

TOPOLOGIES INDUCED BY METRICS WITH DISCONNECTED RANGE

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In a metric space (X, d) a ball $B(x, \epsilon)$ is separated if $d(B(x, \epsilon), X \setminus B(x, \epsilon)) > 0$. If the separated balls form a sub-base for the d -topology then $\text{Ind } X = 0$. The metric is gap-like at x if $d_x(X)$ is not dense in any neighbourhood of 0 in $[0, \infty)$. The usual metric on the irrational numbers, \mathbb{P} , is the uniform limit of compatible metrics (d^n) , each d^n being gap-like on \mathbb{P} . In a completely metrizable space X if each dense G_δ is an F_σ then $\text{Ind } X = 0$.

Introduction

Earlier results of the author concerning the relationship between the range of a metric and the induced topological structure [1, 2, 3, 4] are extended in this paper.

Let (X, d) be a metric space. It was shown in [3, Theorem 3.4, page 66] that if $d(X^2)$ is not dense in any neighbourhood of 0 in $[0, \infty)$ then $\text{Ind } X = 0$. The same is true if we assume that $d_x(X)$ is not dense in any neighbourhood of 0 for each $x \in X$ where $d_x(X) = \{d(x, y) : y \in X\}$ [4, Theorem 1, page 77]. The results are improved here by reducing the size of the set of points at which the metric range has gaps.

Let α, β be in \mathbb{R} with $0 < \alpha < \beta$. We say d has a gap $[\alpha, \beta]$ at x in X if $d_x(X) \cap [\alpha, \beta] = \emptyset$. We say d has a gap in $[\alpha, \beta]$ at x if there exist r, s with $\alpha \leq r < s \leq \beta$ such that d has a gap $[r, s]$ at x . We say the ball $B(x, \varepsilon)$ in X is separated if $d(B(x, \varepsilon), X \setminus B(x, \varepsilon)) > 0$. We say that the set $B(x, \varepsilon)$ is a gap ball if there is a $\delta > \varepsilon$ such that d has a gap $[\varepsilon, \delta]$ at x . The metric d is gap-like at x if $d_x(X)$ is not dense in any neighbourhood of 0 in $[0, \infty)$.

It is shown that $\text{Ind } X = 0$ if the separated or gap balls form a sub-base for the d -topology (Theorem 1 or Corollary 2 respectively), or if there is a countable family of dense subsets of points at which the metric range has a restricted gap nature (Theorem 3). An example is given to show that this same conclusion is about as false as it can be when the metric is gap like on a single dense set of points. It is then shown how gaps may be introduced into a metric range in the case where $d_x(X)$ is not a neighbourhood of 0 in $[0, \infty)$ for all x in X . The metric d is the uniform limit of a sequence of compatible metrics for which the set of all points at which at least one is gap-like is dense (Theorem 4). A better result is obtained for \mathbb{P} , the irrational numbers, by making an explicit construction for which each metric in the convergent sequence is gap-like on all of \mathbb{P} (Theorem 6). Finally an application of the metric value idea is given to prove that in a completely metrizable space X , $\text{Ind } X = 0$ if each dense G_δ is an F_σ (Theorem 9).

Gaps in metric values

THEOREM 1. *Let (X, d) be such that the separated balls form a sub-base for the topology induced by d . Then $\text{Ind } X = 0$.*

Proof. Let $\varepsilon > 0$ be given. Let $x \sim y$ if there exists x_0, \dots, x_n in X with $x_0 = x$, $x_n = y$ and $d(x_{i-1}, x_i) < \varepsilon$ for $1 \leq i \leq n$. Let the equivalence classes induced by this relation be denoted by $E(\varepsilon) = \{E(x, \varepsilon) : x \in X\}$ where $x \in E(x, \varepsilon)$. Then each such class is a clopen subset of X . If $0 < \delta < \varepsilon$ then $E(\delta)$ refines $E(\varepsilon)$.

The covers $\{E(1/n) : n \in \mathbb{N}\}$ are clopen and discrete. Let $x \in X$

and let $\epsilon > 0$ be given. By hypothesis there are separated balls $B(x_i, \delta_i)$, $1 \leq i \leq n$, satisfying

$$x \in \bigcap_{i=1}^n B(x_i, \delta_i) \subset B(x, \epsilon) .$$

Let

$$\beta = \min\{d(B(x_i, \delta_i), X \setminus B(x_i, \delta_i)) : 1 \leq i \leq n\} .$$

Then

$$E(x, \beta) \subset \bigcap_{i=1}^n B(x_i, \delta_i) .$$

Therefore the sets $\{E(x, \beta) : x \in X, \beta > 0\}$ form a base for the topology generated by d and so by [6, Theorem 5, page 291], $\text{Ind } X = 0$. //

COROLLARY 2. *If the set of gap balls forms a sub-base for the topology then $\text{Ind } X = 0$.*

Proof. Each gap ball is separate. //

THEOREM 3. *Let (X, d) be a metric space. Suppose there exist two sequences $\{\alpha_n\}, \{\beta_n\}$ of strictly positive monotonically decreasing real numbers with $\alpha_n < \beta_n$ for all $n \in \mathbb{N}$ and limit $\beta_n = 0$ such that for each n the set*

$$D_n = \{x \in X : d \text{ has a gap in } [\alpha_n, \beta_n]\}$$

is dense in X . Then $\text{Ind } X = 0$.

Proof. Let $x \in X$ and $\epsilon > 0$ be given. Let $n \in \mathbb{N}$ such that $\beta_n < \epsilon/2$. Then there is a $y \in D_n$ in $B(x, \alpha_n)$. Since d has a gap in $[\alpha_n, \beta_n]$ at y there are real numbers r, s with $\alpha_n \leq r < s \leq \beta_n$ such that d has a gap $[r, s]$ at y . Then $B(y, r)$ is a gap ball and $x \in B(y, r) \subset B(x, \epsilon)$. This shows that the gap balls form a base for the topology generated by d and so, by Corollary 2, $\text{Ind } X = 0$. //

One might hope that the conclusion of Theorem 3 would follow if the metric space had a dense subset D with $d_x(X)$ not dense in any neighbourhood of 0 in $[0, \infty)$ for each x in D . The following example

shows that given any dimension (finite or transfinite) a metric space with this property can be constructed containing a closed subset having the given dimension. That is to say the hoped for result is very far from being true.

EXAMPLE 4. Let (X, τ) be any metrizable space with no isolated points. Then there is a metric space (Y, d) with X homeomorphic to a closed subspace of Y and an open dense subset $D \subset Y$ with $d_y(Y)$ not dense in any neighbourhood of 0 for each $y \in D$.

Let $Z = X \times X$ with the product topology and let d be any compatible metric on Z . Let $\Delta = \{(x, x) : x \in X\}$ be the diagonal and let $P = Z - \Delta$. Let $D \subset P$ be a maximal subset satisfying

$$d(x, y) \geq \frac{1}{2}d(x, \Delta) + \frac{1}{2}d(y, \Delta)$$

for all x and y in D with $x \neq y$. Then by [9, page 111], $\partial P = \Delta$. If $Y = \Delta \cup D$, and we represent the restriction of d to Y by \bar{d} , then (Y, \bar{d}) has the desired properties since, for x in D ,

$$d_x(Y) \cap [0, d(x, \Delta)/4] = \{0\}.$$

If (X, d) is such that $d_x(X)$ is not a neighbourhood of 0 for each x in some dense set D it is possible to increase the size of the metric gaps at the cost of introducing a denumerable family of compatible metrics.

THEOREM 5. Let (X, d) be a metric space. Let $D \subset X$ be a dense set and such that for each x in D , $d_x(X)$ is not a neighbourhood of 0 in $[0, \infty)$. Then there exists a family $\{d^n : n \in \mathbb{N}\}$ of compatible metrics on X with the property that for each non-empty open set P there is an n in \mathbb{N} such that d^n is gap-like at x for some x in P .

Proof. Let $\mathcal{B} = \{B_n\} = \{B_{\lambda n} : \lambda \in I_n, n \in \mathbb{N}\}$ be a σ -discrete base for the topology on X generated by d . For each B in \mathcal{B} choose an $x = x_B \in B \cap D$, an $\varepsilon > 0$ with $B(x, 2\varepsilon) \subset B$, and a sequence of distinct points $r_i \downarrow 0$ in \mathbb{R} with $r_1 < \varepsilon$, $r_i \notin d_x(X)$, and $2r_{i+1} < r_i$ for all i in \mathbb{N} . For each i in \mathbb{N} let

$$f_B(y) = \begin{cases} 0 & \text{if } y = x \\ r_{i+1} & \text{if } r_{i+1} < d(x, y) < r_i \\ 0 & \text{if } r_1 < d(x, y) . \end{cases}$$

Then f_B is continuous on X and vanishes outside of B . Let $n \in \mathbb{N}$.

Let

$$d^n(x, y) = d(x, y) + \sum_{B \in \mathcal{B}_n} |f_B(x) - f_B(y)| .$$

Then each d^n is a compatible metric on X . For all y in X ,

$$d^n(x_B, y) \notin [r_i + r_{i+1}, 2r_i] \text{ for } i \geq 2 .$$

Therefore the family $\{d^n\}$ has the desired properties.

It is easy to see, by taking completions, that no metric on any dense subset of \mathbb{R} can have any gaps in its metric values if it is uniformly equivalent to the usual metric. The following theorem indicates that when the subset is contained in the irrational numbers, the usual metric can be expressed as the uniform limit of a sequence of compatible metrics having gaps at each point.

LEMMA 6. *Let \mathbb{P} be the irrational numbers. Then there exists a compatible metric ρ on \mathbb{P} such that ρ is gap-like on \mathbb{P} and such that*

$$|\rho(x, y) - |x - y|| \leq 1$$

for each x and y in \mathbb{P} .

Proof. For $k \in \mathbb{Z}$ and $n \in \mathbb{N} \cup \{0\}$ let $\xi(k, n) = k \cdot 2^{-n}$. Let $D(k, n) = [\xi(k, n), \xi(k+1, n)] \cap \mathbb{P}$. Then $\{D(k, n) : k \in \mathbb{Z}, n \in \mathbb{N} \cup \{0\}\}$ is a sieve for \mathbb{P} ([2]) and generates the usual topology.

If x and y are in \mathbb{P} let

$$\beta(x, y) = \begin{cases} 0 & \text{if } x = y , \\ \min\{2^{-n} : x \text{ and } y \text{ are both in } D(k, n) \text{ for some } k\} , \\ 1 & \text{if } x \text{ and } y \text{ are in no common } D(k, n) . \end{cases}$$

Then β is a compatible metric on \mathbb{P} . Define another compatible metric by

$$\rho(x, y) = |x-y| + \beta(x, y) .$$

Then $|\rho(x, y) - |x-y|| \leq 1$ for all x and y in \mathbb{P} .

Let $y \in \mathbb{P}$ be fixed. Then $y = n + \sum_{i=1}^{\infty} \varepsilon(i)2^{-i}$ where $\varepsilon(i) \in \{0, 1\}$

for each i and $n \in \mathbb{Z}$. We will prove the following claim: there exists an infinite subsequence $(\varepsilon(f(i)))$ such that for all i in \mathbb{N} , $\varepsilon(f(i)) = 0$ and $\varepsilon(f(i)+1) = 0$ or an infinite subsequence $(\varepsilon(g(i)))$ such that $\varepsilon(g(i)) = 1$ and $\varepsilon(g(i)+1) = 1$ for all i in \mathbb{N} . If not, either $\varepsilon(2i) = 1$ and $\varepsilon(2i+1) = 0$ or $\varepsilon(2i) = 0$ and $\varepsilon(2i+1) = 1$ for all i sufficiently large. In either case $y \in \mathbb{Q}$ which is impossible. Hence a subsequence of one or other of the different forms must exist.

Let $\eta > 0$ be given. Let i in \mathbb{N} be such that $i \geq 3$ and $3 \cdot 2^{-i} < \eta$. Let $\varepsilon(i) = 1$ and $\varepsilon(i+1) = 1$. (The proof when $\varepsilon(i) = 0$ and $\varepsilon(i+1) = 0$ is similar and is omitted.)

There is a k in \mathbb{Z} with $\xi(k, i-2) < y < \xi(k+1, i-2)$. Assume $\varepsilon(i-1) = 0$ and $\varepsilon(i+2) = 0$. The proof for the three other possibilities is omitted. Then $\xi(8k+3, i+1) < y < \xi(2k+1, i-1)$. Let $E = \mathbb{P} \cap [\xi(k, i-2), \xi(k+1, i-2)]$. Divide E into four disjoint intervals A, B, C and D defined below:

$$A = \mathbb{P} \cap [\xi(2k+1, i-1), \xi(k+1, i-2)] ,$$

$$B = \mathbb{P} \cap [\xi(k, i-2), \xi(4k+1, i)] ,$$

$$C = \mathbb{P} \cap [\xi(4k+1, i), \xi(8k+3, i+1)] ,$$

and

$$D = \mathbb{P} \cap [\xi(8k+3, i+1), \xi(2k+1, i-1)] .$$

If $x \in A$ then $\beta(x, y) = 4 \cdot 2^{-i}$; if $x \in B$ then $\beta(x, y) = 2 \cdot 2^{-i}$,
if $x \in C$ then $\beta(x, y) = 2^{-i}$; and if $x \in D$ then $\beta(x, y) \leq 2^{-i+1}$.

The following inequalities are true in the given subsets:

$$\text{if } x \in A , \quad \rho(x, y) > y - (4k+1)2^{-i} + 2 \cdot 2^{-i} ;$$

$$\text{if } x \in B , \quad \rho(x, y) \geq y - (4k+1)2^{-i} + 2 \cdot 2^{-i} ;$$

$$\text{if } x \in A , \quad \rho(x, y) \leq y - (4k+1)2^{-i} + 2^{-i} ;$$

if $x \in D$, $\rho(x, y) < y - (4k+1)2^{-i} + 2^{-i}$.

If $x \notin E$ then $\beta(x, y) \geq 2^{-i+2}$ and therefore

$$\rho(x, y) > 2^{-i+2} > 3 \cdot 2^{-i}.$$

From these inequalities it follows that

$$\rho_y(\mathbb{P}) \cap [y - (4k+1)2^{-i} + 2^{-i}, y - (4k+1)2^{-i} + 2 \cdot 2^{-i}] = \emptyset.$$

Because $y - (4k+1)2^{-i} < 2^{-i}$ the right hand end point of the above missing interval is less than $3 \cdot 2^{-i}$ and $3 \cdot 2^{-i} < \eta$ be choice of i . Hence $\rho_y(\mathbb{P})$ is not dense in any neighbourhood of 0. //

THEOREM 7. *There exists a sequence (d^n) of compatible metrics on \mathbb{P} such that, for each x in \mathbb{P} and n in \mathbb{N} , $d_x^n(\mathbb{P})$ is not dense in any neighbourhood of 0 in $[0, \infty)$, and such that $d^n(x, y) \rightarrow |x-y|$ uniformly on \mathbb{P} .*

Proof. By Theorem 4 there is a compatible metric ρ on \mathbb{P} with $|\rho(x, y) - |x-y|| \leq 1$ for x, y in \mathbb{P} . Then, if $n \in \mathbb{N}$, $|\rho(nx, ny) - |nx-ny|| \leq 1$, and therefore $|(1/n)\rho(nx, ny) - |x-y|| \leq 1/n$. Let $d^n(x, y) = (1/n)\rho(nx, ny)$. Then the sequence (d^n) has the desired properties. //

G_δ supersets of zero dimensional subsets

In the following lemma a new proof is presented of the result that every subset having large inductive dimension n or less of a metrizable space has a G_δ superset also having dimension n or less [7, Theorem 1.4, page 241].

LEMMA 8. *Let (X, τ) be metrizable and let $A \subset X$ have $\text{Ind } A \leq n$. Then there is a G_δ subset B of X with $A \subset B$ and $\text{Ind } B \leq n$.*

Proof. If $A \neq \emptyset$ and $\text{Ind } A = 0$ there is a compatible metric d on A with values in $H = \{1/n : n \in \mathbb{N}\} \cup \{0\}$, [1]. The metric d may be

extended to a compatible metric \hat{d} on a G_δ superset of A with $A \subset B \subset \text{cl}(A)$ [10, Problem 24M, page 185]. Necessarily $\hat{d}(B \times B) \subset H$. Therefore $\text{Ind } B = 0$. If $\text{Ind } A \leq n$ there exist A_0, \dots, A_n in X with $A = A_0 \cup \dots \cup A_n$ and $\text{Ind } A_i \leq 0$ for all i . For each i let B_i be a G_δ superset of A_i with $\text{Ind } B_i = 0$. Then $B = B_0 \cup \dots \cup B_n$ is a G_δ superset of A and $\text{Ind } B \leq n$. //

The following result guarantees the existence of a reasonably large zero dimensional subset in any metrizable space.

LEMMA 9. *Let (X, τ) be metrizable. Then there is a dense G_δ subset B of X with $\text{Ind } B = 0$.*

Proof. Let d generate τ . For each n in \mathbb{N} let D_n be a maximal subset of X with $D_{n+1} \supset D_n$ and $d(x, y) \geq 1/n$ for each x and y in D_n with $x \neq y$. Then $A = \bigcup_{n=1}^{\infty} D_n$ is σ -discrete and hence $\text{Ind } A = 0$. Let B be a G_δ superset of A with $\text{Ind } B = 0$. Since A is dense [5, page 168], so also is B . //

Using Lemma 9 we can prove a theorem similar in spirit to the following result of Reed: if we assume Goedel's axiom of constructibility (often abbreviated to $V = L$) then any normal and first countable space in which every subset is an F_σ is necessarily σ -discrete [8, page 46]. We obtain the following result without making any special set theoretical assumptions.

THEOREM 10. *Let (X, τ) be completely metrizable and such that each dense G_δ subset is an F_σ . Then $\text{Ind } X = 0$.*

Proof. Let $P = \{Q \in \tau : \text{Ind } Q = 0\}$. Then by [7, Theorem 4.12, page 173], $\text{Ind } P = 0$. If $Y = X - P$ is non-empty then Y is completely metrizable and has no non-empty open subsets having large inductive dimension zero. Let $B \subset Y$ be a dense (in Y) G subset of Y having $\text{Ind } B = 0$. Then B is G_δ in X and hence, by hypothesis, $B = \bigcup_{i=1}^{\infty} F_i$

for some family (F_i) of closed subsets of Y . Since B is G_δ and dense in Y , $Y - B = \bigcup_{j=1}^{\infty} H_j$ for some family (H_j) of closed subset of Y having $H_j^0 = \emptyset$ for each j . Therefore, because $Y = \left(\bigcup_i F_i\right) \cup \left(\bigcup_j H_j\right)$ and is Baire there is an i in \mathbb{N} with $F_i^0 \neq \emptyset$. But then, $\text{Ind } F_i^0 = 0$ since $F_i \subset B$. This contradicts the assumption that Y has no non-empty subsets with dimension zero and therefore the assumption that Y is non-empty. Hence $\text{Ind } X = 0$. //

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