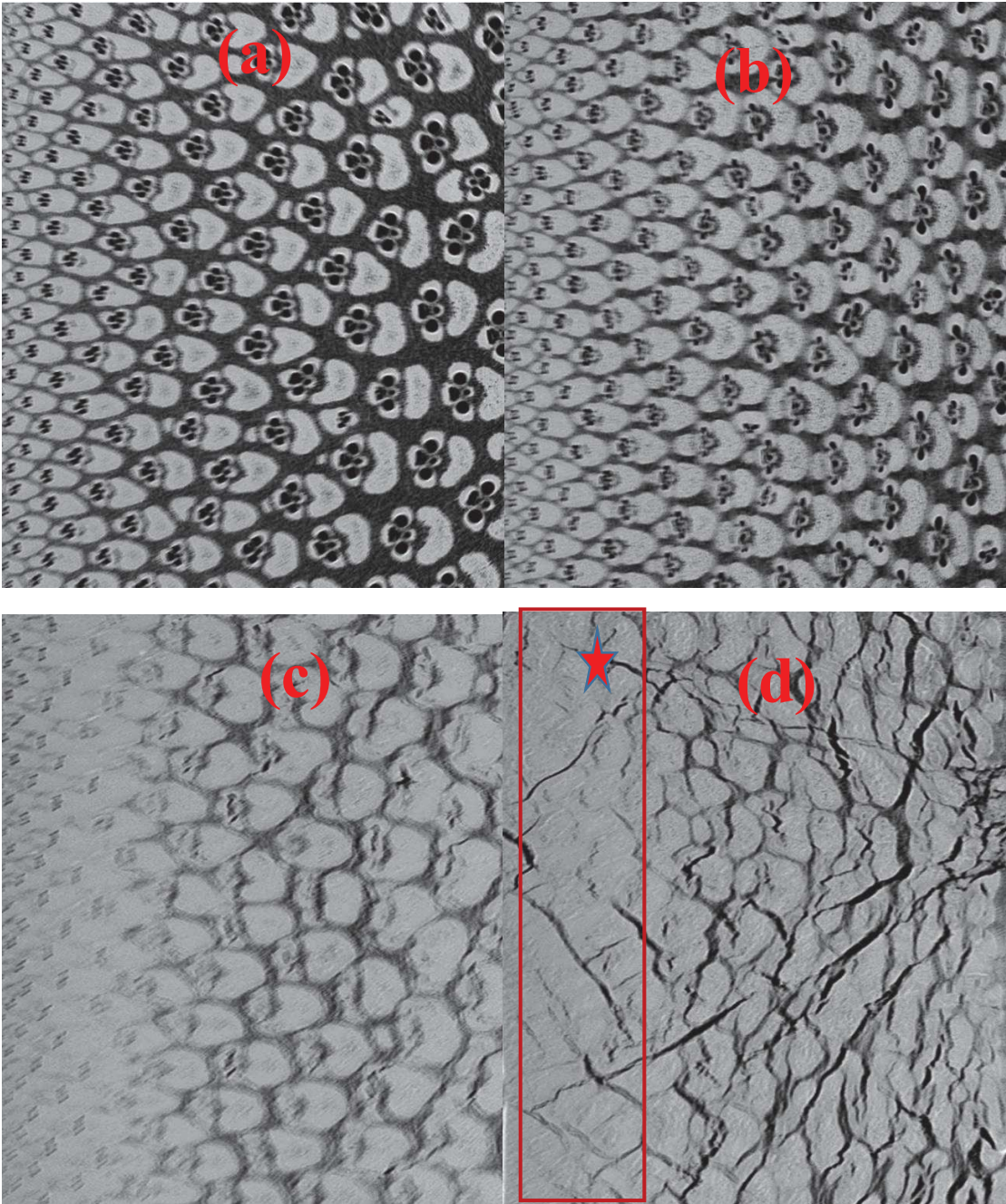
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44 434 In Figure 9, bamboo culm microstructure for different treatments: T1 control
45 435 treatment (a); T2 30% DD (b); T3 50% (c); T4 70% DD (d), indicating soft tissue (vascular
46 436 and parenchyma) and hard tissue (fiber sheath). It is possible to observe changes in the
47 437 anatomical microstructure of stalks in different treatments in relation to hard and soft tissues.
48 438 The region marked in red corresponds to the star indication in the microdensity profiles of
49 439 treatments T4 (Figure 8).

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443 Figure 9 - Bamboo culm microstructure: T1 (control) (a); T2 30% DD (b); T3 50% (c); T4
444 70% DD (d),

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446 **3.4. Dimensional stability analysis (step 2)**

447 According to the results of step 1, DD between 30% to 50% results in better quality
448 in terms of density and fiber cohesion. Therefore, DD=50% and the other parameters
449 mentioned in Table 1, were used for the dimensional stability analysis in this step. The tests
450 were repeated five times for each condition densified at 30, 160, and 200°C at 2,4, and 8

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451 mm/min of compression rate. The initial MC of the samples was (7±0.5) %, and after the
 452 densification process and 60 min of relaxing time, the samples were almost dry. Table 2
 453 shows the value of weight loss for different conditions. Since weight losses at 160 °C and
 454 200 °C are higher than initial moisture content, degradation might occur. It has been proved
 455 before that bamboo degrade at a temperature higher than 160 °C [23]. The higher the
 456 temperature, the higher the weight loss; however, there is no regular pattern in terms of using
 457 different compression rates.

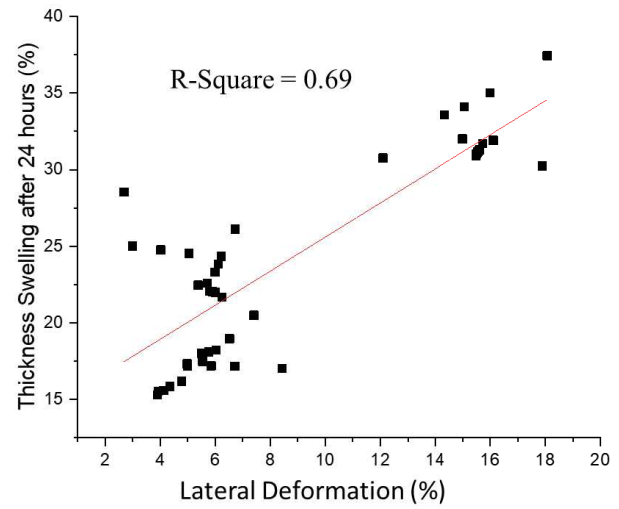
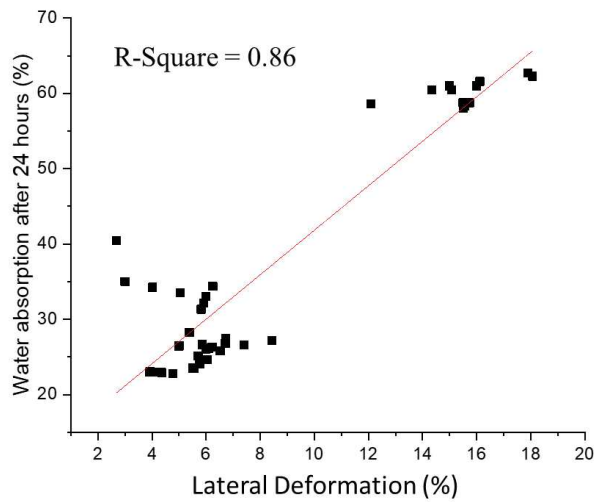
458 Table 2- Weight losses percentage of samples densified at different temperatures and
 459 compression rates (COV in parentheses).

Pressure rate (mm/min) Temperature (°C)	30	160	200
2	0	10.4 % (0.80)	14.2 % (0.79)
4	0	10.6 % (1.07)	14.6 % (6.08)
8	0	10.8 % (2.17)	14.3 % (6.5)

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461 Considering all the data, Figure 7 illustrates the water absorption and thickness
 462 swelling results against the lateral deformation of the samples. The first graph shows that
 463 the slope of the regression line is significantly different from zero (R-Square = 0.86);
 464 therefore, it can be concluded that there is a trend between water absorption and lateral
 465 deformation. Although the same discussion can be given for swelling and lateral deformation
 466 (R-Square = 0.69), a better correlation is observed in the WA graph. Therefore, it can be
 467 deduced that LD is a suitable criterion to be checked during the densification process,
 468 considering that the higher the LD, the higher the WA and TS.

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471 Figure 10 - The correlation of a) water absorption and b) thickness swelling with lateral
 472 deformation

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474 Figure 8 presents the maximum stress contour and surface plot, which is required to
 475 densify bamboo to 50% of its original thickness, with variations of the temperature and
 476 compression rate values. According to this Figure, the maximum stress rate occurs at the
 477 lowest temperatures. In other words, to densify bamboo to 50% DD, more force is needed at
 478 a low temperature. On the other hand, higher temperatures facilitate the relaxation of the
 479 internal stresses and increase the plasticity of lignin [28]. Besides, the influence of the
 480 compression rate on the maximum stress rate is almost negligible for various temperatures.

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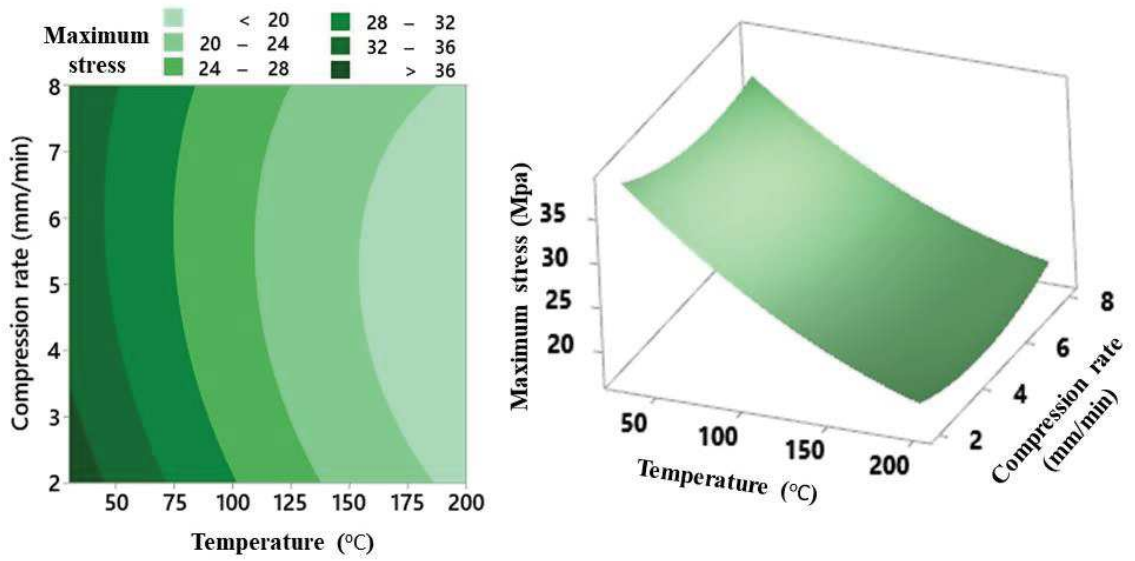


Figure 11 - Counter plot (a), and surface plot (b) of maximum stress, which is required to densify bamboo to DD of 50%, versus pressure rate and temperature.

482 Variations of lateral deformation of bamboo samples with 50% DD by varying
 483 temperature and compression rate are presented in Figure 12 . The analysis indicates that LD
 484 is reduced by increasing the temperature with a minimum between 160-200 °C. Compression
 485 rate showed a small effect on LD. The reduction in LD with temperature is related to the
 486 increase in bamboo plasticity. The variation of LD at the temperature range of 160-200 °C
 487 by changing the compression rate is attributed to the water loss during the process and the
 488 deviation of the test results.

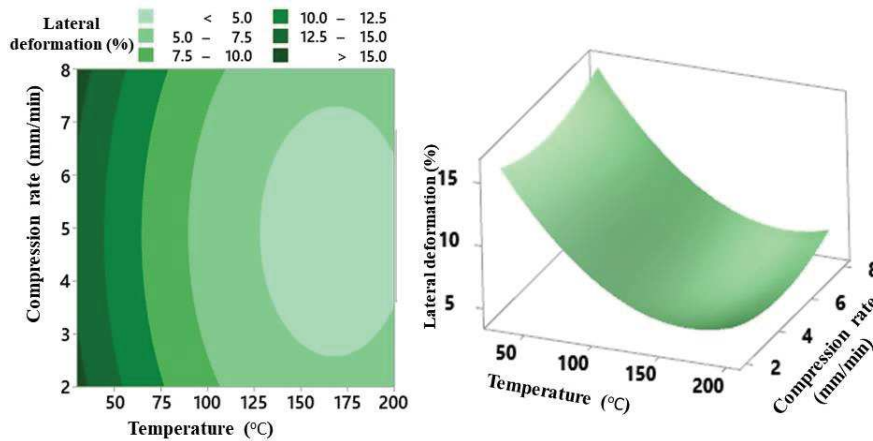


Figure 12 - Counter plot (a), and surface plot (b) of lateral deformation (LD) induced by densifying bamboo to DD of 50%, at different treatment conditions.

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490 Figure 13 demonstrates the spring back (SB) result analysis for the discussed
491 parameters. According to the Figure, the spring-back factor rises with temperature up to
492 around 100 °C. At this point, the graph reaches its maximum value and tends to decrease
493 again for higher temperatures, which is explained by the increasing elasticity due to the
494 increase in temperature. However, at a temperature higher than 100 °C thermal degradation
495 of the cell wall components occurs, which can cause a more stable state after densification,
496 similar to wood. According to wood literature, at a temperature higher than 150 °C,
497 hemicellulose degrades, and the higher the temperature and the time of treatment, the higher
498 the amount of hemicellulose degradation and, consequently, the lower the spring back. Like
499 wood densification, there is very little stress relaxation at lower temperatures, and thus, the
500 deformation is expected to be mainly elastic.

501 It is also noticeable that the maximum SB happens at moderate pressure rates. A lower
502 pressure rate means more time of pressing process and, therefore, more degradation of
503 bamboo. Lower spring back at high-pressure rates can also be a result of higher lateral
504 deformation and more cracks.

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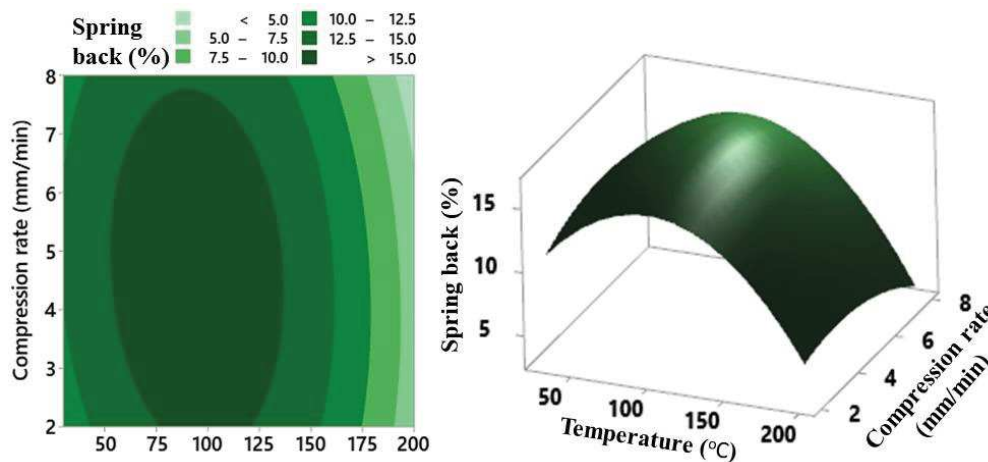


Figure 13 - Counter plot (a), and surface plot (b) of spring back (SB) induced by densifying bamboo to DD of 50%, at different treatment conditions.

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507 The contour and surface plots of water absorption results after 1h and 24h are
508 illustrated in Figure 14a-d. WA had a decreasing trend with the rise in temperature and
509 compression rate after 1 hour. However, after 24 hours, the optimum compression rate factor
510 for WA is around 5 mm/min. Two possibilities can explain this different behavior. First, the
511 immersion of the samples in water for 1 hr is not enough to achieve equilibrium of the
512 bamboo samples in the presence of water. Therefore, higher deviations are expected. Second,
513 the influence of smaller cracks, if present, is expected to be more pronounced after longer

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514 immersion times since the water absorption is related to open defects. It is also worth noticing
 515 that the rate of water absorption has increased drastically from a range of 4.5-36.3 to 22.9-
 516 60.44 after 1h to 24h, respectively, which was well predicted.

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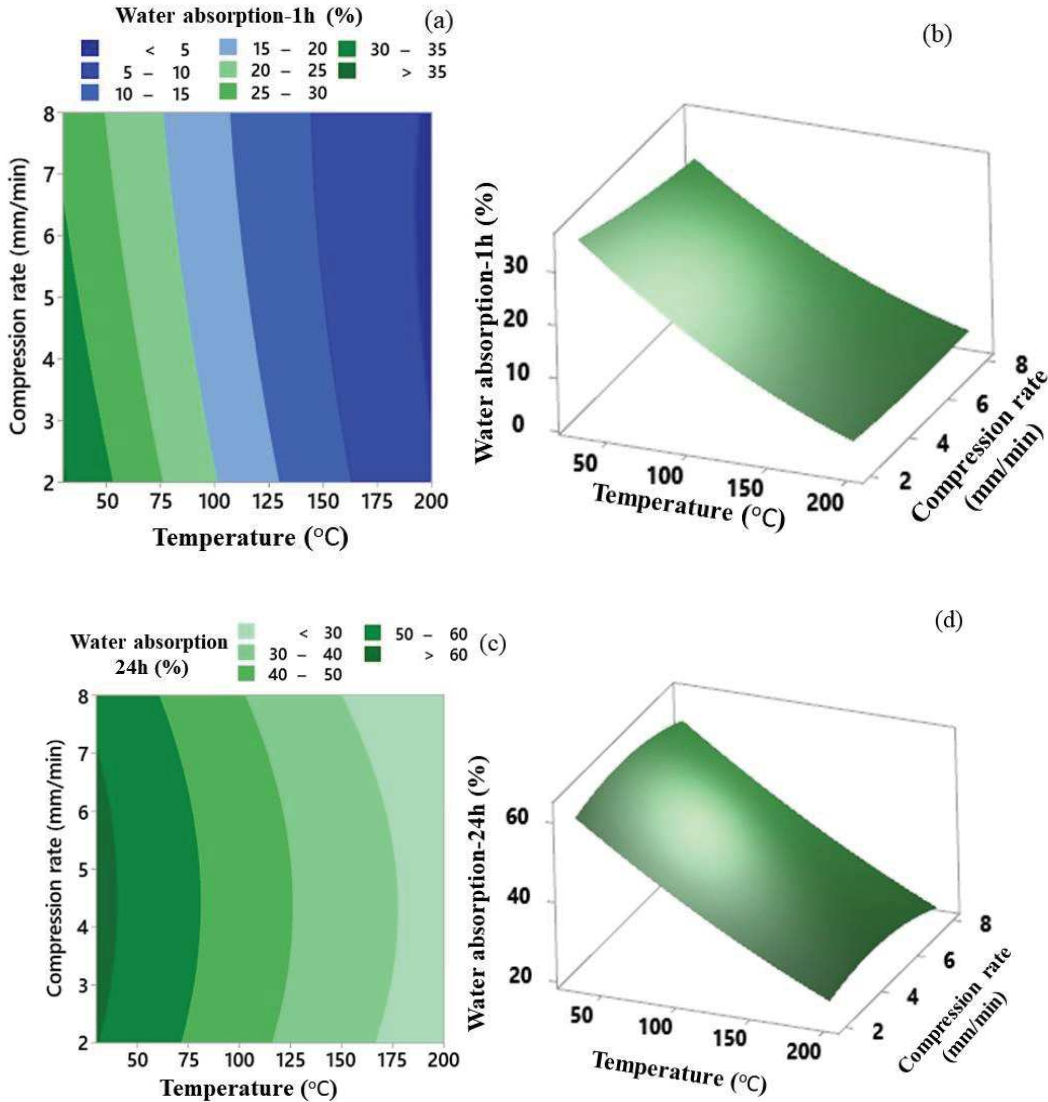


Figure 14 - Optimization analyzes of water absorption (WA) of densified bamboo with DD of 50% at different treatment conditions.

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520 Figure 15 depicts the thickness swelling of the samples after 1 h and 24 h. The
 521 influence of the compression rate is more pronounced than on this property. The highest TS
 522 occurs at the lowest temperature and compression rates. The influence of temperature on the
 523 dimensional stability of densified bamboo is similar to that of wood, considering the similar
 524 composition of these two materials. According to the wood literature, higher temperatures
 525 may break existing covalent and hydrogen bonds and form new cross-links and hydrogen
 526 bonds between cellulose and hemicellulose. These modifications in chemical bonds of
 527 bamboo can also happen during densification, helping the stabilization after deformation.

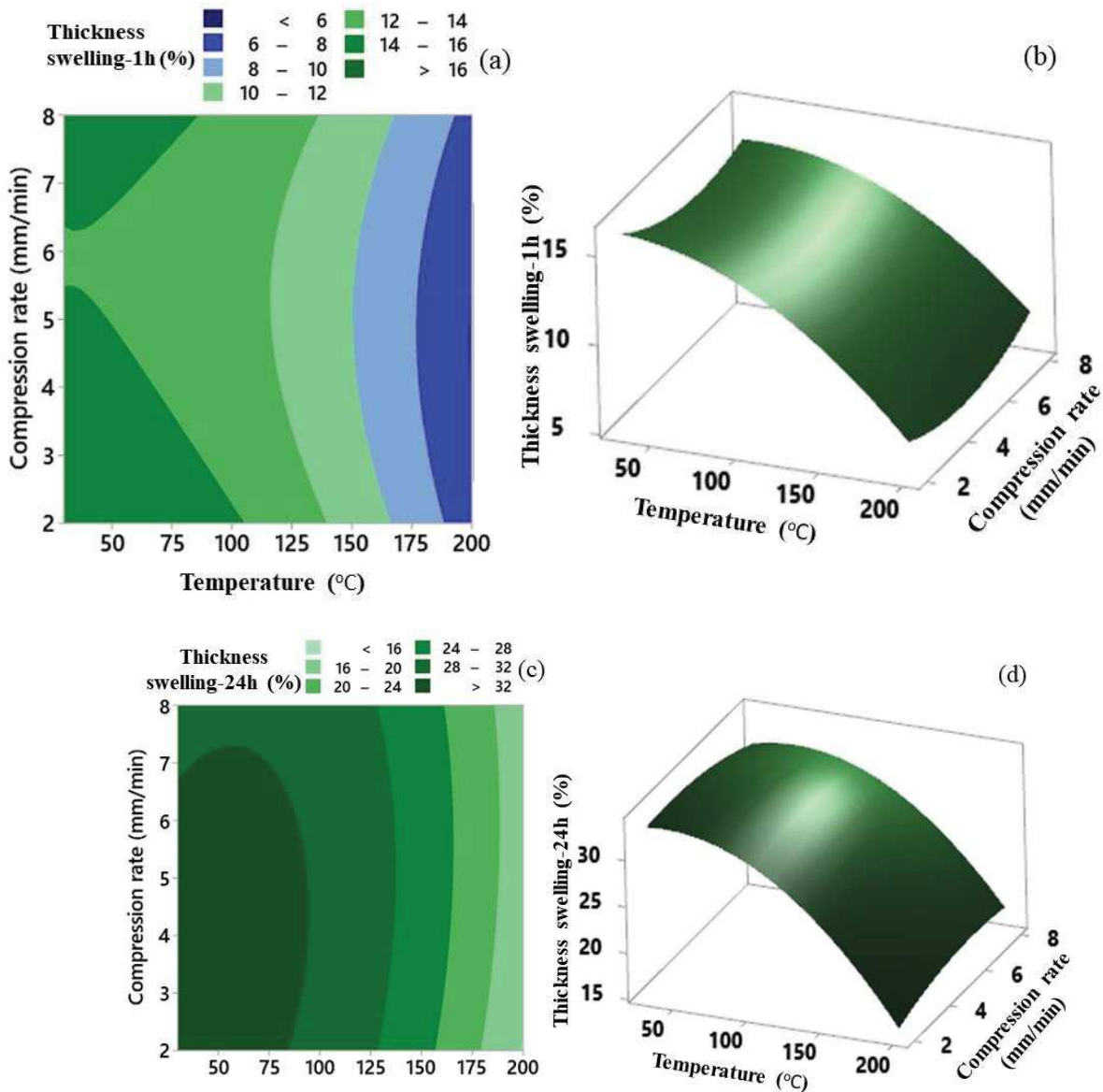


Figure 15 - Optimization analyzes of thickness swelling (TS) induced by densifying bamboo to a DD of 50% at different treatment conditions.

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6 529 **3.5.Optimization of the Dimensional stability results**

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8 530 MROM analyzed the relationships between the investigated responses (WA, TS, SB,
9 531 and maximum stress) and variables (temperature and compression rate) to provide the
10 532 optimal solution. The target here is to minimize all the mentioned responses. The results
11 533 show that the optimum temperature and pressure rate to achieve the minimum responses of
12 534 dimensional stability are 200°C and 6.73 mm/min respectively. The predicted values of
13 535 responses as the optimum results are shown in Table 3.

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24 Table 3 - Multiple Response Prediction of bamboo densified at 200°C and 6.73 mm/min.

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Densification Process Response	Optimum value
Lateral Deformation (%)	5.2
Maximum Stress (Mpa)	18
Thickness Swelling after 1 h (%)	6.5
Thickness Swelling after 24 h (%)	17.7
Water Absorption after 1h (%)	4.4
Water Absorption after 24h (%)	23.8
Spring Back (%)	4.7

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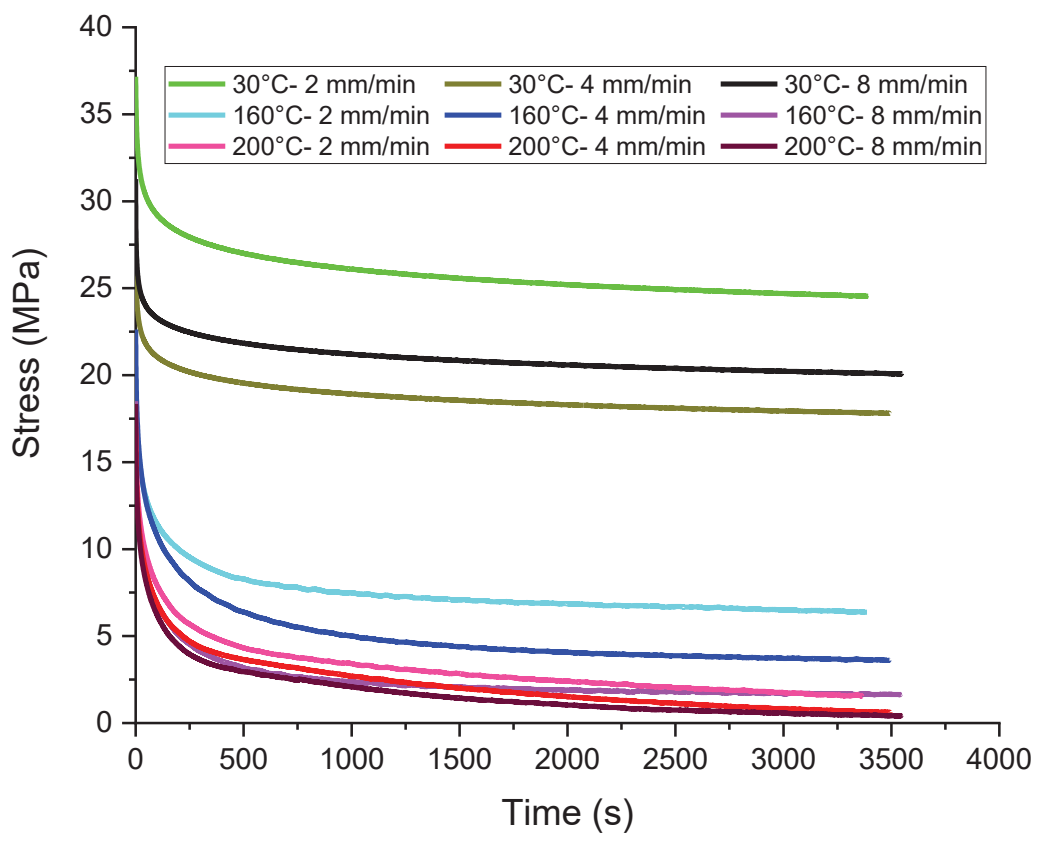
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47 548 **3.6. Influence of parameters on bamboo relaxation time**

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49 549 To measure relaxation time, bamboo specimens were compacted in the radial
50 550 direction to about 50 % of their original thickness (DD=50%) over a temperature range of 30
51 551 to 200 °C using compression rates of 2, 4, and 8 mm/min for 60 minutes. Then, by keeping
52 552 the displacement constant, the force decreases with time until a constant value. Figure 16
53 553 shows the force relaxation curves for different treatments. Most of the force decay befell
54 554 within the first 100 seconds at room temperature and within the first 250 seconds at elevated
55 555 temperatures. According to this plot, a relaxation time higher than 600 seconds at both room
56 556 and elevated temperatures can be recommended because after this time, the force becomes
57 557 more constant. At an identical point of time, by raising the temperature, force decreases,
58 558 which means temperature promotes relaxation of the material. At room temperature and
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160°C, the force tends to a positive constant value, while at 200 °C, the force tends to zero. These results corroborate with the reduced spring back effect, and hence higher stability, of the samples densified at 200 °C.



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Figure 16 - Force relaxation curves of bamboo samples

4. Conclusions

The present study deals with obtaining an optimum thermo-mechanical treatment to achieve the highest critical densification degree and the best dimensional stability for bamboo strips. The dimensional stability analysis for the samples subjected to the optimum densification degree was carried out to investigate spring back, water absorption, and thickness swelling factors. In order to present accurate and reliable results, 27 different tests for step 1, and 45 different tests for step 2 were analyzed experimentally. The following outcomes were found:

- By manipulating the densification process parameters, the desired specifications in terms of physical properties can be achieved.
- The highest temperature, 200°C, and the lowest compression rate, 2mm/min, are the optimum parameters to achieve the maximum densification degree (DD); the densification degree was more dependent upon temperature than the pressure rate.
- Higher temperature resulted in better flattening, greater weight loss, and better dimensional stability of TM densified bamboo.
- It was found that the optimal densification parameters are: DD between 30-50%, a temperature of 200°C, a compression rate of around 6mm/min, and a relaxation time higher than 600 s.
- Applying TM densification using the mentioned parameters, the highest obtainable DD was 43.6%, with a density of 1.3 g/cm³.

The minimum pressure required to densify bamboo in the optimum situation is 18 MPa, and the optimum response of SB, WA after 1 h and 24 h soaking, and TS after 1 h and 24 h soaking was predicted to be 4.72%, 4.35%, 23.80%, 6.46%, and 17.70% respectively. The obtained optimized parameters are advised for future attempts to produce densified bamboo-based products with improved quality and stability.

5. Funding

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