

1                   **Monotonic and cyclic undrained behaviour and**  
2                   **liquefaction resistance of pumiceous, non-plastic sandy**  
3                   **silt**

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21 **Abstract**

22 Experimental data related to the mechanical behaviour of crushable pumiceous soils are  
23 limited compared with those for hard-grained soils. The main focus of previous studies has  
24 been on pumiceous sands, whereas pumiceous silts have not been investigated to date. In  
25 this paper, several series of monotonic and cyclic triaxial tests were performed to investigate  
26 the undrained behaviour and liquefaction resistance of a natural volcanic-ash derived non-  
27 plastic pumiceous silt from northern New Zealand. Particle crushing due to sample  
28 reconstitution and triaxial testing was analysed by quantifying the changes in grain-size  
29 parameters and pumice contents. The main results can be summarized as follows. (1) The  
30 pumiceous silt showed a contractive response, even at medium to high relative densities,  
31 leading to high flow liquefaction susceptibility. (2) When subjected to cyclic undrained  
32 loading, the silt exhibited similar trends in terms of excess pore water pressure and axial  
33 strain accumulation to those established for hard-grained soils as opposed to those for  
34 pumiceous sands. (3) The liquefaction resistance of both medium-dense and dense samples  
35 was within the lower range compared to published cyclic resistance curves of both hard-  
36 grained soils and pumiceous sands. (4) The material did not undergo significant particle  
37 crushing after testing. Result (4) was considered to be the main factor that contributed to the  
38 fact that, in general, the cyclic undrained behaviour of the pumiceous silt was close to the  
39 trends established for those of hard-grained soils.

40 **Keywords:** pumice; volcanic soil; silt; liquefaction; undrained triaxial tests; state parameter;  
41 lake sediment; tephra

42 **Notation list**

43	$A_c$	angular coefficient
44	$A_r$	aspect ratio
45	CSL	critical state line
46	CSR	cyclic stress ratio
47	$D_{50}$	median grain size
48	$D_{10}$	grain size at 10% passing
49	$D_{90}$	grain size at 90% passing
50	$D_r$	relative density
51	$D_{r,i}$	initial relative density
52	$D_{r,c}$	consolidated relative density
53	$e$	void ratio
54	$e_c$	consolidated void ratio
55	$e_i$	initial void ratio
56	$e_{max}$	maximum void ratio
57	$e_{min}$	minimum void ratio
58	$e_{ss}$	void ratio at the steady state line
59	EPWP	excess pore water pressure
60	$f$	loading frequency
61	FC	finer content
62	$G_s$	specific gravity
63	$N_c$	number of cycles
64	$N_{CL}$	number of cycles to liquefaction
65	$p'$	mean effective stress
66	PC	pumice content
67	$q$	deviator stress
68	$r_u$	pore pressure ratio
69	$R_c$	roundness coefficient
70	SD	standard deviation
71	$u$	pore pressure
72	$\sigma_c'$	effective consolidation pressure
73	$\epsilon_{da}$	double amplitude axial strain
74	$\psi$	state parameter

## 75        **1. Introduction**

76        Earthquake-induced liquefaction can be a major natural hazard in tectonically active regions  
77        [1,2], hence undrained soil response and liquefaction initiation analyses have been of  
78        particular interest in the past six decades. The scientific and engineering community  
79        recognizes two main definitions of liquefaction, (1) flow liquefaction, characterized with  
80        strain-softening response in undrained loading and it requires in-situ shear stress greater  
81        than the undrained shear strength, and (2) cyclic liquefaction, characterized with pore water  
82        pressure build up on account of the effective stress reversal to zero. Flow liquefaction can be  
83        triggered by both monotonic and cyclic loading. It is also commonly used when defining  
84        cyclic liquefaction susceptibility based on the state criteria: in critical soil mechanics, soils  
85        susceptible to flow liquefaction are also defined as contractive, thus loose materials that are  
86        highly susceptible to cyclic liquefaction as well [3–5].

87        Since the early works from the 1970s [6–8], most of the research related to soil liquefaction  
88        has been focused on hard-grained sands and silts, because the common liquefaction  
89        susceptible soils were originally considered to be young (i.e., of Holocene age), non- or low-  
90        plastic, normally consolidated, hard-grained sandy soils [9,10]. More recent case studies,  
91        however, have confirmed the vulnerability of crushable materials, such as pumiceous  
92        volcanic soils, to liquefaction as well [11–15]. Therefore, in the last few decades, pumiceous  
93        sands have become a topic of interest for researchers and geotechnical engineers working  
94        in tectonically active areas, such as Italy, South America, Japan, and the North Island of  
95        New Zealand [16–19]. Pure pumiceous soils consist of volcanically-derived vesicular,  
96        lightweight glass-shard particles of grain sizes varying between gravel (lapilli), sand (coarse  
97        to medium ash), and fines (fine ash) [20].

98        For coarse-grained pumiceous soils mainly comprising sand-sized particles, it has been  
99        demonstrated that under monotonic undrained loading, the relative density did not have  
100        significant effect on the behaviour, as samples in both loose and dense states practically  
101        showed similar response [12]. Orense and Pender [12] found that even at large strain level,  
102        pumice sands did not reach steady state of deformation, and inferred that the critical soil  
103        mechanics may not be applicable to crushable soils.

104        During cyclic undrained tests, pumiceous sands show different trends in terms of axial strain  
105        and excess pore water pressure (EPWP) development [13], when compared with those for  
106        hard-grained sands. For example, Asadi et al. [13] found that unlike hard-grained sands,  
107        where the development of the EPWP as well as the axial strain increased in a sudden  
108        manner in the last loading cycles, pumiceous sands tend to show immediate increase of  
109        both the EPWP and the axial strain in the first loading cycles. Moreover, because of the

110 dilative response of pumiceous sands in the succeeding loading cycles, after the initial  
111 cycles, the liquefaction resistance of the pumice sands may be significantly higher than that  
112 obtained for hard-grained soils [11,13]. The findings related to the monotonic undrained  
113 behaviour of pumice sands [12], as well as the different EPWP development and the higher  
114 liquefaction resistance of pumiceous sands compared with that of hard-grained sands  
115 [11,13], have all been attributed to the vesicular nature as well as the complex surface  
116 texture of the pumiceous particles, which which leads to significant particle crushing during  
117 cyclic loading, especially in samples with high relative densities.

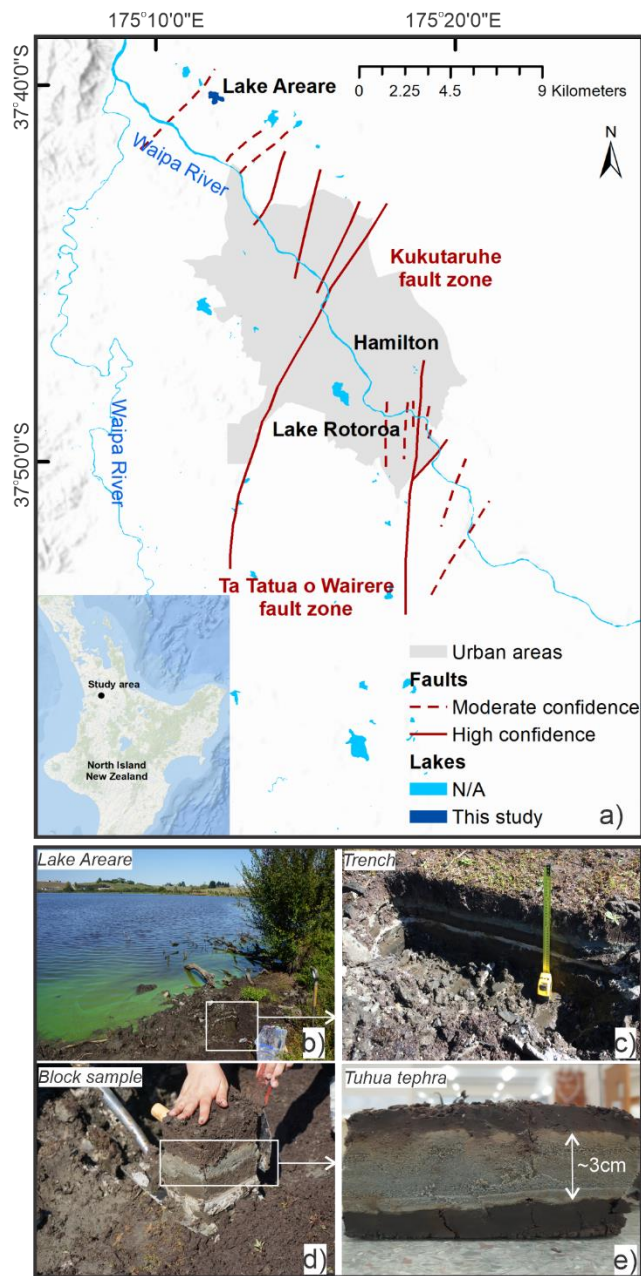
118 Studies on the undrained behaviour and liquefaction resistance of pumiceous sands  
119 containing non-plastic fines are even more scarce than studies on pure pumiceous sands  
120 [14,21]. In the study by Licata et al. [14], it was found that a pumiceous silty sand mixture  
121 with 30% non-plastic fines exhibited a higher liquefaction resistance compared with hard-  
122 grained silica sand having the same proportion of fines. They reported negligible particle  
123 crushing in their pumiceous material. In contrast, in a more recent study, Hyodo et al. [21]  
124 examined a pumiceous silty sand (Shirasu) comprising pumiceous sand with 28% fines and  
125 found the pumiceous silty sand to be less resistant to liquefaction than pure Shirasu sand.  
126 However, Hyodo et al. did not discuss the level of particle crushing that might have occurred  
127 in the Shirasu sand.

128 Studies focusing on the cyclic undrained behaviour and liquefaction resistance of pumiceous  
129 silts (mixtures containing >50% fines) have not been reported to date. Therefore, filling the  
130 knowledge gaps for this type of soil materials will assist scientists as well as geotechnical  
131 practitioners who are unsure whether they should treat silt-size pumice materials the same  
132 as sand-size ones.

133 This paper is based on a wider testing campaign studying the undrained behaviour and  
134 liquefaction resistance of non-plastic pumiceous sandy silt sampled from a silica-rich tephra  
135 layer of mid-Holocene age. Tephra deposits are the volcanic-eruption-derived, pyroclastic  
136 products of a volcanic eruption of any grain size or composition [22]. The tephra layer,  
137 preserved within the sediments of riverine-peat lakes in the Hamilton lowlands, North Island,  
138 New Zealand, has been found to show proof of paleoliquefaction [23]. Knowledge about the  
139 undrained response of the tephra is crucial in evaluating the loading conditions that caused  
140 the tephra to liquefy in the past, and will enable assessment of potential future hazards in the  
141 region.

142 For those purposes a series of undrained monotonic and cyclic triaxial tests were performed  
143 on reconstituted pumiceous samples, comprising ~52% non-plastic, pumiceous fines. The  
144 main aims of this study were to determine: (1) the monotonic behaviour; (2) the undrained

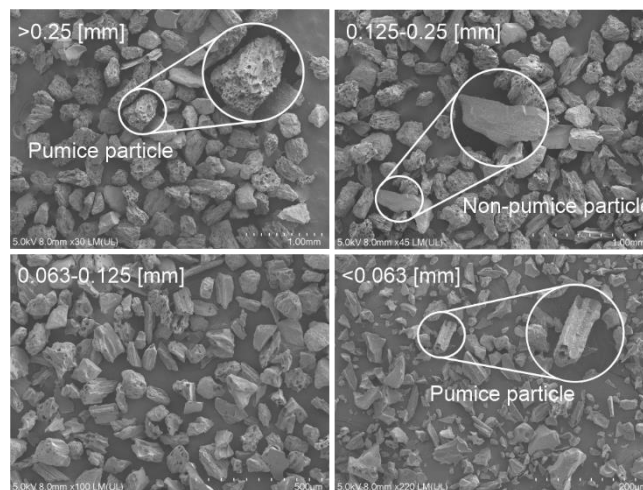
145 cyclic behaviour; (3) the liquefaction resistance; and (4) the extent of particle crushing after  
 146 testing, of the pumiceous sandy silt. The monotonic tests were also used to develop the  
 147 critical state line (CSL) of the material in order to analyse the cyclic testing results within the  
 148 critical state framework. Comments on the meaning of the results from the three main  
 149 objectives with regards to the paleoliquefaction features found in this tephra are given as  
 150 well.



151  
 152 **Fig. 1.** Location of the sampling area for Tuhua silt (i.e., Tuhua tephra) at Lake Areare in the  
 153 Hamilton lowlands and faults in the area, North Island, New Zealand. Recently identified  
 154 faults are from [27,68] (a). Figure also shows (b) Lake Areare, (c) trench where the blocks  
 155 were sampled, (d) block samples containing Tuhua tephra in between organic lake sediment  
 156 layers, and (e) Tuhua tephra isolated from the block sample ready for contamination (organic  
 157 leftovers) clean up

158 **2. Study area**

159 The North Island lies on the Australian Plate and is dominated by tectonic activity and active  
160 volcanism as the Pacific Plate is subducted beneath it [24,25]. Although identified as having  
161 low to medium seismic hazard, a short historical record means that an understanding of the  
162 magnitude of past earthquakes in the Hamilton lowlands area is poor [26,27]. The lowlands  
163 are within the depocentre of numerous tephra-fall deposits derived from eruptions of volcanic  
164 centres in the Taupō Volcanic Zone, Egmont/Taranaki volcano, and Tuhua Volcanic Centre  
165 (Mayor Island) during the late Quaternary, and contain a number of ~20,000-year-old  
166 riverine-peat lakes in which many tephra layers have been well-preserved with minimal post-  
167 depositional alteration [28,29] (Fig. 1a). Several of these tephra layers preserved within the  
168 sediments of the lakes have liquefied due to past earthquakes [23]. The tephra layers are  
169 pumiceous silts, sandy silts, silty sands and sands [30]. This study analysed the cyclic  
170 undrained behaviour and liquefaction resistance of the Tuhua tephra, a peralkaline rhyolitic  
171 fall-bed derived from an eruption of Mayor Island 7,600 (calendar) years ago [28,29].



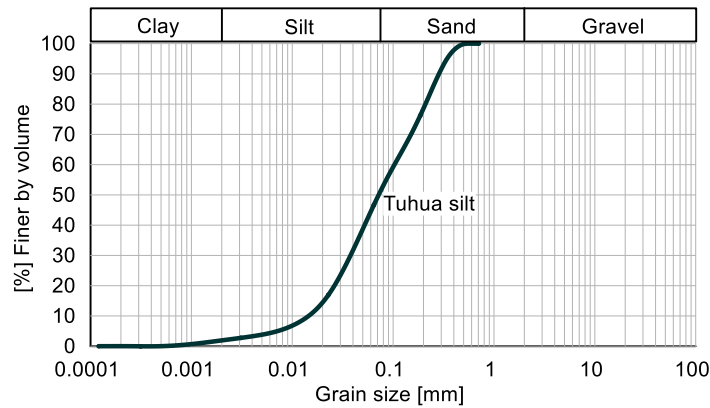
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173 **Fig. 2.** SEM images of Tuhua silt separated in four grain-size fractions (< 0.063 mm, 0.063-  
174 0.125 mm, 0.125-0.25 mm and > 0.25 mm)

175 **3. Methods and material**

176 **3.1. Sampling procedure**

177 Sampling of Tuhua tephra was undertaken at the shore of Lake Areare (Fig. 1a and b),  
178 where the material was located at a relatively shallow sediment depth of <0.5 m in the  
179 exposed lake bed (Fig. 1c). A large number of small block samples (each with volumes of  
180 ~0.0075 m<sup>3</sup>) were taken (Fig. 1d), which comprised the ~3 cm-thick Tuhua tephra layer and  
181 organic lake sediment above and below the tephra layer. The Tuhua tephra layer (Fig. 1e)  
182 was then carefully sampled using a spoon, cleaned from organic contamination, and oven-  
183 dried at 40°C.



**Fig. 3.** Grain-size distribution of Tuhua silt prior to triaxial testing

### 3.2. Sample properties

A number of physical and geotechnical properties for the Tuhua tephra were obtained to accompany the triaxial testing campaign, including grain-size distribution, particle density, minimum and maximum dry densities, Atterberg limits, pumice content, and particle shape indices. The grain-size distribution of Tuhua tephra was obtained before (virgin soil) and after triaxial testing (i.e. after reconstitution, consolidation and shearing) through laser diffraction analysis using a Malvern Mastersizer 3000. The tephra soil classification and fines content threshold ( $\% < 0.075$  mm) were defined following the ASTM D2487-11 [31].

The particle density was determined using a Quantachrome Ultrapyc 1000 nitrogen-filled gas pycnometer following ASTM-D5550-14 [32].

The minimum and maximum dry densities of the samples were obtained following the Japanese standard method [33] as modified by Mijic et al. [34].

Atterberg limits were obtained following ASTM-D4318-00 [35].

The pumice content was quantified in a similar manner to that of Asadi et al. [36]: a sample was separated into different grain-size fractions (i.e.,  $< 0.063$  mm,  $0.063$ - $0.125$  mm,  $0.125$ - $0.25$  mm and  $> 0.25$  mm) by dry sieving. The mass of each fraction was determined. Then, images of clusters representing the different grain-size fractions were obtained using scanning electron microscopy (SEM) (Fig. 2). The pumice content for each fraction was then defined by means of visual inspection of the pumiceous vs non-pumiceous particles based on three SEM images per fraction, which corresponded to a total count of about 100-140 particles per fraction. The highly vesicular rough-textured particles were defined as pumiceous particles, whereas the fragments of the pumice particles that were found to lack internal voids, namely glass shards, were considered as non-pumiceous particles (Fig. 2). In the final step, the total pumice content of the sample was calculated by averaging the pumice contents of fractions defined based on the number of pumiceous particles against the total number of particles with respect to a correction factor based on their relative mass to the total mass of the sample [36].

213 The particle shape analysis was performed following the methodology of Kikkawa et al. [37].  
 214 The method is based on SEM images of the different fractions (i.e., <0.063 mm, 0.063-0.125  
 215 mm, 0.125-0.25 mm and >0.25 mm) of the original Tuhua tephra using 20 particles per  
 216 fraction, thus 80 particles in total. The quantification of the particle shape characteristics  
 217 through the roundness coefficient ( $R_c$ ), aspect ratio ( $A_r$ ), and angular coefficient ( $A_c$ ), are  
 218 defined as follows:

$$219 \quad R_c = L^2 / 4\pi A \quad (1)$$

$$220 \quad A_r = b/a \quad (2)$$

$$221 \quad A_c = |R_c - 1 + A_r^2 / (2A_r)| \quad (3)$$

222 In the above equations,  $L$  is the perimeter of the particle,  $A$  is the surface area of the particle,  
 223 and  $a$  and  $b$  are the dimensions of the particles along the minor and major axes respectively.  
 224 The particle shape indices were calculated for all 80 particles, averaged by fraction and the  
 225 final values were calculated with respect to the correction factor of each fraction based on  
 226 the relative mass to the total mass of sample.

227 The grain-size distribution curve of the Tuhua tephra (i.e. virgin soil) prior to triaxial testing is  
 228 shown in Fig. 3. With fines content of 51% (of which only 4% were clay sized particles) and  
 229 having tested as a non-plastic sandy silt following ASTM D-2487 [38], the tested material will  
 230 be referred to as Tuhua silt (ML) hereafter. The minimum and maximum void ratios are 1.06  
 231 and 2.18, respectively. The pumice content of the silt is 48%. The roundness coefficient ( $R_c$ ),  
 232 aspect ratio ( $A_r$ ), and angular coefficient ( $A_c$ ), are 1.829, 1.466 and 1.38 respectively,  
 233 classifying the particles of the Tuhua silt material as ellipsoidal, elongated and highly angular  
 234 [37,39]. All the material properties of the Tuhua silt are summarized in Table 1.

235 Table 1. Index properties of Tuhua silt

	<b>Gs</b>	<b>D<sub>50</sub></b>	<b>FC</b>	<b>PC</b>	<b>e<sub>min</sub></b>	<b>e<sub>max</sub></b>	<b>R<sub>c</sub></b>	<b>A<sub>r</sub></b>	<b>A<sub>c</sub></b>
Material		[mm]	[%]	[%]					
Tuhua silt	2.41	0.073	51.2	48	1.06	2.18	1.83	1.47	1.38

236

### 237 3.3. Triaxial testing

238 A GDS Advanced Dynamic Triaxial Testing System was used for the triaxial testing of the  
 239 samples. The samples were reconstituted using the under-compaction method following the  
 240 procedure of Ladd [40]. The compressive response of the tested material in the medium-high  
 241 density range in the initial tests, was indicative of the redundancy in testing looser samples,  
 242 as medium-high to high dense samples already captured the transition from contractive to  
 243 dilative behaviour. Moreover, loose samples are known to exhibit higher degree of soil  
 244 heterogeneities affecting the undrained soil response [41,42]. Therefore, the target densities

245 in the testing campaign were above the medium-dense range according to the relative  
246 density designations as per Terzaghi and Peck [43].

247 The target size of the triaxial samples was 50 mm in diameter and 100 mm in height.

248 Deaired and demineralised water was added to the samples until a water content of 15%

249 was obtained. Then, samples were reconstituted in eight layers into a split mould mounted

250 on top of the triaxial base plate. For the first layers a percent undercompaction ratio of 0%

251 was selected for reconstituting samples at high relative densities, whereas an

252 undercompaction ratio of 15% was chosen for reconstituting samples at medium to medium-

253 high relative densities. The definition of the undercompaction ratio is defined in the original

254 publication of Ladd [40]. The density distribution throughout the sample was checked by

255 observing the shape of the sample once reconstituted and its failure pattern, following the

256 recommendations of Ladd [40].

257 After reconstituting the sample, the top cap was placed on the sample and the cell was

258 assembled and filled with water. Before the saturation phase, the sample was flushed with

259 deaired and demineralized water through the back-pressure pump. A B-value of more than

260 0.95 was reached once the sample was subjected to back pressures of at least 800 kPa

261 over 15 h in the saturation stage. During the B-value check, the cell pressure was increased

262 by 70 kPa and 20 kPa for effective consolidation pressures  $\sigma_c'$  corresponding to 100 kPa

263 and 20 kPa, respectively [44]. More details about the tests and their specific conditions are

264 summarized in Table 2. Two levels of consolidation pressure were used in this study. The

265 effective consolidation pressure  $\sigma_c' = 20$  kPa was considered to most accurately represent

266 the stress conditions in the in-situ Tuhua silt and  $\sigma_c' = 100$  kPa effective consolidation stress

267 was used in order to compare the undrained behaviour and liquefaction resistance of the

268 studied material with other soil types from the literature. Many other researchers have

269 performed triaxial tests on soil materials under low confining stresses before [45–47].

270 However, because the consolidation pressure of 20 kPa is considered to be at the lower end

271 of what the sensors of the triaxial apparatus are able to measure, a supplementary Fig. S1.

272 is provided to show that the data quality of the 20 kPa consolidation pressure tests in this

273 study. The results of a representative test (Tu-CY-21, Table 2.) by means of pore water

274 pressure, deviator stress and axial strain development for a single cycle are presented. The

275 oscillation variations of the sensors vary between 0%, for the last cycle in the axial strain vs.

276 time plot (Fig. S1, (d)) to 12.5% in the pore water pressure vs. time plot (Fig. S1, (a)) of the

277 corresponding amplitude. The oscillation variations are calculated by dividing the amplitude

278 of the oscillation (e.g.  $0.5/2 = 2.5$  kPa, Fig.S1 (a)) with the amplitude in the corresponding

279 peak of the cycle (e.g. 2 kPa, Fig.S1 (a)) and converting into percentage ( $2.5/2 \cdot 100 =$

280 12.5%). The sensors provided sufficiently high signal-noise ratios that do not affect the soil  
 281 response analysis, thus generated meaningful results.

282 Table 2. Experimental programme

Test series	Type of test	Label	$\sigma'_c$	$e_i$	$Dr_i$	$e_c$	$Dr_c$	$f$	CSR	$N_{CL}$	$\psi$
			[kPa]					[Hz]			
1	M	Tu-CU-1	100	1.80	0.34	1.61	0.51	n/a	n/a	n/a	0.316
	M	Tu-CU-3	100	1.51	0.60	1.42	0.69	n/a	n/a	n/a	0.124
	M	Tu-CU-2	20	1.80	0.34	1.61	0.51	n/a	n/a	n/a	0.171
	M	Tu-CU-4	20	1.53	0.58	1.46	0.65	n/a	n/a	n/a	0.021
	M	Tu-CU-5	20	1.39	0.71	1.33	0.77	n/a	n/a	n/a	-0.114
	M	Tu-CUE-1	100	1.77	0.37	1.62	0.51	n/a	n/a	n/a	0.324
	M	Tu-CUE-2	20	1.76	0.38	1.62	0.50	n/a	n/a	n/a	0.181
2	Cy	Tu-CY-12	100	1.85	0.29	1.69	0.44	0.02	0.07	57	0.392
	Cy	Tu-CY-11	100	1.79	0.35	1.62	0.50	0.02	0.09	17	0.328
	Cy	Tu-CY-10	100	1.76	0.38	1.59	0.53	0.02	0.11	10	0.291
	Cy	Tu-CY-7	100	1.82	0.33	1.65	0.47	0.02	0.13	4	0.356
3	Cy	Tu-CY-4	100	n/a	n/a	1.45	0.65	0.02	0.11	45	0.154
	Cy	Tu-CY-5	100	1.48	0.63	1.43	0.67	0.02	0.13	35	0.134
	Cy	Tu-CY-2	100	1.45	0.65	1.38	0.72	0.02	0.15	31	0.083
	Cy	Tu-CY-6	100	1.49	0.62	1.40	0.70	0.02	0.17	12	0.104
4	Cy	Tu-CY-18	20	1.85	0.29	1.65	0.48	0.02	0.05	39	0.211
	Cy	Tu-CY-16	20	1.83	0.32	1.74	0.40	0.02	0.07	9	0.299
	Cy	Tu-CY-15	20	1.81	0.33	1.61	0.51	0.02	0.09	5	0.168
	Cy	Tu-CY-14	20	1.81	0.34	1.69	0.43	0.02	0.11	3	0.256
5	Cy	Tu-CY-19	20	1.49	0.61	1.42	0.68	0.02	0.1	50	-0.016
	Cy	Tu-CY-13	20	1.52	0.59	1.44	0.66	0.02	0.11	21	0.001
	Cy	Tu-CY-8	20	1.34	0.76	1.43	0.67	0.02	0.15	11	-0.009
6	Cy	Tu-CY-21	20	1.33	0.76	1.29	0.80	0.02	0.15	23	-0.149
	Cy	Tu-CY-22	20	1.35	0.75	1.28	0.81	0.02	0.13	88	-0.164
	Cy	Tu-CY-23	20	1.34	0.75	1.30	0.79	0.02	0.17	29	-0.139
7	Cy	Tu-CY-17	100	1.83	0.31	1.67	0.45	0.1	0.11	10	0.378
	Cy	Tu-CY-20	100	1.77	0.37	1.61	0.51	1	0.11	12	0.314

283

284 The post-consolidation void ratios of the samples were obtained following two different  
 285 approaches. In the first approach, the volume change of the sample due to consolidation  
 286 was measured by the back-pressure pump. In the second approach, the volume change due  
 287 to consolidation was derived after completing the test following the procedure proposed by  
 288 Verdugo and Ishihara [48]. The post-consolidation void ratios considered in this study were  
 289 calculated by either (1) averaging the values from both approaches or (2) using the one  
 290 value for the tests where results from both methods were not available (Table S1).

291 Seven monotonic undrained triaxial tests were performed on the reconstituted samples. Five  
 292 tests were performed in compression and two tests in extension. The details about the  
 293 testing conditions are presented in Table 2. A constant displacement rate of  $\dot{s} = 0.1$  mm/min  
 294 was chosen following DIN EN ISO 17892-9 [44]. Shearing was performed until the axial  
 295 strain reached  $\pm 10$  to 15%.

296 A total of 18 cyclic undrained, stress-controlled triaxial tests were performed (Test series 2 to  
297 6, Table 2). The cyclic stress amplitudes in these tests were applied with a frequency of  $f =$   
298 0.02 Hz. Additional two tests were performed to explore the frequency influence on the cyclic  
299 undrained response of the tested material (Test series 7, Table 2). Both tests were a  
300 replicate of Tu-CY-10 (Table 2.) by means of effective consolidation stress, relative density,  
301 and cyclic stress ratio ( $CSR = q/(2\sigma'_c)$ , where  $q$  is deviator stress), with the only difference  
302 being the frequency ( $f = 0.1$  Hz and  $f = 1$  Hz). The results confirm that the response of the  
303 non-plastic silt is frequency independent (supplementary Fig. S2). The calculation of the  
304 cyclic stress ratio, double axial strain amplitude ( $\epsilon_{da}$ ), pore water pressure ratio ( $r_u = u/\sigma'_c$ ,  
305 where  $u$  is the excess pore water pressure (EPWP) in kPa), and number of loading cycles to  
306 liquefaction ( $N_{CL}$ ) followed ASTM D-5311 [49]. The initiation of cyclic liquefaction according  
307 to the ASTM D-5311 [49] is considered when either the double axial strain amplitude  $\epsilon_{da}$   
308 reaches 5 % or when the pore water pressure ratio,  $r_u$  reaches 0.9 [49].

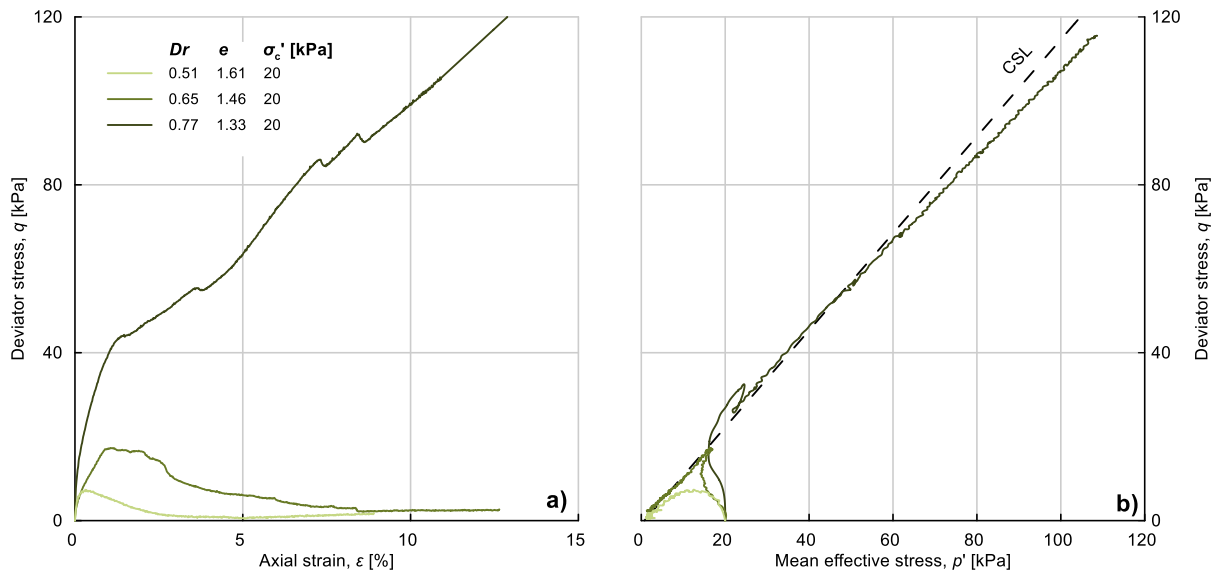
## 309 4. Results

### 310 4.1. Monotonic triaxial test results

311 The effect of relative density,  $Dr$ , on the monotonic undrained response of Tuhua silt was  
312 analysed for the tests performed at the low effective consolidation pressure of 20 kPa (Fig.  
313 4). The test with  $Dr = 0.51$  (Tu-CU-2) exhibited a low peak strength with fully contractive  
314 behaviour. The response of the  $Dr=0.65$  (Tu-CU-4), started with a similar stiffness as the  $D$   
315  $r= 0.51$  test (Tu-CU-2,) at small strains, peaked soon after at the phase transformation point  
316 [7] and then strain-softened as the stress path approached the critical state line in a  
317 contractive manner. The dense sample (Tu-CU-5,  $Dr = 0.77$ ) exhibited a higher initial  
318 stiffness when compared to the other two (medium-dense) tests and was found to be purely  
319 dilative. The peak strength was found to increase with the increase in relative density.

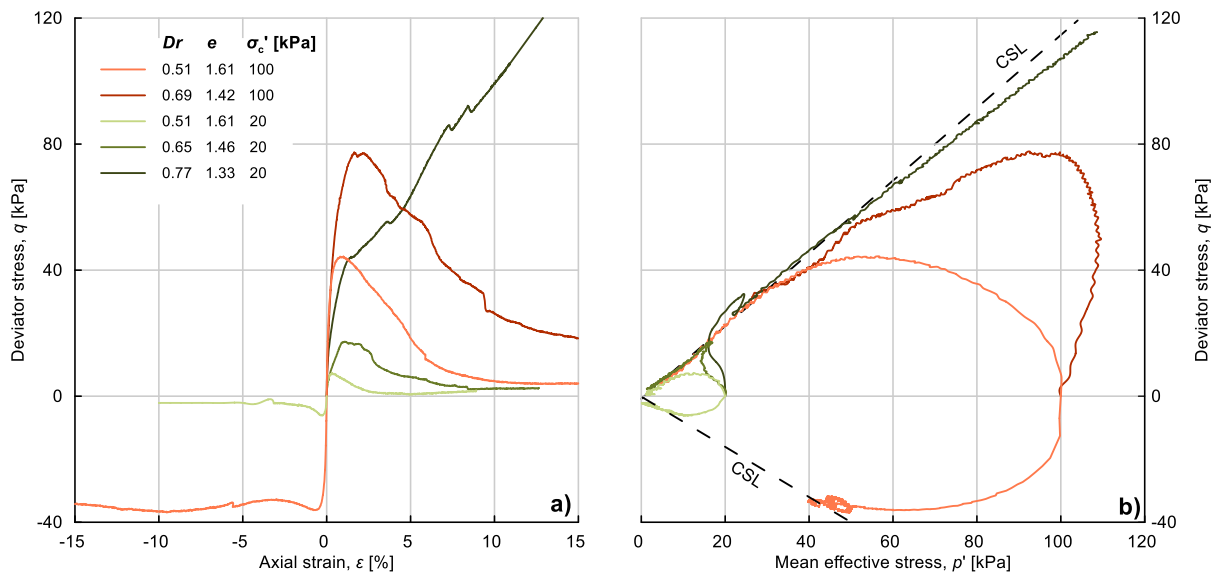
320 The effect of effective consolidation pressure on the monotonic undrained response of  
321 Tuhua silt was analysed by plotting together all the tests performed (Fig. 5). As expected,  
322 the initial stiffness and peak strength increased with effective consolidation pressure (when  
323 comparing tests performed at similar relative densities). Furthermore, it was observed from  
324 Fig. 5 that Tuhua silt exhibited contractive behaviour within the range of relative densities  
325  $0.51 \leq Dr \leq 0.69$  which are considered to be within the medium-high to high density range.

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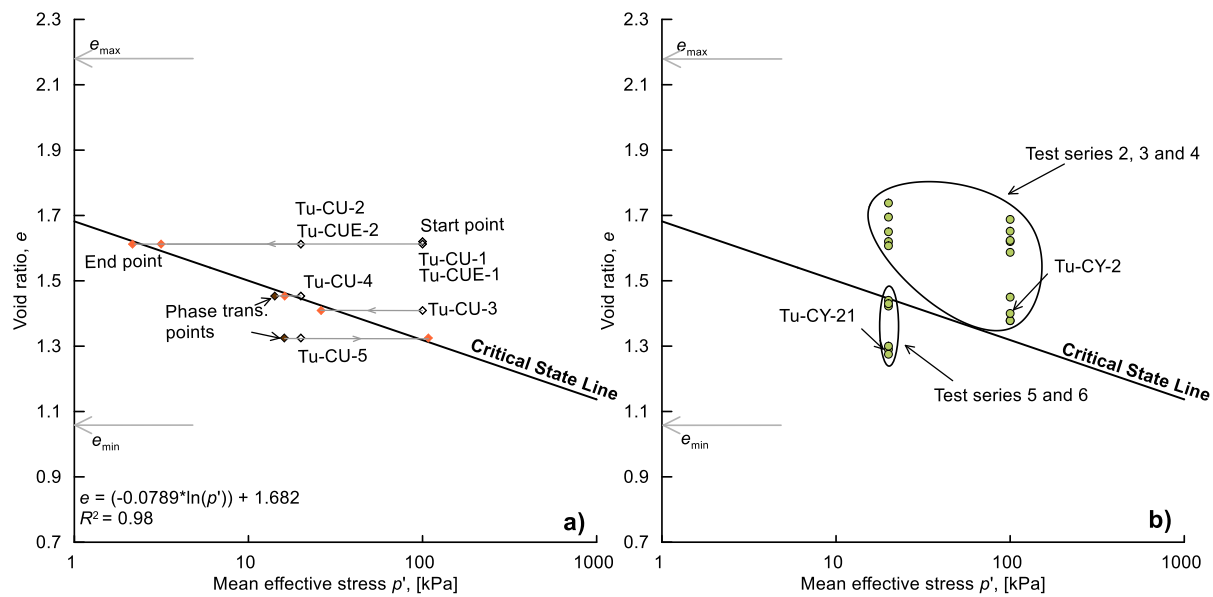
328 **Fig. 4** Monotonic undrained compression behaviour of Tuhua silt tested at 20 kPa effective  
 329 consolidation pressure. Results are presented by means of (a) stress-strain and (b) stress  
 330 paths relationships



331

332 **Fig. 5.** Monotonic undrained behaviour of Tuhua silt tested at 20 kPa and 100 kPa effective  
 333 consolidation pressure. Results are presented by means of (a) stress-strain and (b) stress  
 334 paths relationships

335 The results from the monotonic tests were used to obtain the critical state line for the  
 336 material (Fig. 6a). The start point, phase transformation point, and critical state point of  
 337 individual tests as well as the critical state line are presented in Fig. 6a in the  $e$ - $p'$  plane. The  
 338 minimum and maximum void ratios obtained for Tuhua silt (i.e., at zero mean effective  
 339 stress) are also plotted in the figure. It can be observed that the critical state line of Tuhua  
 340 silt is located approximately in the middle between its minimum and maximum void ratios  
 341 within the mean effective stress range 1 to 1000 kPa.



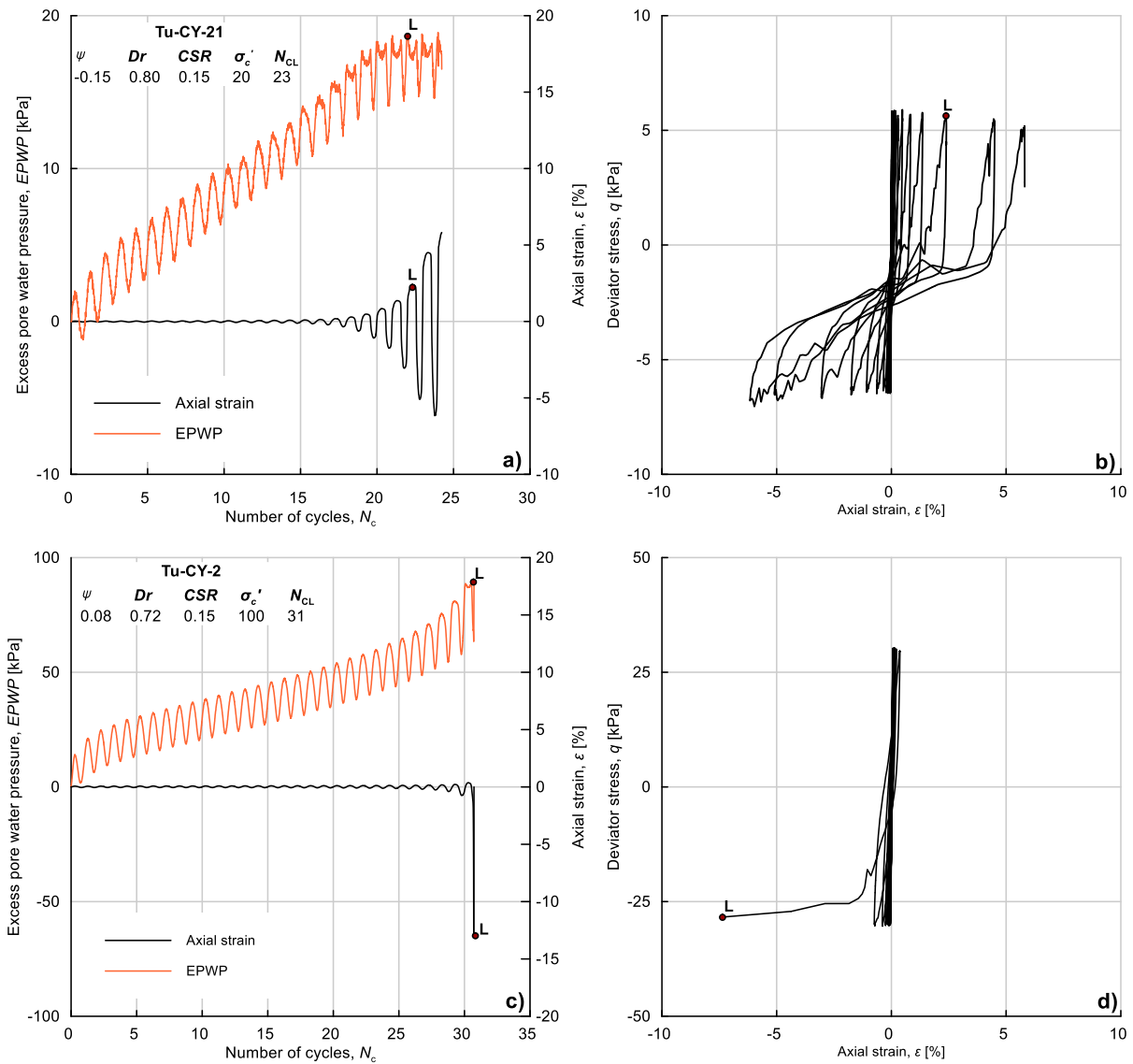
342

343 **Fig. 6.** (a) Critical state line (CSL) of Tuhua silt obtained from monotonic undrained triaxial  
 344 tests presented together with the stress paths from monotonic compression and extension  
 345 tests. White, black, and orange diamonds represent the start, phase transformation point,  
 346 and critical state point, respectively. (b) Initial states (green circles) of the cyclic undrained  
 347 triaxial tests presented together with the CSL of Tuhua silt

348 **4.2. Cyclic triaxial test results**

349 The Tuhua silt samples were found to commonly exhibit one of two types of cyclic undrained  
 350 behaviour: behaviour type 1, which was characterized by a gradual increase in axial strain  
 351 and EPWP in the last cycles before failure, and behaviour type 2, where a sudden response  
 352 was observed with axial strain development being restricted to the last cycle prior failure. In  
 353 Fig. 7, typical experimental results representing these two types of cyclic undrained  
 354 behaviour are presented.

355 The first test (Tu-CY-21), representing cyclic undrained behaviour type 1, exhibited small  
 356 axial strain for the first ~15 loading cycles, and then started to develop a gradual and steady  
 357 increase in axial strain amplitude as it approached failure at 23 cycles (considering both axial  
 358 strain and EPWP thresholds) (Fig. 7a). The EPWP increased in a linear manner with the  
 359 loading cycles, reaching a value of around 0.95 of the initial effective consolidation pressure  
 360 at failure (liquefaction). The gradual development of the liquefaction of this test under cyclic  
 361 undrained testing can also be observed by considering its deviator stress-axial strain  
 362 hysteresis response (Fig. 7b), where the slopes of the hysteresis loops started to gradually  
 363 decrease in the last cycles.



364

365 **Fig. 7.** Two typical examples of developments of axial strain and excess pore water  
366 pressure (left panels) and stress-strain curves (right panels). Tuhua silt samples tested in  
367 this study could be classified as exhibiting either (1) a gradual increase in axial strain and  
368 excess pore water pressure until failure – behaviour type 1 (a and b); or (2) a sudden axial  
369 strain development in the last cycle – behaviour type 2 (c and d). Note the letter “L” in the  
370 figure stands for liquefaction (initiation)

371 In the second example (Tu-CY-2), representing cyclic undrained behaviour type 2, the axial  
372 strain remained small for the most part of the cyclic loading, with significant axial strain  
373 developing only within the last loading cycles prior to liquefaction (Fig. 7c). Moreover, unlike  
374 the case with the behaviour type 1 tests where the accumulation of the EPWP was at a  
375 steady rate throughout the test, the EPWP in this case started off with a smaller increase in  
376 rate and then increased more rapidly during the last half of the cyclic loading until  
377 liquefaction occurred after 31 loading cycles. This test exhibited a very narrow range of

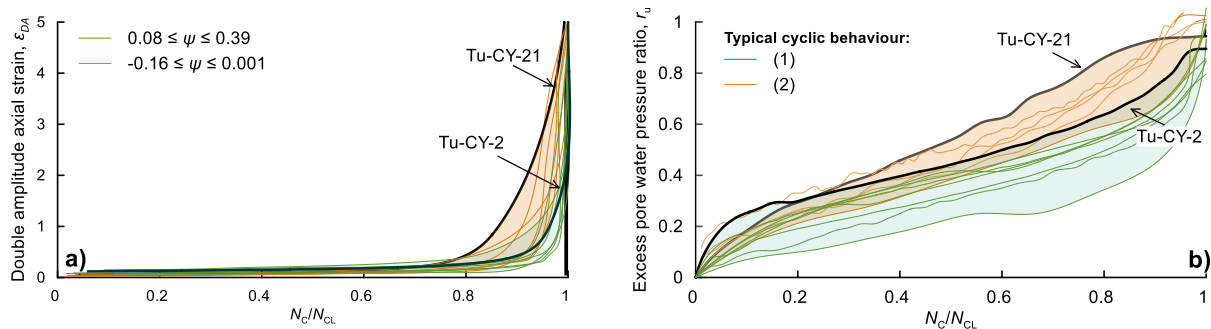
378 deviator stress-axial strain hysteresis loops slopes (indicating a constantly high stiffness),  
379 with the vast majority of axial strain occurring within the last loading cycle (Fig. 7d).

380 Both tests were performed on samples with relatively similar relative densities (i.e.,  $D_{r0f}$   
381 0.72 and 0.80). Furthermore, both samples were tested at the same cyclic stress ratio (0.15),  
382 achieved failure after a similar number of loading cycles, but were consolidated under  
383 different effective consolidation pressures. Because of the effective consolidation pressures  
384 used in the present study (i.e., 20 and 100 kPa), it is more appropriate to present the cyclic  
385 undrained results using their state parameters [50] rather than using void ratios or relative  
386 densities. The state parameter,  $\psi$ , is the difference between a given void ratio,  $e$ , and the  
387 corresponding void ratio of the steady state line,  $e_{ss}$ , for the effective consolidation stress of  
388 interest, and it incorporates the combined effect of both the void ratio and the effective  
389 consolidation stress [50]. The state parameters of all of the cyclic tests are given in Table 2.  
390 The cyclic tests are plotted against the critical state line in the  $e-p'$  plane in Fig. 6b.

391 The results by means of the development of the double amplitude axial strain, the excess  
392 pore water pressure, and the normalized number of loading cycles ( $N_C/N_{CL}$ ) for all the cyclic  
393 tests performed in this study are presented in Fig. 8.

394 The samples tested in series 5 and 6 (i.e. with effective consolidation pressures of 20kPa  
395 and medium-dense to dense relative densities) showed negative state parameters of  $-0.16 \leq$   
396  $\psi \leq 0.001$  (Table 2, Fig. 6b), and exhibited cyclic undrained responses that were  
397 characterized by a gradual increase in EPWP and a sudden axial strain increase at the  
398 moment of failure (i.e., cyclic undrained behaviour type 1). The remaining cyclic tests (series  
399 2, 3, and 4), having positive state parameters of  $0.08 \leq \psi \leq 0.39$ , showed a more stable  
400 cyclic undrained response (i.e., cyclic undrained behaviour type 2).

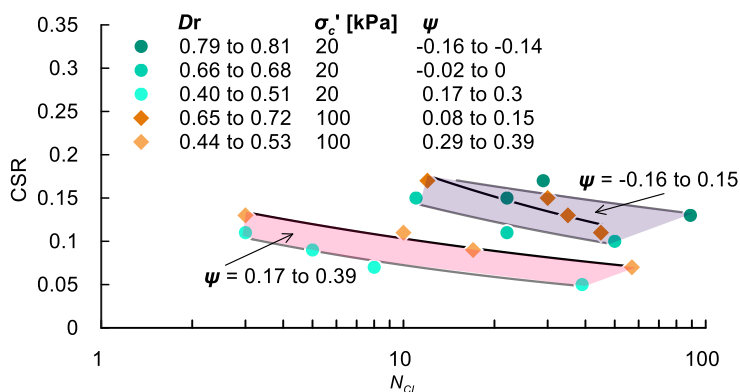
401 Finally, the cyclic resistance ratio (CRR) data points (i.e., liquefaction resistance) for all the  
402 cyclic tests as well as their corresponding liquefaction resistance curves were obtained. In all  
403 the tests, failure occurred in a simultaneous manner, with both the double axial strain  
404 amplitude,  $\epsilon_{da}$ , exceeding 5 % and the pore water pressure ratio,  $r_u$ , reaching 0.9 in the  
405 same loading cycle. The liquefaction resistance curves were obtained using power functions  
406 in the shape of  $CSR = aN_{CL}^{-b}$ , and reflect the variations in relative density, effective  
407 consolidation pressure, and state parameter (Table 2, Fig. 9). Figure 9 illustrates that the  
408 liquefaction resistance of Tuhua silt generally increased with relative density and  
409 consolidation pressure. In relation to the state parameter, higher values of the state  
410 parameter indicate lower resistance of liquefaction.



411

412 **Fig. 8.** Cyclic undrained behaviour of Tuhua silt presented by means of (a) double amplitude  
 413 axial strain and (b) excess pore water pressure both being plotted vs. the normalized  
 414 number of cycles. Green and orange shadings represent the range observed for behaviour  
 415 types 1 and 2 respectively. Bold lines represent the two typical examples presented in Fig 7.

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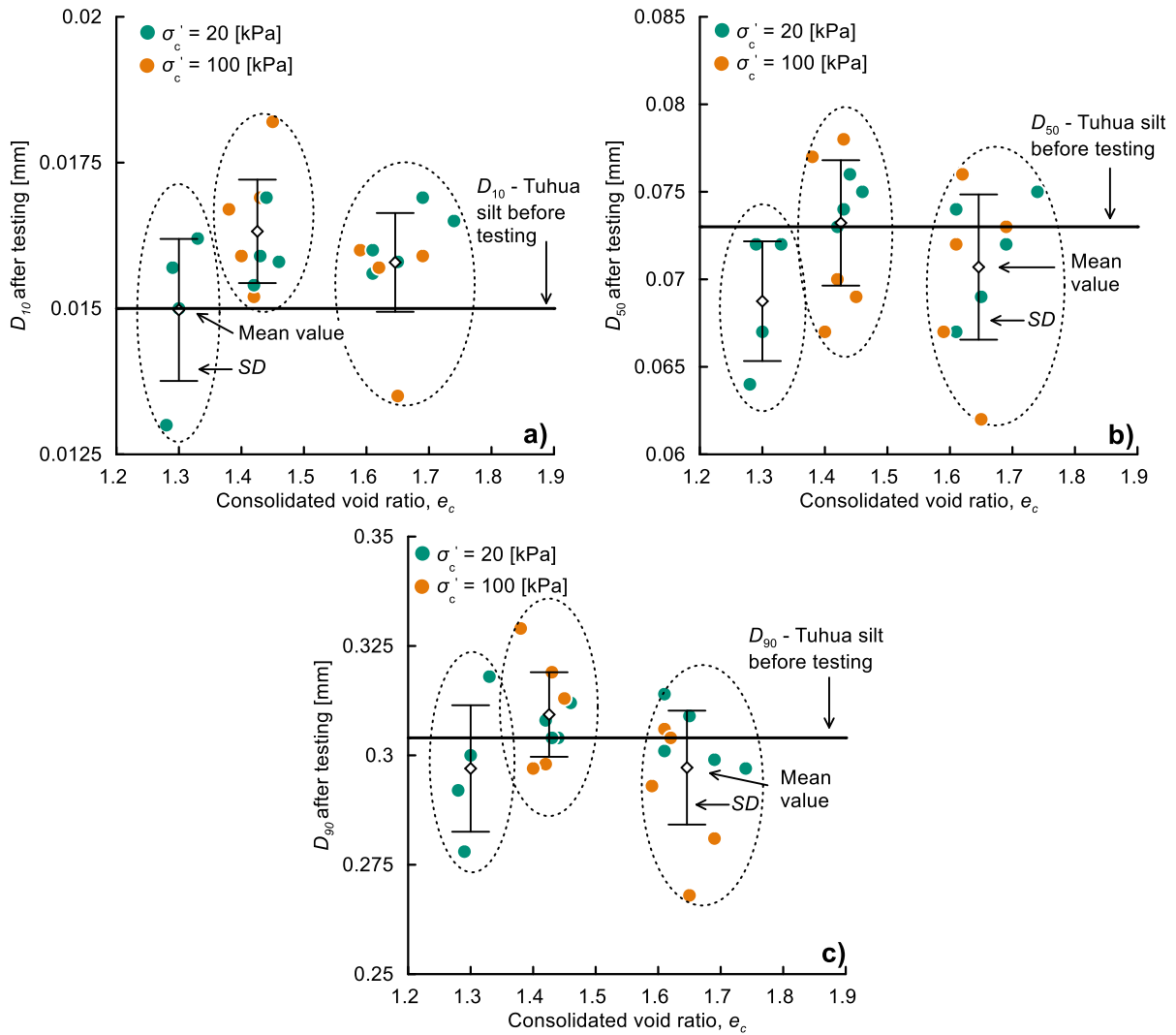
418 **Fig. 9.** Liquefaction resistance curves of Tuhua silt tested at three different relative densities  
 419 and two effective consolidation pressures, with their associated values of the state  
 420 parameter. Shaded areas indicate two clusters around curves which are associated with  $\psi =$   
 421  $-0.16$  to  $0.15$  and  $\psi = 0.17$  to  $0.39$ , respectively

### 422 4.3. Particle crushing

423 Considering the fact that the non-pumiceous particles in the Tuhua silt are defined to be the  
 424 pumice fragments that no longer contain internal voids, thus are considered to be less  
 425 crushable (although not exactly hard-grained), the potential particle crushing due to sample  
 426 reconstitution, consolidation, and shearing during triaxial testing was analysed by quantifying  
 427 the changes in grain-size parameters and pumice contents in the samples after testing. The  
 428 assumption here is that if particle crushing occurred, the number of pumiceous particles  
 429 would have decreased and the number of non-pumiceous particles (glass shards) would  
 430 have increased.

431 The  $D_{10}$ ,  $D_{50}$ , and  $D_{90}$  grain-size parameters measured after sample reconstitution and  
 432 triaxial testing were plotted for all samples with respect to their built-in consolidated void  
 433 ratios and effective consolidation stresses (Fig. 10). By observing the mean values and their  
 434 standard deviations presented in Fig. 10, it is evident that (1) the effective consolidation

435 pressure used in this study did not significantly affect the amount of particle crushing, and (2)  
 436 the pre-testing median grain size generally lies within  $\pm 1$  standard deviation (SD) derived  
 437 from the post-testing samples for most of the test conditions. Based on this, it can be  
 438 inferred that there is no meaningful change in the grain-size distribution after testing, thus,  
 439 no particle crushing occurred.

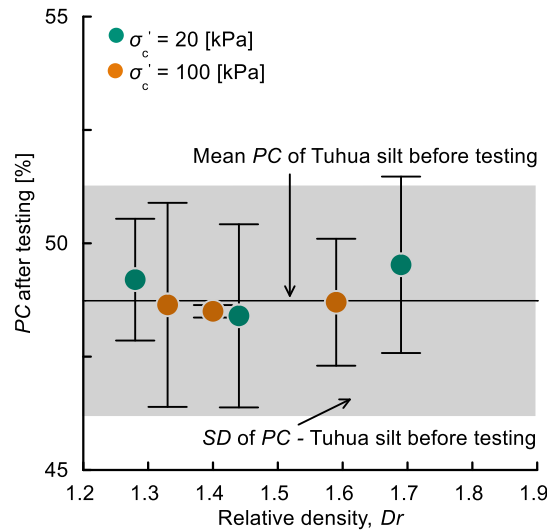


440

441 **Fig. 10.** Change in grain-size parameters (a)  $D_{10}$ , (b)  $D_{50}$ , and (c)  $D_{90}$  due to cyclic and  
 442 monotonic triaxial tests of Tuhua silt

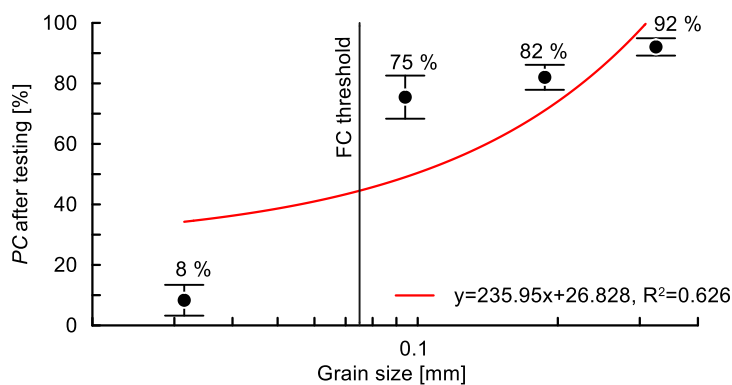
443 The second approach used SEM images of the soil particles from selected tests within a  
 444 range of relative densities (1.28–1.69) for both effective consolidation pressures (20 and 100  
 445 kPa), from which the pumice contents were defined before and after testing. The hypothesis  
 446 with this approach is that if the pumice content did not change, no particle crushing occurred.  
 447 The five tests that were selected over a range of void ratios (relative densities) for both  
 448 effective consolidation pressures are Tu-CU-5, Tu-CY-10, Tu-CY-6, Tu-CY-14 and Tu-CY-22  
 449 (Table 2). The mean pumice content per fraction for all of the selected samples is presented  
 450 in Fig. 11. The mean pumice content determined before testing (grey area in Fig. 11 denotes

451 one SD) falls within one SD derived from the post-testing samples, suggesting no  
 452 meaningful change in the pumice content, hence no particle crushing. The mean pumice  
 453 contents per fraction, for the selected samples are presented in Fig. 12. These results show  
 454 that the majority of the pumiceous particles are in the coarse particle range, above the fines  
 455 content threshold (0.075 mm). Within the smallest fraction, the fines particles are mostly  
 456 glass shards that are not particularly hard-grained but do lack the vesicularity that makes up  
 457 for the lightweight and crushable characteristics of pumiceous particles.



458  
 459 **Fig. 11.** Pumice contents after monotonic and cyclic triaxial testing for different relative  
 460 densities

461 The results from both approaches that studied the potential particle crushing of the Tuhua  
 462 silt indicate that no particle crushing occurred as a result of the testing and/or sample  
 463 preparation method.



464  
 465 **Fig. 12.** Pumice contents of the four grain size fractions ( $< 0.063$  mm,  $0.063-0.125$  mm,  
 466  $0.125-0.25$  mm and  $> 0.25$  mm) after monotonic and cyclic triaxial testing

467

## 5. Interpretation of results and discussion

468

This section discusses the previously presented results by comparing the critical state line, the cyclic response, and the liquefaction resistance of Tuhua silt to these properties for other sandy and silty materials from literature, both pumiceous and hard-grained. For the interpretation, the results from the particle crushing analysis were considered as well.

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### 5.1. Critical state line

473

The critical state line (or steady state line) is defined as the locus of points that describe the relationship between the void ratio and the effective confining stress in the steady state of deformation [4,51]. As a unique line that describes a particular soil, it has been used as a tool to define single physical parameters that describe sand behaviour, such as the state parameter [50] or the modified state parameter [52]. The critical state framework is well established and confirmed for hard-grained soils, yet its applicability to crushable pumiceous sands is still subject to investigation. In their study, Orense et al. [12], performed monotonic undrained tests on pure pumiceous sand samples, and found that they could not reach the steady state of deformation. They hypothesised that the breakage of particles resulted in a resistant soil structure that did not exhibit deformations at constant shear stress. De Cristofaro et al. [10], on the other hand, undertook tests on two pumiceous sands (Rangiriri and Cervinara sand). They observed contractive behaviour under a range of void ratios where they were able to obtain the corresponding critical state lines (CSL). De Cristofaro et al. compared their results with those obtained on Toyoura sand and noted differences in the positioning as well as the slopes of their critical state lines. They inferred that, as the steady-state condition represents the final state of the triaxial tests, corresponding to relatively high axial strains of ~15% where particle breakage would have occurred if the material were crushable, the CSL is a good indicator of the nature of the particles (pumiceous or not) and possible particle crushing. The hypothesised effect of the crushability of the soil material on the slope and relative positioning of the CSL was not clearly discussed by Cristofaro et al. [15]. Kramer stated that the vertical location of the CSL is influenced by gradation, whereas the slope is sensitive to the particle shape, where soils with rounded particles usually have flat CSLs [4].

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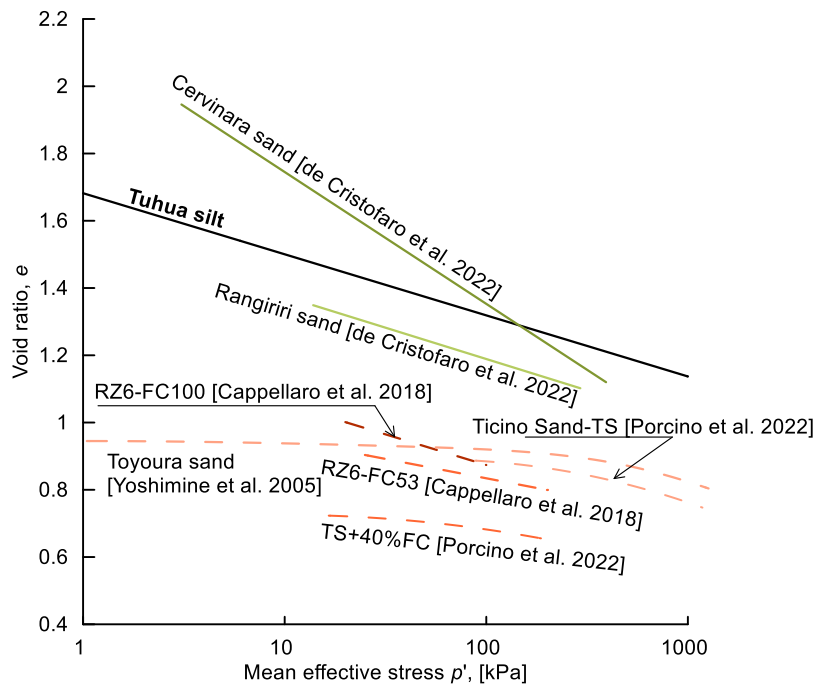
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497 **Fig. 13.** Critical state lines of Tuhua silt and of representative hard-grained soils and  
 498 pumiceous sands from literature

499 As pumiceous sandy silts have not been studied in the context of the critical state framework  
 500 before, and considering the findings of Cristofaro et al. [15] and Kramer [4], an attempt to  
 501 discuss the positioning and slope of the CSL of Tuhua silt against other relevant CSLs from  
 502 the literature was made. The selected materials from published literature are presented in  
 503 Table 3 (Materials 1 to 7).

504 Table 3. Geotechnical properties of selected materials from literature

	Material	Ref ere nce	Soil Classific ation <sup>1</sup>	<b>G<sub>s</sub></b>	<b>D<sub>50</sub></b> [mm]	<b>FC</b> [%]	<b>PC</b> [%]	Testing apparatus
1	Rangiriri sand	[10]	SP	2.54	0.20	13	39	Triaxial
2	Cervinara sand	[10]	SP	2.58	0.40-0.60	10-20	n/a	Triaxial
3	Toyouira sand	[53]	SP	2.65	0.18	0	0	Triaxial
4	TS – Ticino sand	[42]	SP	2.68	0.56	0	0	Triaxial
5	TS 40% FC	[42]	SM	2.68	0.4	40	0	Triaxial
6	RZ6-FC53	[55]	ML	2.69	0.071	53	0	Direct simple shear
7	RZ6-FC100	[55]	ML	2.63	0.026	100	0	Direct simple shear
8	Pumice sand A	[12]	SP	1.95	1.15	2	~100	Triaxial
9	Pumice sand C	[12]	SM	2.38	0.07	52	~100	Triaxial
10	Shirasu Sand	[11]	SM	2.49	0.15	30	n/a	Triaxial
11	PAR	[14]	SM	2.51	0.15	30	n/a	Triaxial
12	FBM-30	[64]	SM	n/a	0.11	30	0	Triaxial

<sup>1</sup>Following the Unified Soil Classification System (USCS); n/a = not available

505

506

508 Fig. 13 shows the CSL of Tuhua silt as well as the for two natural pumiceous sands, one  
509 from New Zealand (Rangiriri sand) and the second from Italy (Cervinara sand) [15], the hard-  
510 grained representatives for sand (Toyoura and Ticino clean sands and Ticino sand with 40%  
511 fines content (FC)) [53,54] and non-plastic silts from Christchurch, New Zealand (with FC of  
512 53% (RZ6-FC53) and 100% (RZ6-FC100)) [55]. The silt material tested in our study plots in  
513 between the CSLs of the two pumiceous sands (Rangiriri sand and Cervinara sand), and  
514 well above those of the hard-grained Toyoura and Ticino sand as well as the hard-grained  
515 silts. The gradation of soils [4] would be a relevant parameter when comparing the vertical  
516 position of the CSLs of different hard-grained soils. A more relevant parameter that would  
517 partially explain the positioning of the CSL of the pumiceous Tuhua silt in relation to the  
518 other CSLs (those of hard-grained soils included) with confidence, is the lightweight of the  
519 silt, a result of its vesicular properties. If we consider that Tuhua silt is a material that  
520 contains ~48% pumice particles, its CSL, being closely positioned to that of the pumice  
521 sands is reasonable and expected, because the void ratio range [56] of Tuhua silt is closer  
522 to the void ratio ranges of other pumiceous materials. When analysing the different slopes of  
523 the CSLs in Fig. 13, one would have to study the combined effect of particle shape and  
524 crushability [4,15]. Considering comments from both Cristofaro et al. and Kramer' [4,15], the  
525 authors hypothesised the following: particle breakage in soil particles (pumiceous or not)  
526 leads to less spherical, more angular soil particles. That would result in the original soil (with  
527 more rounded particles) having a flatter CSL than the final soil mixture (after the particle  
528 breakage). In case of a pumiceous soil, the loss of internal voids, thus lightweight properties  
529 of the vesicular particles, because of particle breakage, would also lead to a vertical offset of  
530 the CSL of the final soil mixture.

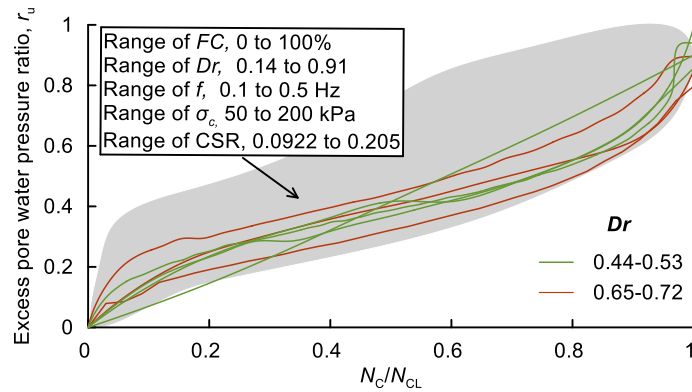
531 The pumiceous silt in this study was proven not crushable (Section 4.3) and therefore the  
532 slope can be discussed based on the particle shape alone. Asadi et al. [39] compared the  
533 particle shapes of Toyoura sand and a natural pumice sand from the central North Island of  
534 New Zealand, and found the pumiceous particles to be ~five times more angular than the  
535 particles of Toyoura sand particles. Considering the particle shape indices of Toyoura sand  
536 according to the Asadi et al. [39], which are  $R_c = 1.258$ ,  $A_r = 1.483$  and,  $A_c = 0.179$  and the  
537 particle shape indices for Tuhua silt (Table 1), we conclude that the particles of Tuhua silt  
538 are ~45% more ellipsoidal, and close to eight times more angular than Toyoura sand  
539 particles. Thus, the different slopes of the CSLs of Toyoura sand and Tuhua silt, are in  
540 accordance with the findings in Kramer [4], in other words, the more angular nature of the  
541 particles of Tuhua silt compared to the particles of Toyoura sand, has resulted in a higher  
542 slope of the CSL.

## 543 5.2. Cyclic undrained response and liquefaction resistance

544 Whether or not cyclic liquefaction is initiated in the conventional sense ( $r_u = u/\sigma_c'$  reached 0.9),  
545 an analysis of the excess pore pressure as well as the axial strain development in soil during  
546 cyclic loading is of a particular interest to researchers as it provides additional insights about  
547 the loss of stiffness and strength during the loading. Clean hard-grained sands and sand-silt  
548 mixtures have received solid attention by such means in the past 50 years [57–59]. Dash  
549 and Sitharam [60] analysed the undrained excess pore pressure development of a large  
550 number of hard-grained sand-silt mixtures (Fig. 14). They tested, under a vast range of  
551 relative densities ( $0.14 \leq Dr \leq 0.91$ ), loading frequencies ( $0.1 \leq f \leq 0.5$  Hz), consolidation  
552 pressures ( $50 \leq \sigma_c' \leq 200$  kPa) and critical stress ratio ( $0.0922 \leq CSR \leq 0.205$ ). Even though  
553 they discussed trends by means of looking at a single parameter, for example, the effect of  
554 fines or relative density, they reported that all the curves generated by plotting the excess  
555 pore water pressure response against the corresponding cycle ratio fell within a relatively  
556 narrow band.

557 For pumiceous sands, the cyclic undrained response by means of excess pore water  
558 pressure and axial strain development until failure has provided insight for interpreting the  
559 liquefaction resistance of crushable sands compared with that of hard-grained sands [13,15].  
560 For example, Asadi et al. [13] found that for the same relative density and effective  
561 consolidation pressure, the response of the pumiceous sand was much more stable and had  
562 a gradual failure mechanism compared with that of the hard-grained Toyoura sand, for which  
563 the onset of failure was sudden (Fig. 15.). The main reason for this difference was  
564 considered to be the subsequent particle crushing in the pumiceous sand that led to a linear  
565 increase in the double amplitude strain and a significant increase in EPWP during the first  
566 cycles. This increase was more pronounced for dense samples, whereby the samples  
567 underwent higher initial deformations and higher EPWP, indicating that the relative density  
568 played a bigger role in the undrained cyclic behaviour for pumice sands than for hard-  
569 grained sands.

570 The cyclic undrained response of the Tuhua silt samples consolidated under 100 kPa for two  
571 relative densities (0.44-0.53 and 0.65-0.72) was plotted and compared with the response  
572 from the hard-grained sand-silt mixtures from Dash and Sitharam's [60] study and that of the  
573 pumiceous sand and Toyoura sand used in the study by Asadi et al. [8] (Figs. 14 and 15).  
574 Note that the studies of both Dash and Sitharam and Asadi et al. were based on tests  
575 performed in a triaxial apparatus.

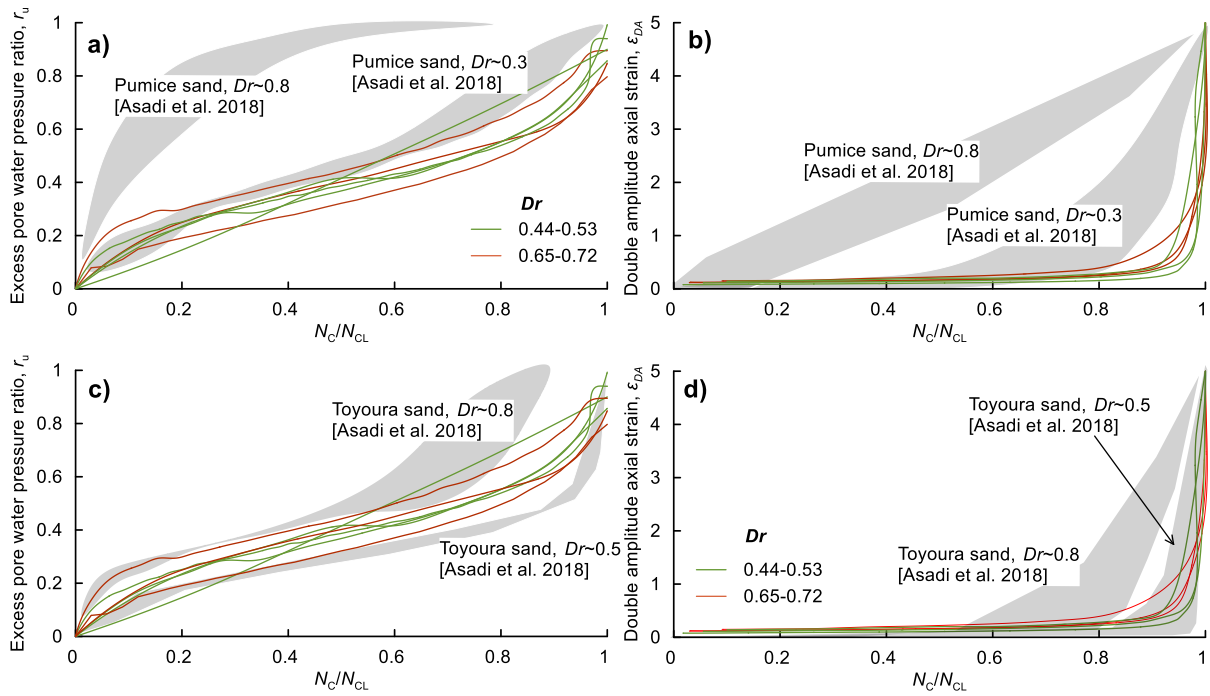


576

577 **Fig. 14.** Excess pore water pressure accumulation against normalized number of cycles for  
 578 Tuhua silt ( $\sigma'_c = 100$  kPa), plotted together with a database for the same parameters for  
 579 hard-grained soil mixtures published by Dash and Sitharam [60]

580 By observing Fig. 14, we noticed that the results for the EPWP development during cyclic  
 581 testing of Tuhua silt fall perfectly into the range established by Dash and Sitharam [46].

582 Fig. 15 (a) shows that for the dense pumice sand ( $Dr \approx 0.8$ ), the pore water pressure  
 583 reached high values very quickly after loading and at small strains, and the double amplitude  
 584 axial strain (Fig. 15 (b)) has a generally linear increase throughout the testing until failure.  
 585 For the looser samples ( $Dr \approx 0.3$ ), EPWP accumulated in a steadier manner, whereas the  
 586 double amplitude axial strain started increasing in the later stage of the test when the  
 587 corresponding EPWP ratio  $r_u$  was around 0.5. Fig. 15 (c) and (d) show the results for  
 588 Toyoura sand for two densities, where it is evident that the dense samples ( $Dr \approx 0.8$ )  
 589 exhibited a more gradual increase in both the pore water pressure and the axial strain,  
 590 whereas the looser ones (medium-density  $Dr \approx 0.5$ ) tend to have their axial strains and  
 591 EPWP develop in the last cycles of failure. By analysing Fig. 15, we observed that the effect  
 592 of relative density is evident in both the pumiceous sand and the Toyoura sand, with the  
 593 effect being more pronounced for the pumice sand where the differences in the undrained  
 594 response are more significant. In contrast, the relative density did not seem to have a clear  
 595 effect on the EPWP or axial strain development under cyclic loading of the Tuhua silt  
 596 samples. However, if we consider the results for Tuhua silt presented in Fig. 8 and in Section  
 597 3.3, we observed the discussed trend based on the state parameter. In other words,  
 598 samples with a negative state parameter showed a more gradual development of axial strain  
 599 and EPWP compared to that of the samples with a positive state parameter.

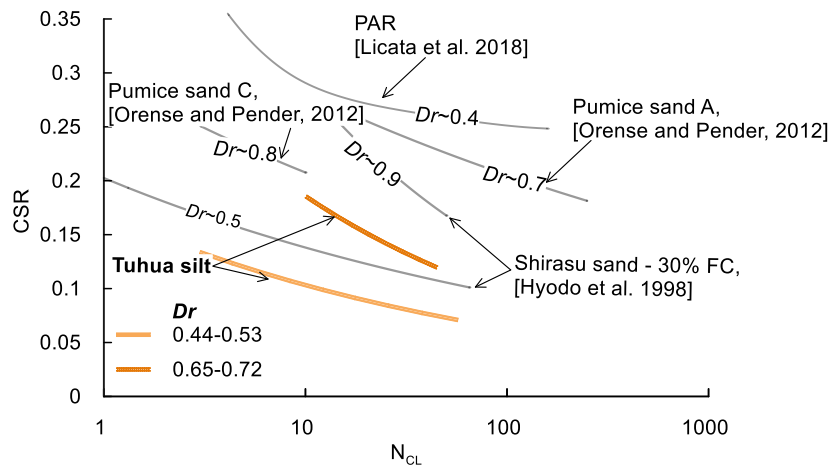


600

601 **Fig. 15.** (a) and (c). Excess pore water pressure ratio against normalized number of cycles  
 602 for Tuhua silt against pumiceous sand and hard-grained Toyoura sand, respectively ( $\sigma'_c =$   
 603 100 kPa), (b) and (d) double axial strain accumulation against normalized number of cycles  
 604 for Tuhua silt against pumiceous sand and Toyoura sand, respectively ( $\sigma'_c = 100$  kPa)

605 The liquefaction resistance is known to be dependent on relative density, effective  
 606 consolidation pressure, fines content, particle shape, grain size and grain-size distribution of  
 607 the material, sample reconstitution method, etc [61]. Given that the type of material tested in  
 608 this study has not been tested before, we plotted its resistance against a few materials  
 609 chosen from published literature. The materials used for comparison and their geotechnical  
 610 properties are given in Table 3 (Materials 8 to 12).

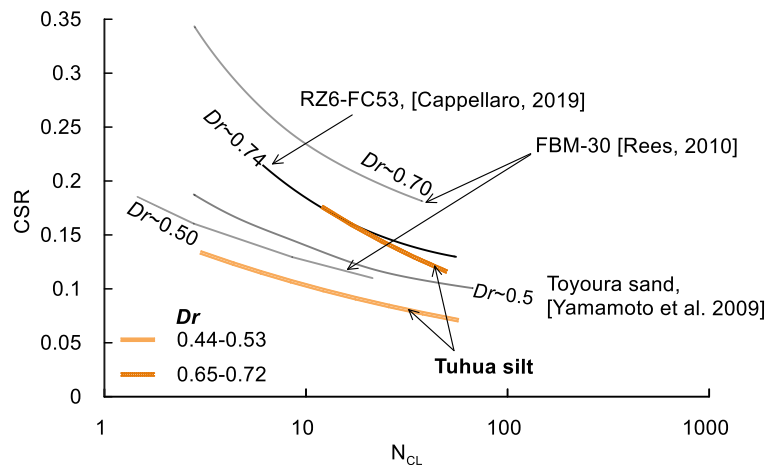
611 The liquefaction resistance of the Tuhua silt for the 100 kPa consolidation stress is plotted  
 612 against selected representative pumiceous and hard-grained soils from literature in Figs. 16  
 613 and 17, respectively. By analysing the curves in Fig. 16 first, we saw that the dense samples  
 614 of Tuhua silt ( $0.65 \leq Dr \leq 0.77$ ) show lower resistance to liquefaction than the pure pumice  
 615 sand (Pumice sand A [12]  $Dr \approx 0.7$ ), tested at a similar relative density. The medium-dense  
 616 Tuhua silt samples  $0.44 \leq Dr \leq 0.53$ ), when compared to the Shirasu sand (pumiceous sand  
 617 with 30% FC, [11]  $Dr \approx 0.5$ ) and the PAR sand (pumiceous sand with 30%FC, [14]  $Dr \approx 0.4$ ),  
 618 show lower resistance as well. The pumice sand with 50% FC from Orense and Pender's  
 619 study [62] also shows higher liquefaction resistance in general.



620

621 **Fig. 16.** Liquefaction resistance curves of Tuhua silt and relevant pumiceous soil sands ( $\sigma'_c = 100$  kPa)  
 622

623 The Tuhua silt in this study generally shows a lower resistance when compared with hard-  
 624 grained sands as well (Fig. 17). For the lower density ( $Dr = 0.44-0.53$ ), Tuhua silt has lower  
 625 resistance than Toyoura sand ( $Dr \approx 0.5$ ) [63], and the FBM-30 (30%FC sand, [64]  $Dr \approx 0.5$ ).  
 626 The same is noted for the higher density ( $0.65 \leq Dr \leq 0.72$ ), where Tuhua silt has lower  
 627 resistance than FBM-30 (30%FC sand, [64]  $Dr \approx 0.7$ ). An interesting observation is made  
 628 when the liquefaction resistance curves from the higher density Tuhua silt samples are  
 629 compared with those for the hard-grained silt RZ6-FC53 (53%FC, [55],  $Dr \approx 0.74$ ): the  
 630 resistance of the Tuhua silt essentially matches that of the hard-grained sandy silt from  
 631 Cappellaro's study.



632

633 **Fig. 17.** Liquefaction resistance curves of Tuhua silt and relevant hard-grained sands ( $\sigma'_c = 100$  kPa)  
 634

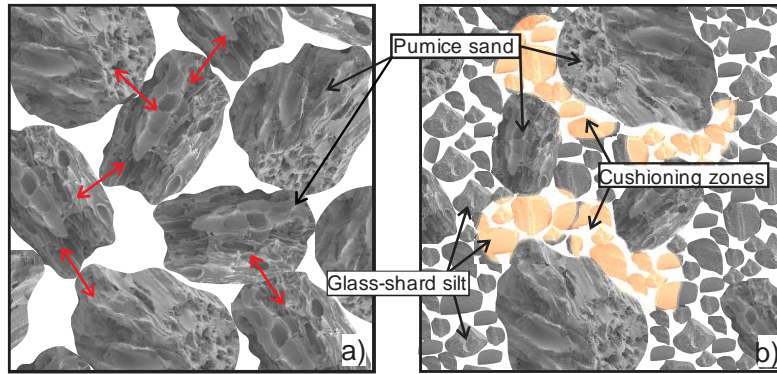
635 In summary the pumiceous Tuhua silt: (1) does not seem to fit into the observed trends for  
 636 EPWP and axial strain development reported for pumiceous sand; (2) lies within the narrow  
 637 band of a large database of hard-grained soils for the EPWP development during cyclic  
 638 undrained testing; and (3) has a relatively lower liquefaction resistance than pumice and

639 hard-grained sands, but comes remarkably close to the resistance of the hard-grained silt  
640 with the same amount of fines.

641 Concise and conclusive reasoning behind the mentioned findings requires a unified testing  
642 campaign where testing uncertainties would be eliminated so that one parameter at a time  
643 could be evaluated. Acknowledging all the parameters that influence the liquefaction  
644 resistance and cyclic undrained behaviour of soils, this next section will focus on  
645 commenting on the possible influence of the pumiceous properties (i.e. pumice content and  
646 potential particle crushing) of the materials and the fines content.

647 Firstly, we examined the possible influence of the pumice content of the soils. Considering  
648 the fact that the pumiceous particles are prone to particle crushing and have therefore been  
649 suggested to be the main contributor to the higher liquefaction resistance of pumiceous  
650 sands [13], it is questionable why Tuhua silt (comprising a considerable amount of  
651 pumiceous particles in all grain size fractions) shows lower resistance. One explanation is  
652 that it has a moderate pumice content of 48% (compared with the ~100% pumice content in  
653 the pure pumiceous sands). In this regard, previous research on the influence of the pumice  
654 content in the liquefaction resistance of undisturbed samples found that major changes in  
655 the cyclic resistance of pumice-bearing sands were evident at relatively low proportions of  
656 pumice (i.e., 0% to 30%) in the soil mixture [65]. This finding indicates that pumice content  
657 alone might not be enough to describe the behaviour and resistance of pumiceous soil  
658 mixtures. Hence, when it comes to pumiceous properties, the next parameter to analyse was  
659 the level of particle crushing. As presented in the results section, the Tuhua silt material did  
660 not show any signs of particle crushing consequent upon sample reconstitution and triaxial  
661 testing. The fact that no particle crushing occurred in the material (within the frame of testing  
662 conditions used in the study), even with the pumice content of nearly 50%, might be the  
663 reason why Tuhua silt, in general, compares more closely with hard-grained soils than with  
664 pumiceous sands. In the context of the fines content, Hardin [66] introduced a potential for  
665 particle breakage that integrates individual fractions or grain sizes larger than 0.074 mm. He  
666 hypothesised that, the fines fraction particles are less susceptible to breakage under the  
667 same level of stresses that would cause particle crushing in coarse particles (sands and  
668 gravels), and therefore considered the potential of breakage of fines, zero. If we consider  
669 that the fines portion of Tuhua silt (particles < 0.075 mm) comprises less than 8% pumice  
670 content (Fig. 12), whereas the pumice content of the coarse particles is ~80%, we can  
671 hypothesise that the coarse particles are more susceptible to crushing than the fines.  
672 Considering the ratio of fines vs. coarse particles is almost 1:1, we assume that the fines are  
673 dominant in the soil matrix and are acting as a cushion that prevents the coarse particles  
674 from breaking during the tamping method as well as during the undrained loading from the

675 testing equipment (Fig. 18). In order to evaluate the threshold of fines content of the soil  
676 skeleton of the Tuhua tephra, where the role of the coarse grains becomes secondary and  
677 the fine grains govern the behaviour [67], a testing campaign that focuses on different fines  
678 contents in the Tuhua tephra is needed.



679

680 **Fig. 18.** Sketch of (a) pure poorly graded pumice sand and (b) sandy silt containing both  
681 pumiceous sand particles and silty glass-shard particles

682 Overall, the results from our study suggest that the absence of particle crushing in the Tuhua  
683 silt material during testing contributes to this material having an undrained response closer  
684 to that of hard-grained soils than to the response of pumiceous sands. These findings  
685 emphasize the possible influence of fines on the response of pumiceous soil mixtures and  
686 raise the question of whether the engineering empirical correlations (such as those based on  
687 SPT, CPT, etc.) that are considered unsuitable for pumice sands are applicable to pumice  
688 sand-silt mixtures and to what extent this applicability complicates the engineering practice  
689 when it comes to assessing the liquefaction potential of pumice soils. Furthermore, the  
690 results imply that not all pumiceous soil materials would be outside the established literature  
691 related to the development of paleoliquefaction features as well as methods for back-  
692 calculating past earthquakes based on paleoliquefaction evidence.

## 693 **6. Conclusions**

694 In this study, a natural tephra (volcanic ash) material, Tuhua silt (a distal mid-Holocene  
695 tephra layer preserved in lake sediments) with 51% fines content and 48% pumice content,  
696 sampled from the northern North Island of New Zealand, was subjected to a number of  
697 undrained triaxial tests performed at three different relative densities (medium to dense) and  
698 two different effective consolidation pressures (20 and 100 kPa). The findings from this study  
699 are summarized as follows:

- 700 • The pumiceous Tuhua silt exhibited a strain-softening response during monotonic  
701 testing even for medium-dense samples ( $Dr \approx 0.65$ ). A strain-hardening response  
702 was observed for a relative density of  $Dr \approx 0.77$ . The effect of the relative density,

703 was in accordance with the established trends for hard-grained soils but not for those  
704 for pumiceous sands. The effect of the effective consolidation pressure is in  
705 accordance with the established trends for both hard-grained soils and pumiceous  
706 sands.

707 • The vertical position of the critical state line of Tuhua silt against other critical state  
708 lines from the literature was reasonable and expected when we consider the  
709 lightweight properties of the material (the void ratio range of Tuhua silt is closer to the  
710 void ratio ranges of other pumiceous materials).

711 The high slope of the critical state line of Tuhua silt is a result of the high angularity of  
712 its particles.

713 • The cyclic undrained behaviour of Tuhua silt was dependent on the state parameter.  
714 For samples with a negative state parameter ( $-0.16 \leq \psi \leq 0.001$ ), a more gradual  
715 development of failure was observed compared to that for samples with a positive  
716 state parameter ( $0.08 \leq \psi \leq 0.39$ ). By means of the cyclic response, based on the  
717 observed excess pore water pressure and axial strain development, the tested  
718 material came closer to established trends more typical for those of hard-grained  
719 soils than for those of pumice sands.

720 • The liquefaction resistance curves of the tested material in our study were in  
721 accordance with the established trends by means of relative density i.e., higher  
722 density, resulted in higher resistance. Compared to that of both hard-grained soils  
723 and pumice sands, the liquefaction resistance of Tuhua silt is relatively low.

724 • Particle crushing was analysed by two methods, one that examined the change in the  
725 pumice content and the other investigated the change in the grain-size distribution of  
726 the sample before and after testing. The results from both methods indicated that no  
727 particle crushing occurred in the samples during testing.

728 • In general, the Tuhua silt material behaves closer to the established trends typical for  
729 hard-grained soils than to those for pumiceous sands. We infer that this conclusion is  
730 because the material did not undergo any significant particle crushing during sample  
731 reconstitution and/or testing, most probably because of a cushioning effect from the  
732 fines that prevented the coarse pumiceous particles from breaking.

### 733 **Authorship contribution statement**

734 **Jordanka Chaneva:** Investigation, Conceptualization, Methodology, Data curation, Writing –  
735 original draft. **Max O. Kluger:** Conceptualization, Methodology, Supervision, Writing -  
736 review and editing, Funding acquisition. **Vicki G. Moon:** Methodology, Supervision, Writing -  
737 review and editing, Funding acquisition. **David J. Lowe:** Supervision, Funding acquisition,

738 Writing - review and editing. **Rolando P. Orense:** Supervision, Writing - review and editing,  
739 Funding acquisition.

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752

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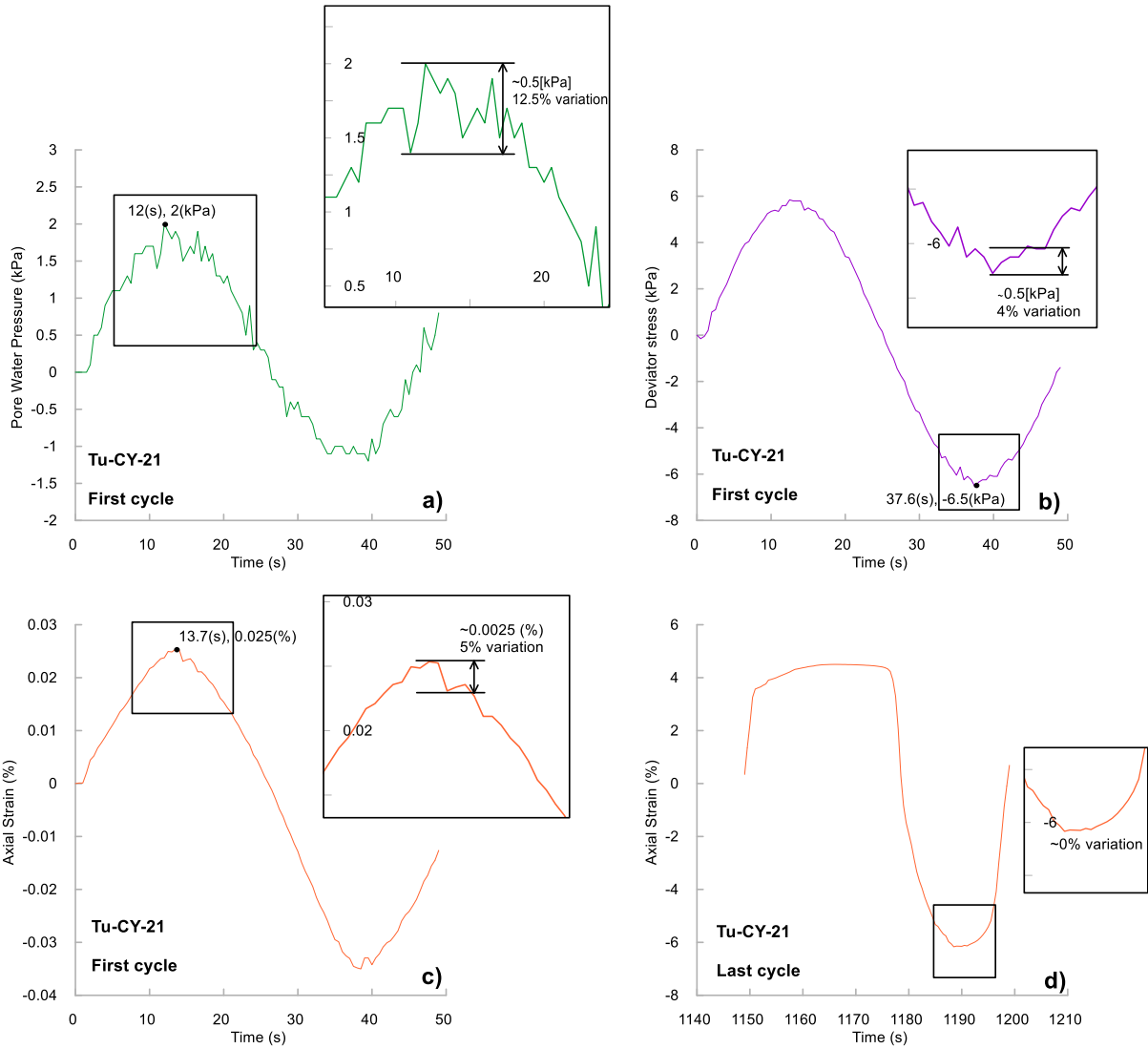
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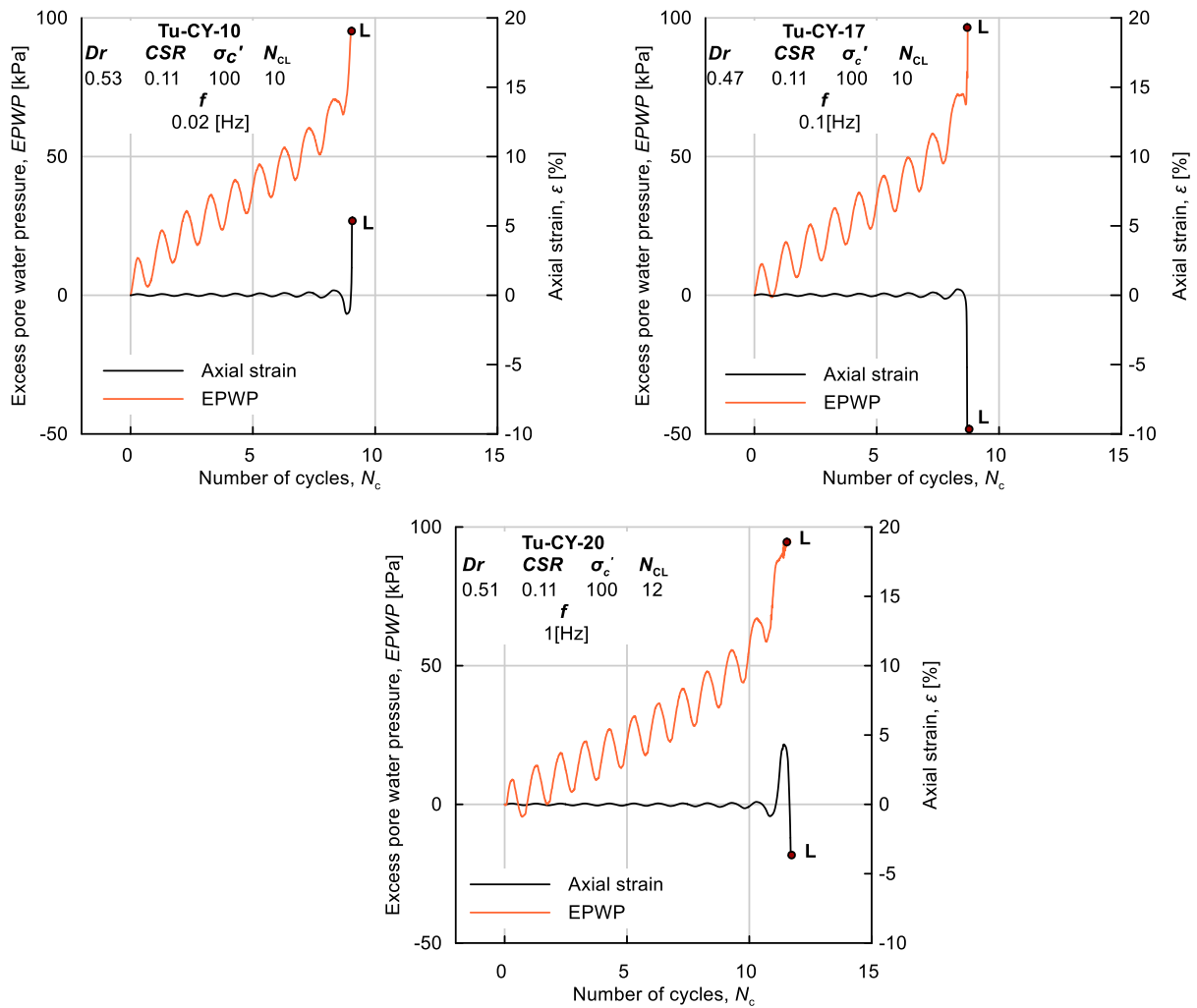
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919 **Fig. S1.** Pore water pressure (a), deviator stress (b) and axial strain (c) and (d) vs time plots  
920 for a single cycle (first or last) of test Tu-CY-21, showing the transducer oscillations in order  
921 to confirm the data quality of the tests



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923 **Fig. S2.** Developments of axial strain and excess pore water pressure for tests Tu-CY-10,  
 924 Tu-CY-17 and Tu-CY-20 that were tested under the similar conditions by means of relative  
 925 density, ( $D_r \approx 0.5$ ), effective consolidation stress ( $\sigma'_c = 100\text{kPa}$ ) and same cyclic stress ratio  
 926 ( $CSR = 0.11$ ) with a different loading frequency ( $f = 0.02, 0.1$  and  $1$  Hz respectively)

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938 Table S1. Void ratio and relative density, initial as well as consolidated values, from both  
 939 method (1) based on sample dimensions before testing and sample volume loss during  
 940 consolidation and method (2) based on the Verdugo and Ishihara [48] procedure

Test series	Type of test	Label	$e_i$	$Dr_i$	$e_{c1}$	$e_{c2}$	$Dr_{c1}$	$Dr_{c2}$	$e_c$	$Dr_c$
1	Monotonic	Tu-CU-1	1.80	0.34	1.61	0.51	n/a	n/a	1.61	0.51
	Monotonic	Tu-CU-3	1.51	0.60	1.41	0.69	1.42	0.68	1.42	0.69
	Monotonic	Tu-CU-2	1.80	0.34	1.61	0.51	n/a	n/a	1.61	0.51
	Monotonic	Tu-CU-4	1.53	0.58	1.45	0.65	1.46	0.64	1.46	0.65
	Monotonic	Tu-CU-5	1.39	0.71	1.31	0.78	1.34	0.75	1.33	0.77
	Monotonic	Tu-CUE-1	1.77	0.37	1.62	0.50	n/a	n/a	1.62	0.51
	Monotonic	Tu-CUE-2	1.76	0.38	1.62	0.51	1.62	0.50	1.62	0.50
2	Cyclic	Tu-CY-12	1.85	0.29	1.69	0.44	n/a	n/a	1.69	0.44
	Cyclic	Tu-CY-11	1.79	0.35	1.62	0.50	n/a	n/a	1.62	0.5
	Cyclic	Tu-CY-10	1.76	0.38	1.59	0.53	n/a	n/a	1.59	0.53
	Cyclic	Tu-CY-7	1.82	0.33	1.65	0.47	n/a	n/a	1.65	0.47
3	Cyclic	Tu-CY-4	/	/	n/a	n/a	1.45	0.65	1.45	0.65
	Cyclic	Tu-CY-5	1.48	0.63	1.38	0.72	1.49	0.62	1.43	0.67
	Cyclic	Tu-CY-2	1.45	0.65	1.38	0.72	n/a	n/a	1.38	0.72
	Cyclic	Tu-CY-6	1.49	0.62	1.39	0.71	1.40	0.70	1.40	0.7
4	Cyclic	Tu-CY-18	1.85	0.29	1.76	0.37	1.53	0.58	1.65	0.48
	Cyclic	Tu-CY-16	1.83	0.32	1.74	0.40	n/a	n/a	1.74	0.4
	Cyclic	Tu-CY-15	1.81	0.33	1.61	0.51	n/a	n/a	1.61	0.51
	Cyclic	Tu-CY-14	1.81	0.34	1.69	0.43	n/a	n/a	1.69	0.43
5	Cyclic	Tu-CY-19	1.49	0.61	1.42	0.68	n/a	n/a	1.42	0.68
	Cyclic	Tu-CY-13	1.52	0.59	1.44	0.66	1.44	0.67	1.44	0.66
	Cyclic	Tu-CY-8	1.34	0.76	1.45	0.65	1.42	0.68	1.43	0.67
6	Cyclic	Tu-CY-21	1.33	0.76	1.27	0.82	1.31	0.78	1.29	0.8
	Cyclic	Tu-CY-22	1.35	0.75	1.28	0.81	n/a	n/a	1.28	0.81
	Cyclic	Tu-CY-23	1.34	0.75	1.30	0.79	1.29	0.74	1.30	0.79
F	Cyclic	Tu-CY-17	1.83	0.31	1.67	0.45	n/a	n/a	1.67	0.45
	Cyclic	Tu-CY-20	1.77	0.37	1.61	0.51	n/a	n/a	1.61	0.51

941 n/a = not available

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